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**MISSION STATEMENT**

*Utica Water & Power Authority is committed:*

*to preserve and protect the water of the Sierra foothills for the vested interest of the communities in East Calaveras County by promoting collaborative efforts between its two member entities, Union Public Utility District and the City of Angels; to maintain the historical properties of the Argonauts' original ditch system while advancing efficient management and technology; to deliver water to the communities served, by using revenues generated from hydroelectric energy production; and assure the quality and quantity of this precious resource for the best beneficial public use for generations to come.*

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**UTICA WATER AND POWER AUTHORITY**  
**REGULAR BOARD MEETING**

UWPA Office Building ~ 1168 Booster Way, Angels Camp ~ (209) 736-9419

Tuesday – July 24, 2018 – 5:30 p.m.

*(1) In compliance with Title II of the Americans with Disabilities Act, 28 CFR Part 36, if you need special assistance to participate in this meeting, please contact the UWPA office at (209)736-9419 or fax your request to (209)736-9110. Notification in advance of the meeting will enable UWPA to make reasonable accommodations to ensure accessibility to this meeting. (2) Any materials distributed to the Board and that relate to an agenda item are available at the UWPA office 72 hours prior to the scheduled meeting.*

**AGENDA**

(prev. Res. #18-03)

**Call to Order and Pledge of Allegiance**

**Item 1: Approval of Agenda**

**Item 2: Opportunity for Public Comments:**

*Limited to 5 minutes per person. Members of the public may address the board on items not agendaized. The public is encouraged to work through staff members to put items on the agenda to be considered by the Board. No action will be taken unless an item is agendaized.*

**Item 3: Consent Calendar - Approval of all items**

A. Minutes of the regular board meeting dated 6/26/18

*p. 1-5*

**Item 4: Staff Reports - Discussion only**

A. Financials 6/1/18 through 6/30/18

B. General Manager – M. Minkler

C. Administrative report – K. Rojas

D. Generation and O&M Reports for May – F. Fields

E. Water Conveyance – K. Rasmussen

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*p. 11*

Board of Directors

**Greg Rasmussen**, U.P.U.D. ~ Chairman      **Scott Behiel**, City of Angels ~ Vice-Chairman  
**Eric Bottomley**, U.P.U.D. ~ Secretary      **Larry Thompson**, At-Large Member      **Joseph Oliveira**, City of Angels

- Item 5:**        **Old Business** - *Discussion and possible action*  
A. ReMAT Update – M. Minkler  
B. Irrigation Water Sales - M. Minkler

- Item 6:**        **New Business** - *Discussion and possible action*  
A. CalPERS Adoption of Agreement, Res. #18-04 - M. Minkler  
B. FY-'18-'19 Budget Amendment, Res. #18-05 - M. Minkler

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p. 15-17

- Item 7:**        **Reports** – *Discussion only*  
A. Tuolumne-Stanislaus Integrated Regional Water Management Authority  
B. Calaveras Public Power Agency (CPPA)  
C. Calaveras Local Agency Formation Commission (LAFCo)  
D. Calaveras Water Work Group for the Hwy 4 Corridor  
E. U.P.U.D.  
F. City of Angels

**Item 8:**        **Staff/Directors' Comments**

**Recess**

**Item 9:**        **Adjourn to Closed Session:** *Discussion and possible action*

- A. PUBLIC EMPLOYEE PERFORMANCE EVALUATION  
Title: General Manager

**Reconvene from Closed Session:** *Report of any reportable action.*

**Adjourn**

**Next Meeting:** Tuesday, August 28, 2018 – 5:30 pm - Regular Meeting

Board of Directors

**Greg Rasmussen**, U.P.U.D. ~ Chairman        **Scott Behiel**, City of Angels ~ Vice-Chairman  
**Eric Bottomley**, U.P.U.D. ~ Secretary        **Larry Thompson**, At-Large Member        **Joseph Oliveira**, City of Angels

# UTICA WATER AND POWER AUTHORITY

1168 Booster Way  
Angels Camp, CA 95222

June 26, 2018  
Regular Board Meeting

The Board of Directors of the Utica Water and Power Authority met in Regular Session at the UWPA office in Angels Camp, California.

Directors present:	Greg Rasmussen, UPUD	Chairman
	Scott Behiel, COA	Vice Chairman
	Eric Bottomley, UPUD	Secretary
	Larry Thompson, Community	Director
	Veronica Metildi, COA	Alternate Director

Also present:	Michael Minkler	General Manager
	Karen Rojas	Administrative Manager
	Frank Fields	O & M Superintendent
	Kyle Rasmussen	Water Conveyance Supervisor

Absent:	Joe Oliveira, COA	Director
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Call to Order and the Pledge of Allegiance

The meeting was called to order at 5:30 pm by Chairman Rasmussen.

Item 1: Approval of Agenda

A. Director Rasmussen requested a motion to approve the agenda. Director Behiel moved to approve and Director Thompson seconded. The motion passed 5-0.

Item 2: Opportunity for Public Comments: No comments.

Item 3: Consent Calendar – Approval of all items

A. Minutes of the regular board meeting dated 5/22/18 - This item is tabled until next meeting because there is not a quorum of those who had attended.

Item 4: Staff Reports – Discussion only:

A. Financials 5/1/18 through 5/31/18 – M. Minkler - Director Rasmussen wanted to know how much is outstanding to GEI. There is still a little more work to be done, but that won't happen until next fiscal year. Director Behiel questioned the budget and asked if we will still be under budget by the end of the current fiscal year. Most of the materials we ordered won't be delivered until after the new fiscal year. We did receive some unexpected revenue for May.

B. General Manager – M. Minkler – Michael reported he attended the Mountain Counties Water Resources tour of facilities in Southern California where agencies from Northern and Southern California gathered to discuss headwaters

restoration and fire mitigation to attract funding. Orange County diverts tertiary water that is treated and discharged back to the Santa Ana River, it's re-diverted out of the river where it's treated again and then pumped into the ground. The water agencies pump it from the ground and it's delivered to taps. The system is very efficient. They have large budgets which allow them to solve problems in a multitude of ways. Metropolitan Water District's General Manager said in his presentation they have made it a priority and it's a constant effort to find water sources for the future. Metropolitan has agreed to fund two-thirds of the Delta tunnels in order to ensure a water supply for the future. Their share is approximately \$14 billion plus, which is about \$4.00 per rate payer per month. Other agencies are also supporting the tunnels. Director Behiel asked what argument we could present to Southern California rate payers to encourage them to financially support efforts to mitigate wildfires and promote headwater restoration in our areas. Michael explained a main reason is water quality. Because of recent fires the runoff from the burned areas has sent a lot of dirty water into their reservoirs. It becomes expensive to treat it. Dennis Mills also attended the conference and we hope he can continue to bring attention to our area. Southern California needs to see the benefit to them for protecting our resources in order to hopefully help fund projects in Northern California that would affect their water supply. Continuing our membership with Mountain Counties is important. They've helped us to connect to other agencies who experience some of the same challenges our agency does and provide advice. Some of UWPA's staff attended the ACWA Region 3 tour of East Bay MUD's facilities at Pardee Dam. The main focus was to talk about wildfire risk and mitigation. Adam Frese from Cal Fire is the grant manager for two of the grants we were awarded. He expressed to Michael how we've been the best grant recipient they've had. We have been careful to follow the requirements of the grant and submitting documentation on time. He was extremely complimentary. We have just recently submitted another grant application to Cal Fire and hope we will be fortunate to receive it. Michael also attended the General Manager's Summit hosted by California Special Districts Association (CSDA). He came back with a lot of good tools to use for budgeting and retirement programs, along with good contacts to potentially help us in the future. There are new website requirements they discussed at the summit that must be mandated by the first of next year. Michael mentioned the long term water use conservation bill has passed. Karen also mentioned that Michael has done a good job educating our board members about UWPA. Many board members from other agencies know little about their agencies day to day operations. We need to try to develop an orientation guide for our new board members to get them up to speed. The OCB replacement is underway. We need approvals from PG&E before we can place the order to have it built. We will need to have an extended outage to complete the work. Frank is the only one who has the high voltage experience to lock out the switch yard and reset the OCB. Jeff and Nick, our new operators, are continuing training and doing a good job. Tree mortality and funding to support tree removal and disposal is still ongoing.

- C. Administrative Report – K. Rojas - Karen reported she participated in listening to a webinar about the new website compliance. Billings went out for the

2018/2019 irrigation season and we've received payments for at least the first installment from a majority of those billed. Karen thanked the board for letting us attend the Pardee Dam tour. It was interesting and informative. We have a trial membership to the CSDA and have received a lot of good, helpful information from them. They have an open forum where small districts, such as ours, can ask questions and people weigh in from other agencies with their advice. Lori will be attending a Board Clerk/Secretary class hosted by CSDA in October. Annual water reporting will be submitted by Friday. Alan Patterson, with Griffith & Masuda, is our water historian and he has been assisting us with fine tuning our data. NCPA's annual BBQ is coming up in July. Some of our staff has been away on vacation. Michael will be attending a West Coast Hydro Users Group two-day seminar hosted by NCPA, which will include a tour of Collierville. Every two years we need to review our Conflict of Interest code. This will be agendized in the next couple of months. The new hires are eligible for benefits now that their 90 days have passed. One of our new operators is the primary on-call person while Frank is away on vacation. He has already started the plant a few times for training purposes and is catching on quickly.

- D. Generation and O&M – F. Fields - Michael reported in Frank's absence. We had a few outages. One was unknown cause and the others were for training purposes to teach our new operators how to start up the plants. In revenue we received \$52k not budgeted for because we didn't expect to still be under contract with Calpine for Vintage 2018 1,500 RECs at \$35. The budget reflects May as a possible Water Year III, but the May 1<sup>st</sup> determination was a WY I, so we had more water for generation. Director Behiel asked if there was a way the we could produce and transmit power directly to the consumer in hopes of getting a higher price for power. Michael answered we are researching our options. The topic has been brought up before and we may be putting it on the agenda for next month. There are many Community Choice Aggregations (CCAs) forming all over the state that buy wholesale power and sell it to retail customers in their own communities as opposed to PG&E selling it. Currently the CCA's pricing is less than PG&E possibly because they are able to do better local contracting and it saves customers money. UWPA can get a better rate because we're selling it direct to the retail customer and it could incentivize new local generation to come online which creates jobs. It's worth exploring and having discussions with other CCAs. It's working in small, rural areas. PG&E would still own the lines and transmit the power at the expense of the CCA. Placer County just launched their first CCA and Nevada County is looking into it.
- E. Water Conveyance – K. Rasmussen - Kyle reported water conveyance has been good. He's been utilizing the new operators on the canal, familiarizing them with the system. They are catching on and doing well. They've both walked the system several times and have a good understanding about what's going on. We have been cross training each of them in both conveyance and hydroelectric operations. Sebastian has been working hard clearing brush with the Bobcat. The Bobcat has helped to speed up the process. The new USGS inspector was here. Sebastian has been teaching Kyle how to measure water. We've been able to get the Cal Fire crews for a couple days to help with

tree removal. Cal Fire has split their crews and there not as many guys available. The homeowners have been very appreciative of UWPA taking down some of the trees close to their property near our system.

Item 5: Old Business – Discussion and possible action

A. ReMAT Update – M. Minkler -Michael reported that the 9th circuit court denied the stay request and it could be a year or two before they make a ruling on the merits of the case. Michael has been working with other entities that have been affected by this decision. The goal was to try and come up with a regulatory solution to we don't have to wait for the 9th circuit ruling. If we can come up with something that the PUC can do in the meantime to address the legal issue and get ReMAT re-opened that way. They have come up with two main proposals. One would be an amendment to the qualifying facilities standard offer contract or a change to the structure of ReMAT. The latter would require legislation. The next step is to try and get a meeting with one of the PUC commissioners to help move ahead quickly. They were able to set up a meeting with the commissioner of the ReMAT program and his staff. Winding Creek Solar continues to oppose ReMAT and has now joined the PUC ReMAT and BioMAT proceeding. Because of the ReMAT coalition meeting with the PUC, the entire ReMAT proceeding and Qualifying Facility (QF) standard offer contract service list had to be notified due to the discussion of modifying that contract. This could raise red flags and cause political and public backlash, mainly because the proceeding is closed and those under that contract really don't not want the contract to be modified in anyway. Michael has a lot of work to do before that meeting later in the week. Director Behiel asked what benefit is Winding Creek Solar gaining by filing this lawsuit. Michael said their motivation began with trying to get the \$89 contract for a 1 MW facility and eventually getting the same pricing for their other facilities in California. Michael is attending a one day presentation on the future of hydro power in California, organized by NLine Energy, Stantec, and researchers from Stanford to share their thoughts and research. Director Rasmussen thanked Michael for his hard work.

Item 6: New Business – Discussion and possible action

A. Report from 6/14/18 Resource Management Committee meeting: Discussion of expanding irrigation water sales – M. Minkler - Michael reported that we had discussed selling additional irrigation water if there is demand for it. We've done more research. We have additional water in the system right now that we could deliver to irrigators. We have a couple of our largest customers who want to double the amount of water they take for agriculture use and have additional potential customers on a wait list. The problem is we are over contracted with our agreements with UPUD and City of Angels. We have to guarantee that a certain amount of water is available when they need it. Irrigators may not want water if they cannot rely on a constant flow or have to pay for additional infrastructure. Another option is CCWD has water we could wheel through our system to deliver to our irrigation customers if NCPA would approve it. Many letters have been written over the years to CCWD trying to negotiate a deal, but got no traction. Michael has been talking with CCWD again to see if we can

come up with an agreement to have an additional water source. Then we would have enough water to honor the contracts between UWPA, UPUD and COA, should they need their full allocation and have reliable flow to irrigation customers. Our goal is to make this water available next irrigation season. The board discussed several contractual options for the current and potential customers as well as talking to UPUD and COA about how we can continue to honor their contracts, but also provide more water for our agriculture customers.

Item 7: Reports – Discussion only

- A. Tuolumne-Stanislaus Integrated Regional Water Management Authority - Karen reported Lori attended the meeting. There were guest speakers from the Cannabis Cultivation Waste Discharge Regulatory Program and two guest speakers from DWR.
- B. Calaveras Public Power Agency (CPPA) – No one attended.
- C. Calaveras Local Agency Formation Commission (LAFCo) – No report.
- D. Calaveras Water Work Group for the Hwy 4 Corridor – Meeting was canceled.
- E. U.P.U.D. - Director Bottomley reported they had a short meeting. They talked about how positive it is that Michael is speaking to CCWD about water and encouraged by the communication.
- F. City of Angels – Director Behiel reported the city made permanent the cannabis ban. They hired a new finance person and budget meetings have begun. Garbage rates for the city are going up significantly and water rates as well.

Item 8: Staff/Directors' Comments: Director Thompson mentioned the 4<sup>th</sup> of July parade in Murphys is scheduled for Wednesday, July 4th at 12:00 pm.

The meeting was adjourned at 7:12 pm.

Next Meeting: Tuesday, July 24, 2018 – 5:30 pm – Regular Meeting

Approved at the July 24, 2018 regular meeting:

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Chairman

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Secretary

Vaughn Tribble, CPA

Daniel Ayala, CPA

Douglas Tribble, CPA

**Tribble & Ayala**  
**Certified Public Accountants, Inc.**

571 Stanislaus St., Suite A  
P.O. Box 400  
Angels Camp, CA 95222  
(209) 736-4631  
Fax (209) 736-0207

2686 McKenzie  
P.O. Box 1020  
Arnold, CA 95223  
(209) 795-2311  
Fax (209) 795-4730

Accountant's Disclaimer

Utica Water & Power Authority  
Angels Camp, California

The accompanying Consolidated Report - statement of revenues, expenses and fund balance (cash basis) and the accompanying Revenue Fund Report - statement of revenues, expenses and budget variances (cash basis) for the period ending June 30, 2018 of the fiscal year July 1, 2017 to June 30, 2018, and the accompanying Bank Activity Report (All Funds) for the period June 1 to June 30, 2018 of the Utica Water & Power Authority were not audited by us and, accordingly, we do not express an opinion on them. The financial statements have been prepared on the cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the cash basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Utica Water & Power Authority's revenues, expenses, and fund balance. Accordingly, the financial statements are not designed for those who are not informed about such matters.



Certified Public Accountants, Inc.

July 18, 2018

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Utica Water and Power Authority  
Bank Activity Report (All Funds)  
For the Period June 1 to June 30, 2018

**Revenue Fund**

Recap	Current Month	Prior Month	Difference
Revenues	\$ 102,785.13	\$ 145,800.15	\$ (43,015.02)
Expenses	\$ (125,868.67)	\$ (168,607.14)	\$ 42,738.47
Difference	\$ (23,083.54)	\$ (22,806.99)	\$ (276.55)

Fund Balance - 5/31/2018 \$ 528,659.10

Revenues:	6/1/2018 CCATT payment for June 2018 rent	1,439.13
	6/8/2018 Broglio 1st half 2018 water payment	8,813.75
	6/8/2018 Douglas 2018 water contract payment	599.70
	6/8/2018 Bergantz 1st half 2018 water payment	220.18
	6/8/2018 Bennett 2018 water payment	440.35
	6/8/2018 Stephens 1st half 2018 water payment	1,546.70
	6/8/2018 Sierra HOPE June 2018 rent	835.00
	6/8/2018 City of Angels CMM/DL Data Work up April 2018	400.00
	6/19/2018 Calpine payment for Feb 2018 renewable energy	8,853.00
	6/20/2018 Calpine payment for May 2018 power	19,791.19
	6/27/2018 City of Angels CMM/DL Data Workup May 2018	400.00
	6/27/2018 Wallace 1st half 2018 water payment	220.18
	6/27/2018 Murphys Realty June 2018 Rent	827.00
	6/27/2018 Allied Electronics Refund	60.87
	6/28/2018 Calpine payment for Feb 2018 imbalance	8,407.01
	6/29/2018 PG&E payment for May 2018 power	48,491.94
	6/29/2018 CCATT payment for July 2018 rent	1,439.13
	<b>Total Revenues</b>	<b>102,785.13</b>

Expenses:	6/4/2018 Calaveras Lumber Co, Inc.	(10,272.67)
	6/4/2018 City of Angels	(170.40)
	6/4/2018 City of Angels	(131.70)
	6/4/2018 Colonial Life	(71.84)
	6/4/2018 Driven	(1,487.19)
	6/4/2018 Erik Ohlson & Associates	(5,067.00)
	6/4/2018 Modesto Steel	(9,097.63)
	6/4/2018 Mother Lode Answering Service, Inc.	(127.00)
	6/4/2018 O'Reilly Automotive, Inc.	(92.80)
	6/4/2018 Pacific Gas & Electric Co.	(467.91)
	6/4/2018 Staples Business Advantage	(216.09)
	6/4/2018 Timothy and Deanna Plunkett	(75.00)
	6/4/2018 U.S. Bank Corporate	(12,113.30)
	6/4/2018 U.S. Postmaster	(50.00)
	6/4/2018 Verizon Wireless	(475.49)
	6/4/2018 Zoom Imaging Solutions, Inc.	(7.46)
	6/4/2018 Payroll transfer for 6/4/18 wages & taxes	(24,290.23)
	6/14/2018 Bank Charge	(74.95)
	6/18/2018 Alhambra	(50.49)
	6/18/2018 A T.E.E.M. Electrical Engineering Inc.	(1,575.00)
	6/18/2018 AT&T 555 8	(1,297.03)
	6/18/2018 Blue Shield of California	(6,680.29)
	6/18/2018 Blue Shield of California - Med Supp	(164.00)
	6/18/2018 Calaveras Power Agency	(432.80)
	6/18/2018 California Waste Recovery Systems	(81.04)
	6/18/2018 CDK Supply	(130.89)
	6/18/2018 CDK Supply	(88.80)
	6/18/2018 CDK Supply	(36.47)

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Utica Water and Power Authority  
Bank Activity Report (All Funds)  
For the Period June 1 to June 30, 2018

6/18/2018 CDK Supply		(16.09)
6/18/2018 CDK Supply		(69.71)
6/18/2018 CDK Supply		(296.01)
6/18/2018 Payroll transfer for 6/18/18 wages & taxes		(24,223.32)
6/18/2018 Choice Builder		(743.57)
6/18/2018 Columbia Communications, Inc.		(75.00)
6/18/2018 Comcast Business		(145.81)
6/18/2018 GEI Consultants, Inc.		(5,620.15)
6/18/2018 Humana Insurance Co.		(20.40)
6/18/2018 Nash Chevron		(48.00)
6/18/2018 New Frontier Auto Supply Inc		(93.30)
6/18/2018 New York Life		(27.90)
6/18/2018 Pacific Gas & Electric Co.		(108.18)
6/18/2018 Pacific Gas & Electric Co.		(159.82)
6/18/2018 Pacific Gas & Electric Co.		(22.98)
6/18/2018 Sierra Foothill Fire Extinguisher Service		(417.84)
6/18/2018 Sierra Hydrographics		(4,228.95)
6/18/2018 State Compensation Insurance Fund		(2,188.08)
6/18/2018 Tribble & Ayala CPA's, Inc.		(776.52)
6/18/2018 Union Public Utility District		(53.00)
6/18/2018 U.S. Bank Equipment Finance		(199.76)
6/18/2018 U.S. Bank Equipment Finance		(432.47)
6/18/2018 Van Ness Feldman, LLP		(367.50)
6/18/2018 Zoom Imaging Soutions, Inc.		(7.46)
6/18/2018 Comcast Business		(368.05)
6/20/2018 TASC		(2,000.00)
6/30/2018 Transfer out to FERC Relicensing Fund		(8,333.33)
<b>Total Expenses</b>		<u>(125,868.67)</u>
<b>Net Revenues</b>		(23,083.54)
<b>Transfers:</b>		
<b>Total Transfers</b>		<u>                    -</u>
<b>Fund Balance - 6/30/2018</b>	<b>\$</b>	<b>505,575.56</b>
 <b><u>Water Balancing Fund</u></b>		
Fund Balance - 5/31/2018	\$	80,000.00
Fund Balance - 6/30/2018	\$	<u>80,000.00</u>
 <b><u>FERC Relicensing Fund</u></b>		
Fund Balance - 5/31/2018	\$	82,716.94
6/30/2018 Transfer in from Revenue Fund		8,333.33
Fund Balance - 6/30/2018	\$	<u>91,050.27</u>
 <b><u>Operating &amp; Capital Reserve Fund</u></b>		
Fund Balance - 5/31/2018	\$	85,006.75
6/29/2018 Interest earned June 2018		1.77
Fund Balance - 6/30/2018	\$	<u>85,008.52</u>
 <b>Total All Funds - 6/30/2018</b>	 <b>\$</b>	 <b><u>761,634.35</u></b>
 Total All Funds - 5/31/2018	 \$	 776,382.79
Difference	\$	<u>(14,748.44)</u>

Unaudited - See Accountant's Disclaimer



Utica Water & Power Authority  
**Revenue Fund Report**  
Statement of Revenues, Expenses and Budget Variances (Cash Basis)  
For the Period Ending June 30, 2018 (100.00%)  
Of the Fiscal Year July 1, 2017 to June 30, 2018

<u>Revenues:</u>	<u>Budget - 10/24/17</u>	<u>Revenues to Date</u>	<u>Balance</u>	<u>% of Budget</u>	<u>Year-To-Date Annualized %</u>
1100 Interest Income	\$ 100	\$ 2,951	\$ (2,851)	2951.13%	2951.13%
1200 Power Sales	\$ 1,072,070	\$ 1,294,216	\$ (222,146)	120.72%	120.72%
1301 Water Sales to NCPA	\$ 30,000	\$ 57,720	\$ (27,720)	192.40%	192.40%
1302 Water Agreements	\$ 80,000	\$ 80,000	\$ -	100.00%	100.00%
1303 Water Sales UWPA	\$ 29,863	\$ 26,889	\$ 2,974	90.04%	90.04%
1400 Property Leases	\$ 35,892	\$ 38,625	\$ (2,733)	107.62%	107.62%
1500 Other Revenues	\$ 15,950	\$ 51,239	\$ (35,289)	321.25%	321.25%
1610 Critical Equip Grant	\$ 6,000	\$ 1,649	\$ 4,351	27.49%	27.49%
1620 Expert Removal Grant	\$ 195,580	\$ 199,034	\$ (3,454)	101.77%	101.77%
1700 Member Contributions	\$ 170,000	\$ 170,000	\$ -	100.00%	100.00%
Total Revenues	\$ 1,635,455	\$ 1,922,324	\$ (286,868)	117.54%	117.54%
<u>Expenses:</u>	<u>Budget - 10/24/17</u>	<u>Expenses to Date</u>	<u>Balance</u>	<u>% of Budget</u>	<u>Year-To-Date Annualized %</u>
2400 FERC Compliance	\$ 133,500	\$ 127,051	\$ 6,449	95.17%	95.17%
2450 Dam Safety	\$ 183,000	\$ 160,116	\$ 22,884	87.50%	87.50%
3000 Operations & Maint	\$ 602,976	\$ 553,497	\$ 49,479	91.79%	91.79%
4000 Administration	\$ 248,186	\$ 245,000	\$ 3,186	98.72%	98.72%
4050 Travel/Training	\$ 8,000	\$ 8,589	\$ (589)	107.36%	107.36%
4100 Materials/Misc	\$ 17,000	\$ 17,619	\$ (619)	103.64%	103.64%
4200 Insurance	\$ 36,500	\$ 36,589	\$ (89)	100.24%	100.24%
4300 Legal	\$ 12,000	\$ 7,370	\$ 4,630	61.42%	61.42%
4400 Accounting	\$ 20,000	\$ 18,611	\$ 1,389	93.05%	93.05%
4500 Fees	\$ 95,400	\$ 102,206	\$ (6,806)	107.13%	107.13%
4600 Communications	\$ 35,000	\$ 33,570	\$ 1,430	95.91%	95.91%
4700 Utilities	\$ 31,100	\$ 23,239	\$ 7,861	74.72%	74.72%
5010 Water Balancing Fund	\$ 80,000	\$ 80,000	\$ -	100.00%	100.00%
6010 PH - Maint/Repair	\$ 50,925	\$ 44,761	\$ 6,164	87.89%	87.89%
6050 PH - Capital Projects	\$ 73,000	\$ 12,999	\$ 60,001	17.81%	17.81%
6060 PH - ReMAT Expenses	\$ 125,400	\$ 44,005	\$ 81,395	35.09%	35.09%
6110 WC - Maint/Repair	\$ 56,300	\$ 20,790	\$ 35,510	36.93%	36.93%
6150 WC - Capital Projects	\$ 78,000	\$ 76,105	\$ 1,895	97.57%	97.57%
6210 Critical Equip Grant	\$ 6,000	\$ 1,160	\$ 4,840	19.34%	19.34%
6220 Expert Removal Grant	\$ 201,000	\$ 200,000	\$ 1,000	99.50%	99.50%
7000 Hydrography	\$ 23,500	\$ 27,345	\$ (3,845)	116.36%	116.36%
7100 SCADA/IT	\$ 19,400	\$ 14,224	\$ 5,176	73.32%	73.32%
7110 LOS	\$ 2,500	\$ 2,453	\$ 47	98.11%	98.11%
8000 Consultants	\$ -	\$ -	\$ -	0.00%	0.00%
9000 Vehicles	\$ 62,500	\$ 56,183	\$ 6,317	89.89%	89.89%
9500 Contingencies	\$ 69,841	\$ 35,840	\$ 34,001	51.32%	51.32%
Total Expenses	\$ 2,271,028	\$ 1,949,323	\$ 321,706	85.83%	85.83%
Excess Revenues (Expenses)	\$ (635,573)	\$ (26,999)	\$ (608,574)		
xxxx Transfer In	\$ 80,000	\$ -	\$ 80,000	0.00%	0.00%
xxxx Transfer Out	\$ -	\$ -	\$ -	0.00%	0.00%

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**Utica Water & Power Authority**  
**Consolidated Report**  
 Statement of Revenues, Expenses and Fund Balance (Cash Basis)  
 For the Period Ending June 30, 2018 (100.00%)  
 Of the Fiscal Year July 1, 2017 to June 30, 2018

<u>Revenues:</u>	<u>Revenue Fund</u>	<u>Water Balancing Fund</u>	<u>FERC Relicensing Fund</u>	<u>Operating &amp; Capital Reserve Fund</u>
1100 Interest Income	\$ 2,951	\$ -	\$ -	\$ 9
1200 Power Sales	\$ 1,294,216			
1301 Water Sales to NCPA	\$ 57,720			
1302 Water Agreements	\$ 80,000			
1303 Water Sales UWPA	\$ 26,889			
1400 Property Leases	\$ 38,625			
1500 Other Revenues	\$ 51,239			
1610 Critical Equip Grant	\$ 1,649			
1620 Expert Removal Grant	\$ 199,034			
1700 Member Contributions	\$ 170,000	\$ -	\$ -	\$ -
Total Revenues	<u>\$ 1,922,324</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 9</u>
<u>Expenses:</u>				
2400 FERC Compliance	\$ 127,051			
2450 Dam Safety	\$ 160,116			
3000 Operations & Maint	\$ 553,497			
4000 Administration	\$ 245,000			
4050 Travel/Training	\$ 8,589			
4100 Materials/Misc	\$ 17,619			
4200 Insurance	\$ 36,589			
4300 Legal	\$ 7,370			
4400 Accounting	\$ 18,611			
4500 Fees	\$ 102,206			
4600 Communications	\$ 33,570			
4700 Utilities	\$ 23,239			
5010 Water Balancing Fund	\$ 80,000			
6010 PH - Maint/Repair	\$ 44,761			
6050 PH - Capital Projects	\$ 12,999			
6060 PH - ReMAT Expenses	\$ 44,005			
6110 WC - Maint/Repair	\$ 20,790			
6150 WC - Capital Projects	\$ 76,105			
6210 Critical Equip Grant	\$ 1,160			
6220 Expert Removal Grant	\$ 200,000			
7000 Hydrography	\$ 27,345			
7100 SCADA/IT	\$ 14,224			
7110 LOS	\$ 2,453			
8000 Consultants	\$ -			
9000 Vehicles	\$ 56,183			
9500 Contingencies	\$ 35,840	\$ -	\$ -	\$ -
Total Expenses	<u>\$ 1,949,323</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Excess Revenues (Expenses)	<u>\$ (26,999)</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 9</u>
Fund Balance 7/1/17	\$ 532,574	\$ -	\$ -	\$ 85,000
Excess Revenues (Expenses)	\$ (26,999)	\$ -	\$ -	\$ 9
xxxx Transfer In	\$ -	\$ 80,000	\$ 100,000	\$ -
xxxx Transfer Out	\$ -	\$ -	\$ (8,950)	\$ -
Ending Fund Balance	<u>\$ 505,575</u>	<u>\$ 80,000</u>	<u>\$ 91,050</u>	<u>\$ 85,009</u>

Unaudited - See Accountant's Disclaimer

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**UWPA OPERATIONS/MAINTENANCE REPORT**

**JUNE 2018**

<b>RAINFALL</b>		<b>APH</b>	<b>MPH</b>
JUNE rainfall		0.0	0.0
PYTD rainfall 7/1/17- 6/30/18		25.4	31.9

**GENERATION**

<b>MURPHYS (sold to Calpine)</b>	
<b>FROGTN 1 UTICAM</b>	
Wtg for ISO/QRE concurrence	1,353
~ 1.88 MW 24/7 for 30 days	
<b>ANGELS (sold to PG&amp;E)</b>	
<b>FROGTN 1 UTICAA</b>	
Wtg for PG&E agreement	498
(~ 693 kw24/7 for 30 days)	
<b>TOTAL GENERATION:</b>	<b>1,852</b> MW/hr

**REVENUES**

June's projected scheduled generation:	
\$38,448	June APH (0.6 MW) @ \$89 (1)
\$34,200	June MPH (1.9 MW) @ \$25
\$11,124	Feb MPH RECs @ \$9
\$1,800	Feb MPH Imb @ \$20
<b>\$85,572</b>	

June's actual scheduled generation:	
\$48,492	June APH (0.69 MW) @ \$78
\$32,798	June MPH (1.9 MW) @ \$27 (2)
\$8,853	Feb MPH RECs @ \$9 (3)
\$8,407	Feb MPH Imb @ \$35 (4)

- (1) Revenue from June's generation will be received in July, new FY'18-'19.
- (2) MPH was scheduled 30 days and power prices came back up. Excess generation of about 124 MWhrs will be imbalance in October.
- (3) Continuing to sell supplemental Vintage 2018 RECs at \$9 on a month-to-month agreement with Calpine.
- (4) MPH had a 5-day outage in February and was "unscheduled" at front and back end, so 241 MWhrs Imbalance sold at RT \$35 in June.

Power Revenue:	\$98,550
Water Revenue:	
Water and Power:	\$98,550

**OUTAGES**

**P = UWPA planned outage      IF = internal forced outage      EF = external forced outage**

Date	Time Off	Duration	Cause	Plant
6/6/2018	1626	26 mins	IF: Training for plant start-up for Jeff and Nick. OK to synch.	Angels
6/12/2018	1551	36 mins	EF: PG&E issue, but we used it for a plant start-up training for Nick and Jeff.	Angels
6/17/2018	1048	1 hr 23 mins	EF: Governor hydraulic failed. Bad relay was switched out.	Murphys
6/20/2018	1307	32 mins	IF: Training for plant start-up for Jeff and Nick. OK to synch.	Murphys

**WATER**

Jun'18 Att A 2,906 + 1 from May'18  
 S-66 + S-127  
 S-47 into conduit + S-128 fish  
 LESS water sale to NCPA @ \$XX/AF  
 UWPA will add to Jun'18 allocation

2,907	AF	Mill Creek inflow S-66	196	AF
(2,827)	AF	Tunnel Tap draft S-127	2,632	AF
		S-47 into conduit + S-128 fish		
	AF	Total:	2,827	AF
80	(5)			

(5) NCPA concurrence received 7/18/18..

//

UTICA WATER AND POWER AUTHORITY

Resolution No. 18-04

RESOLUTION OF THE BOARD OF DIRECTORS OF  
UTICA WATER AND POWER AUTHORITY  
APPROVING ADOPTION OF CalPERS INCOME 457 PLAN

WHEREAS, the Utica Water and Power Authority desires to establish a deferred compensation plan for the benefit of its employees; and

WHEREAS, the Board of Administration (the "Board") of the California Public Employees' Retirement System ("CalPERS") has established the CalPERS Supplemental Income 457 Plan (the "CalPERS 457 Plan") which may be adopted by a governmental employer the employees of which are public employees; and

WHEREAS, Utica Water and Power Authority believes that the CalPERS 457 Plan and the investment options available thereunder will provide valuable benefits to its employees; and

WHEREAS, the Board has appointed Voya Financial (the Plan Recordkeeper) to perform recordkeeping and administrative services under the CalPERS 457 Plan and to act as the Board's agent in all matters relating to the administration of the CalPERS 457 Plan;

NOW, THEREFORE, BE IT RESOLVED that Utica Water and Power Authority adopts the CalPERS 457 Plan for the benefit of its employees and authorizes and directs the General Manager to execute the attached adoption agreement on behalf of Utica Water and Power Authority and to provide CalPERS or any successor agent duly appointed by the Board with such information and cooperation as may be needed on an ongoing basis in the administration of the CalPERS 457 Plan. A copy of this resolution, the agreement, and any attachments thereto shall be on file in the office of Utica Water and Power Authority in Angels Camp, CA.

ON A MOTION by Director \_\_\_\_\_, and seconded by Director \_\_\_\_\_, the foregoing resolution was duly passed and adopted by the Board of Directors of the Utica Water and Power Authority, on the 24th of July, 2018, by the following vote:

AYES:  
NOES:  
ABSTAIN:  
ABSENT:

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Secretary



## CalPERS Supplemental Income 457 Plan

The CalPERS Supplemental Income 457 Plan is a deferred compensation plan administered by CalPERS, a California state agency. Since 1995, CalPERS has provided public agency and school employers and their employees a low-cost, convenient way to save for retirement through payroll deduction.

The 457 Plan offers diversified investment options and state-of-the-art services, delivered at low cost to participants and at no cost to employers.

**768**

Employers in the 457 Plan

**27,765**

Participant Accounts

**\$95 million**

2016 Participant Contributions

**\$1.34 billion**

Assets under management

### Success Factors

- No cost to employers.
- Low costs for employees.
- Dedicated support for employers.
- Excellent customer service and participant education.
- Uncomplicated administrative processes.
- A simple, fair fee structure covers plan administration, recordkeeping and investments.
- Over 20 years of experience serving California public agency and school employers and their employees.
- CalPERS does not engage in revenue sharing or administrative expense reimbursement.

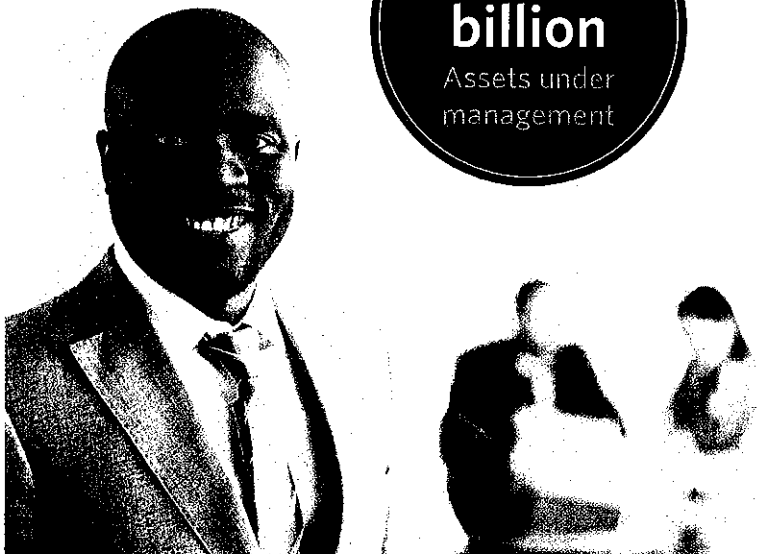
### Employer Diversity

More than 760 California government employers representing many types of public agencies participate in the CalPERS 457 Plan.

Cities or Towns	111
Counties	19
Schools	170
Courts	16
Special Districts	452
<b>Total</b>	<b>768</b>

Statistics as of 12/31/16

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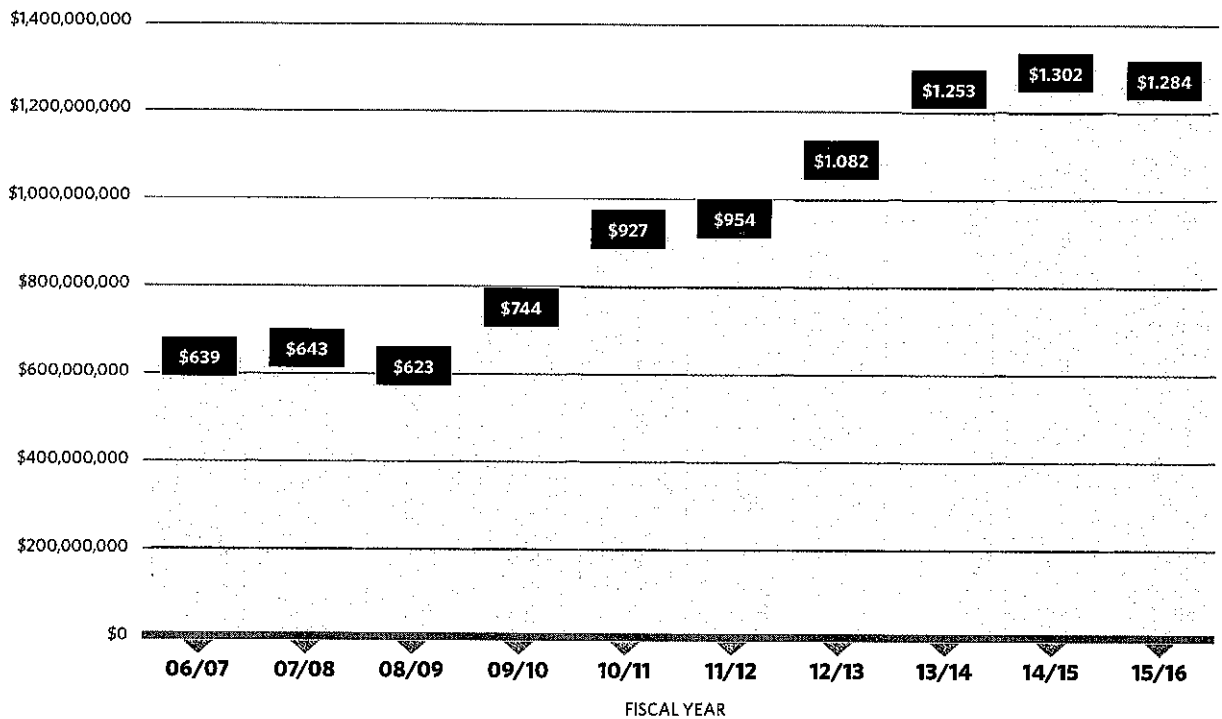
## Advantages For You

- You can provide another valuable benefit to your employees at no cost to your agency.
- To help you manage your fiduciary responsibility, CalPERS:
  - > acts as the trustee of your employees' deferred compensation assets.
  - > selects the investment options.
  - > monitors contribution limits.
  - > leverages existing payroll deduction feeds into myCalPERS to receive your employees' 457 Plan deferrals and loan repayments.
  - > provides guidance on compliance with IRS regulations.

## Benefits To Your Employees

- The convenience of saving for retirement through payroll deduction.
- A competitively priced plan, with total fund expenses of 0.40% - 0.55% for the core investment options.
- A proprietary lineup of core institutional investments including Target Retirement Date Funds specifically designed for public employees based on the latest research in portfolio theory and behavioral finance.
- A self-directed brokerage option for experienced investors through Schwab Personal Choice Retirement Account® (PCRA).
- Financial education and support by licensed experienced local representatives.\*

### The CalPERS 457 Plan Asset Growth (dollars in millions)



Give your agency and employees the advantages of participating in the CalPERS 457 Plan.

- @ Call us toll free at 1-800-696-3907
- Send us an email at [calpers\\_supplemental\\_income\\_457\\_plan@calpers.ca.gov](mailto:calpers_supplemental_income_457_plan@calpers.ca.gov)
- Visit [www.calpers-sip.com](http://www.calpers-sip.com) to learn more about the 457 Plan.

\* Plan administration services provided by Voya Institutional Plan Services, LLC. Information from registered Plan Service Representatives is for educational purposes only and is not legal, tax or investment advice. Local Plan Service Representatives are registered representatives of Voya Financial Advisors, Inc. (member SIPC).



**UTICA WATER AND POWER AUTHORITY**

**Resolution No. 18-05**

**RESOLUTION OF THE BOARD OF DIRECTORS OF  
UTICA WATER AND POWER AUTHORITY  
AMENDING FY18-19 BUDGET**

WHEREAS, the Utica Water and Power Authority adopted FY18-19 Budget on May 22, 2018, and

WHEREAS, staff is recommending a first amendment as described by the attached draft July 20, 2018 Budget Amendment,

NOW, THEREFORE, BE IT RESOLVED the amended budget with total expenditures of \$2,057,955 is hereby adopted as the budget for UWPA for the balance of the 2018-2019 fiscal year;

ON A MOTION by Director \_\_\_\_\_, and seconded by Director \_\_\_\_\_, the foregoing resolution was duly passed and adopted by the Board of Directors of the Utica Water and Power Authority, on the 24<sup>th</sup> of July 2018, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Secretary

# UTICA WATER AND POWER AUTHORITY

Date: July 20, 2018  
 To: Directors  
 From: Staff  
 Re: FY 18-19 Budget Amendment

UWPA's Joint Powers Agreement mandates the adoption of a budget at least 30 days prior to the start of each fiscal year. That timeline gives the member agencies time to plan for UWPA's budget needs as they develop their own budgets. Adopting a budget in May also means UWPA must project its revenues and expenditures through the end of the fiscal year to determine how much surplus or deficit to carry over into the next year. UWPA often ends up adopting a budget amendment early in each fiscal year to account for any discrepancies between the projections and the actual year-end account balances. This proposed budget amendment reconciles our year-end actuals with the FY 18-19 budget and changes several line items.

The budget approved in May included projected revenues and expenditures through the remaining six weeks of FY 17-18. We projected a carryover of \$448,196 from FY 17-18 into FY 18-19. Some of the expenses we expected to incur in June were delayed and revenue was slightly higher than anticipated. As a result, we ended up with a carryover surplus of \$505,576, a little more than \$57,000 higher than projected.

Expenditures we projected in June that are now carried over into FY 18-19 total \$48,049 and include:

G/L 6050	PH capital projects	\$ 8,000	for Murphys Afterbay radial gate repair
G/L 6100	WC maint/repair	\$15,000	for road repair
G/L 6150	WC capital projects	\$25,049	for flume lumber

Staff previously omitted a recommendation for the FY 18-19 budget that it now asks the Board to consider as a part of this budget amendment. Last year we paid \$115,000 for a FERC mandated "Part 12" dam safety inspection. These are regularly recurring inspections that we must complete every five years. Rather than simply paying for that out of one budget cycle, as UWPA has done in the past, staff recommends establishing a Part 12 Reserve Fund to which we deposit at least \$25,000 per year. The new line item would fall under our FERC expenditures in GL 2400.

The following shows the budget that was approved in late May:

	Estimated 6/30/18 balances	Projected 18-19 revenues	Projected 18-19 expenses	Est FYE 6/30/19 balances
Revenue Fund	\$448,196	\$1,556,710	\$1,984,906	\$20,000
Water Balancing	\$80,000	\$80,000		\$160,000
FERC Relicensing	\$91,050	\$100,000		\$191,050
Op & Capital	\$85,000			\$85,000
<b>TOTAL ALL FUNDS:</b>	<b>\$704,246</b>	<b>\$1,736,710</b>	<b>\$1,984,906</b>	<b>\$456,050</b>

The following shows the actual June 30, 2018 fund balances and recommendations for proposed amendments, increasing expenses by \$73,049, including establishing a FERC Part 12 Reserve Fund:

	Actual 6/30/18 balances	Projected 18-19 revenues	Projected 18-19 expenses	Est FYE 6/30/19 balances
Revenue Fund	\$505,576	\$1,556,710	\$2,057,955	\$4,331
Water Balancing	\$80,000	\$80,000		\$160,000
FERC Relicensing	\$91,050	\$100,000		\$191,050
Op & Capital	\$85,008			\$85,008
Part 12 (2022)		\$25,000		\$25,000
<b>TOTAL ALL FUNDS:</b>	<b>\$761,634</b>	<b>\$1,761,710</b>	<b>\$2,057,955</b>	<b>\$465,389</b>

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**UTICA WATER and POWER AUTHORITY  
FY '18-'19 PROPOSED BUDGET AMENDMENT**

	<b>FY '18-'19 APPROVED</b>		<b>ADDITIONAL EXPENSES</b>	<b>BUDGET AMENDMENT</b>
<b>REVENUES:</b>				
1100 Interest Income	\$1,000			\$1,000
1200 Power Sales	\$1,187,268			\$1,187,268
1301 Water Sales to NCPA	\$40,000			\$40,000
1302 Water Agreements	\$80,000			\$80,000
1303 Water Sales UWPA	\$31,351			\$31,351
1400 Property Leases	\$37,200			\$37,200
1500 Other Revenue	\$4,800			\$4,800
1610 Critical Equip Grant	\$5,091			\$5,091
1620 Expert Removal Grant	\$0			\$0
1620 Member Contributions	\$170,000			\$170,000
	<u>\$1,556,710</u>			<u>\$1,556,710</u>
<b>EXPENDITURES:</b>				
2400 FERC Compliance	\$142,000	Part 12 reserve fund	\$25,000	\$167,000
2450 Dam Safety	\$2,000			\$2,000
3000 Operations & Maint	\$626,635			\$626,635
4000 Administration	\$256,990			\$256,990
4050 Travel/Training	\$10,000			\$10,000
4100 Material/misc	\$20,000			\$20,000
4200 Insurance	\$38,400			\$38,400
4300 Legal	\$12,000			\$12,000
4400 Accounting	\$20,600			\$20,600
4500 Fees	\$120,180			\$120,180
4600 Communications	\$33,510			\$33,510
4700 Utilities	\$30,800			\$30,800
5010 Water Balancing Fund	\$80,000			\$80,000
6000 PH - maint/repair	\$18,000			\$18,000
6050 PH - capital projects	\$115,000	MAB radial gate repair	\$8,000	\$123,000
6060 PH - ReMAT expenses	\$82,000			\$82,000
6100 WC - maint/repair	\$55,000	road repair	\$15,000	\$70,000
6150 WC - capital projects	\$148,000	flume lumber	\$25,049	\$173,049
6210 Critical Equip Grant	\$5,091			\$5,091
6220 Expert Removal Grant	\$0			\$0
7000 Hydrography	\$23,500			\$23,500
7100 SCADA/IT	\$35,700			\$35,700
8000 Consultants	\$0			\$0
9000 Vehicles	\$39,500			\$39,500
9500 Contingencies	\$70,000			\$70,000
	<u>\$1,984,906</u>		<u>\$73,049</u>	<u>\$2,057,955</u>
<b>SURPLUS/DEFICIT:</b>				
	(\$428,196)			(\$501,245)
<b>UWPA TRANSFERS:</b>				
	\$448,196			\$505,576
<b>YEAR-END REVENUE FUND:</b>				
	\$20,000			\$4,331
<b>7/1/18 BEG BAL ALL FUNDS:</b>				
'17-18 Revenue Fund rollover	(\$448,196) 6/1 estimate		7/1 actual	(\$505,576)
'17-18 Water Balancing Fund	\$0		no +/-	\$0
'18-19 Water Balancing Fund	\$80,000		new funds	\$80,000
'18-19 Water Balancing Fund	\$0		no +/-	\$0
FERC Relicensing Fund	\$100,000		new funds	\$100,000
FERC 5-year Part 12 (2022) Fund			new funds	\$25,000
Op & Capital Reserve Fund	\$0			\$0
<b>6/30/19 YEAR END RESERVES:</b>				
	\$436,050			\$461,058
<b>TOTAL ALL FUNDS:</b>				
	<u>\$456,050</u>			<u>\$465,389</u>

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