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## MISSION STATEMENT

*Utica Water and Power Authority is committed:*

*to preserve and protect the water of the Sierra foothills for the vested interest of the communities in East Calaveras County by promoting collaborative efforts between its two member entities, Union Public Utility District and the City of Angels;  
to maintain the historical properties of the Argonauts' original ditch system while advancing efficient management and technology;  
to deliver water to the communities served, by using revenues generated from hydroelectric energy production; and  
to assure the quality and quantity of this precious resource for the best beneficial public use for generations to come.*

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# UTICA WATER AND POWER AUTHORITY

## REGULAR BOARD MEETING

UWPA Office Building ~ 1168 Booster Way, Angels Camp ~ (209) 736-9419

Tuesday – October 23, 2018 – 5:30 p.m.

*(1) In compliance with Title II of the Americans with Disabilities Act, 28 CFR Part 36, if you need special assistance to participate in this meeting, please contact the UWPA office at (209)736-9419 or fax your request to (209)736-0114. Notification in advance of the meeting will enable UWPA to make reasonable accommodations to ensure accessibility to this meeting. (2) Any materials distributed to the Board and that relate to an agenda item are available at the UWPA office 72 hours prior to the scheduled meeting.*

## AGENDA

(prev. Res. #18-06)

### Call to Order and Pledge of Allegiance

**Item 1: Approval of Agenda**

**Item 2: Opportunity for Public Comments:**

*Limited to 5 minutes per person. Members of the public may address the board on items not agendaized. The public is encouraged to work through staff members to put items on the agenda to be considered by the Board. No action will be taken unless an item is agendaized.*

**Item 3: Consent Calendar - Approval of all items**

A. Minutes of the regular board meeting dated 9/25/18 p. 1-5

**Item 4: Staff Reports - Discussion only**

A. Financials 9/1/18 through 9/30/18 p. 6-10

B. General Manager – M. Minkler

C. Administrative report – K. Rojas

D. Generation and O&M Reports for September – F. Fields p. 11

E. Water Conveyance – K. Rasmussen

Board of Directors

**Greg Rasmussen**, U.P.U.D. ~ Chairman      **Scott Behiel**, City of Angels ~ Vice-Chairman

**Eric Bottomley**, U.P.U.D. ~ Secretary      **Larry Thompson**, At-Large Member      **Joseph Oliveira**, City of Angels

- Item 5:**        **Old Business** - *Discussion and possible action*  
A. ReMAT Update – M. Minkler  
B. “2 X 2” discussions with CCWD - G. Rasmussen

- Item 6:**        **New Business** - *Discussion and possible action*  
A. Agreement in the amount of \$75,000 for “Critical Equipment Resource Mobilization,” Resolution 18-07 - M. Minkler (*see Item 6A attached*)        p. 12  
B. FY’18-’19 Budget Amendment, Resolution 18-08 - M. Minkler        p. 13-15  
C. Determination of November and December meeting dates - M. Minkler

- Item 7:**        **Reports** – *Discussion only*  
A. Tuolumne-Stanislaus Integrated Regional Water Management Authority  
B. Calaveras Public Power Agency (CPPA)  
C. Calaveras Local Agency Formation Commission (LAFCo)  
D. Calaveras Water Work Group for the Hwy 4 Corridor  
E. U.P.U.D.  
F. City of Angels

- Item 8:**        **Staff/Directors' Comments**

**Adjourn**

**Next Regular Meeting:**    Tuesday, November 27, 2018 at 5:30 pm

Board of Directors

**Greg Rasmussen**, U.P.U.D. ~ Chairman        **Scott Behiel**, City of Angels ~ Vice-Chairman  
**Eric Bottomley**, U.P.U.D. ~ Secretary    **Larry Thompson**, At-Large Member    **Joseph Oliveira**, City of Angels

# UTICA WATER AND POWER AUTHORITY

1168 Booster Way  
Angels Camp, CA 95222

September 25, 2018  
Regular Board Meeting

The Board of Directors of the Utica Water and Power Authority met in Regular Session at the UWPA office in Angels Camp, California.

Directors present:	Greg Rasmussen, UPUD	Chairman
	Scott Behiel, COA	Vice Chairman
	Tom Quincy, UPUD	Alternate
	Joe Oliveira, COA	Alternate
	Larry Thompson	Director
Also present:	Michael Minkler	General Manager
	Karen Rojas	Administrative Manager
	Frank Fields	O & M Superintendent
	Kyle Rasmussen	Water Conveyance Supervisor
	Dennis Mills	County Supervisor
	Ralph Chick	UPUD

Call to Order and the Pledge of Allegiance  
The meeting was called to order at 5:30 pm by Chairman Rasmussen.

Item 1: Approval of Agenda

Director Behiel moved to approve the agenda; seconded by Director Thompson. The motion passed 5-0.

Item 2: Opportunity for Public Comments: No comment

Item 3: Consent Calendar – Approval of all items

- A. Minutes of the regular board meeting dated 7/24/18
- B. Minutes of the regular board meeting dated 8/28/18

Director Rasmussen requested a motion to approve the the minutes of the regular board meeting dated 7/24/18. Director Behiel made the motion and Director Thompson seconded. The minutes were approved 3-0 with one abstention.

Director Rasmussen requested a motion to approve the minutes of the regular board

meeting dated 8/28/18. Director Oliveira made the motion and Director Quincy seconded. The minutes were approved 4-0 with one abstention.

Item 4: Staff Reports – Discussion only:

- A. Financials 8/1/18 through 8/31/18 - Michael reported the budget looks better than last month, primarily due to receiving the quarterly contributions from UPUD and COA. We did receive the July payment from Calpine this month (August), as well as COA's water agreement contribution. All water and budget contributions are up to date. Director Thompson stressed again his concern over budget shortfalls. One additional expense this month was the disbursement to MarTech who worked with our operators to repair the radial gate that was long overdue. The radial gate was an item in the Part 12 that we needed to repair. Director Behiel asked about the increase this month in expenditures for capital improvements. This is due to lumber and other materials purchased in preparation for the maintenance outage in November.
- B. General Manager – M. Minkler - Michael reported we have been approved for the Cal FIRE grant with which we will be purchasing a new truck in November hopefully. Frank and Kyle have been doing a tremendous amount of planning for the upcoming outage beginning on November 5th. This outage could last as long as five weeks to accomplish all the work we were planning to do. In addition to regular maintenance we are replacing relays at APH, which will take about two weeks. We have a lot of testing and approvals to get through before we would be able to go back online. We still have to organize a schedule for the work and possibly may need to split the outage and do another one in the spring. Michael also commented that the water tax failed. ACWA made it a top priority to do what they could to defeat it. It's not over yet. It will be back with a new administration trying to get it passed. ACWA has put a lot of work into coming up with alternatives. They aren't opposed to funding for disadvantaged communities, they are opposed to a water tax to fund it. They're looking at other funding sources to present to the new administration in place of the tax. SB100 passed; it is the renewable portfolio standard making the new 100% carbon free electricity goal by the year 2045. No hydro was mentioned. Michael suggested to ACWA that they oppose it, unless they make it clear in the bill that hydro power would be considered a source of carbon free power. The clarification wasn't made and the bill passed. The general consensus is there is no way to get to 100% without hydro power and there will be an ongoing effort to include hydro power. The RPS, which includes small hydro (under 30 MW), but no large hydro, now states a target of 60% renewable by 2030. This could be a benefit for us due to the value of RECs increasing. Once both plants are in ReMAT it won't have much affect on UWPA. Another movement that could affect UWPA long term is the regionalization of the entire Western utility grid. CAISO, which is the balancing authority for 80-90% of California is pushing to accomplish this. It failed this year, but is sure to return. The federal government has opened up funding for energy and water projects for the Bureau of Reclamation. We'll be seeking funding through USBR for improvements to Dogtown Ditch and the lower system.
- C. Administrative Report – K. Rojas - Karen reported since the last meeting in August Michael attended a leadership conference at Ironstone put on by the



Calaveras Chamber of Commerce. Our account manager from Voya came and met with all UWPA employees about the new 457 plan and explained the benefits and investment choices. Lori and Karen went to NCPA's EAP Orientation. Michael attended the quarterly utility meetings at CCWD. Their next meeting is October 3rd. Michael gave a tour of UWPA's system to some of the CCWD staff and later that day met with members of the newly organized "2X2" group. We had our annual dam safety inspection from FERC for the Utica Project 2019 (not the Angels 2699). There are various plans within the dam safety plan that constantly need to be updated. There are recommendations FERC gives for us to review and respond to. All went well, but it's a huge undertaking for staff. Michael said that Karen has done a great job organizing all the reports and material the inspector may want to review. FERC will issue their follow-up report and we will have to address any of their concerns. We've had several inspectors over the years which make it challenging to have consistency. The inspector had concerns about the concrete spalling at Hunters. We made it clear we just completed our Part 12 inspections, during which a very detailed study had been done by engineers, and no major concerns were noted. October 8th is a holiday for UWPA. New emails have been assigned to our board members so we can forward them useful information and material concerning water and power. UWPA's 2018 EAP Orientation up at the Murphys Fire Training Center is scheduled for the morning of Wednesday, October 24th. In October the agenda will include a resolution for signing the new truck grant agreement and also discuss the possibility of merging the November and December board meetings.

- D. Generation and O&M Reports for August – F. Fields - Frank reported there were no outages the month of August. We received a heat index warning from PG&E during this month and decided to go "unscheduled" to avoid penalties if by chance we couldn't meet the load scheduled. Our water allotment was exceeded by 1 AF which we will pay back in September. August was a very good revenue month. We were scheduled for 1.9 MW for the entire month without any deviations.
- E. Water Conveyance – K. Rasmussen – Kyle reported the crew started on the automation at Ross. They hand dug 350 LF of trench to lay conduit for future automation at Ross. Canal patrol has been good. Michael Medlin from Sierra Hydrographics was here to do some training. Kyle and his crew met with James Bishop from CalFIRE and Matt O'Donnell from Ebbetts Pass Fire to certify the high angle rope rescue at "3/4-mile Flume" to review safety procedures. They also worked on a design plan for new fall protection and rescue in the event an accident should occur during the maintenance outage. Most of the materials have been purchased for the outage. Kyle also mentioned some breaking and entering occurred in the yard over the last couple months. Nothing was missing, only a section of the fence was cut. Kyle met with Scott Anderson from Calaveras County Road Department to discuss putting up a guard rail or some kind of protection along areas of the flume on Murphys Grade Road where there is evidence of accidents. Scott agreed to work with us to install additional guard rails. Kyle will follow up. Dennis Mills mentioned there is new funding through COG for guard rails. Michael asked about rails for the Murphys Afterbay. Dennis said that Cal Trans is doing a needs study now.

Item 5: Old Business – Discussion and possible action

- A. ReMAT Update – M. Minkler - Michael reported he's been engaged with the "ReMAT Coalition" which is made of up other stakeholders who are affected by the ReMAT injunction. The PUC initiated their own rule making regarding the standard offer contract to meet PURPA requirements. The PUC adopted the staff's proposal, the parties to the proceeding have had time to comment, and the reply comments have been delivered. The biggest concern was that, if the price is too high and the big IOUs don't like the content of the contract, they will fight to oppose it. The coalition reviewed their comments and regrouped. They decided if they can come up with a settlement that the majority can agree with and submit it to the PUC that helps support PUC's efforts. CalWEA took the discussion to PG&E and they were favorable toward the compromise. Michael will attend the pre-hearing conference this week. We have a broad coalition of support including ACWA who signed on to the reply comment. The commission sees this as favorable. Hopefully the combined effort will speed the process up. We have some expenditures for resources in this process that will be paid from the ReMAT line item in the budget, but they are anticipated to be minimal.
- B. "2 X 2" discussions with CCWD – G. Rasmussen - Director Rasmussen reported the group signed a NDA with CCWD including, but not limited to, the objective and goals of the project, responsibilities of the parties involved, and monetary contributions. UWPA's goal is to deliver water to our ag customers and get an agreement in place for the future. This would especially be beneficial in dry years to be sure we have a good supply of water to meet all our obligations. More studies need to be done concerning costs. Michael commented that he is concerned about the post-1914 water rights and how this could affect our agreement in the future with CCWD and even UWPA's contracts for water. The state is trying to mandate releases from the upper reservoirs to meet unimpaired flows in the Stanislaus from February to June. Should this occur we could possibly be without enough water to serve our customers. Because of CCWD's water retention rights, they will have enough to meet this new agreement even in dry years, obviously depending on the state's decisions. Director Rasmussen recommended the board give Michael the approval to work with CCWD on this agreement. Michael feels the scope of work proposed in the "2 X 2" meeting with CCWD can be cut by nearly two-thirds. A budget amendment for the board to approve will be prepared for next months' meeting to include expenditures relating to this agreement.

Item 6: Reports – Discussion only

- A. Tuolumne-Stanislaus Integrated Regional Water Management Authority - Canceled.
- B. Calaveras Public Power Agency (CPPA) - No report.
- C. Calaveras Local Agency Formation Commission (LAFCo) - Dennis reported they discussed the Senate Bill 606 that has to do with conservation. It only affects agencies with 3,000 or more customers. By 2024 there will be a 50 gallon per day, per person limit. They will use LIDAR to determine a baseline of water usage for each area in the state. Michael commented there are areas in the Central Valley that do not have enough ag water to their properties. There are plans to pipe water (recycled wastewater) from other areas to meet demand.

- D. Calaveras Water Work Group for the Hwy 4 Corridor - No meeting.
- E. U.P.U.D. - Nothing to report.
- F. City of Angels - Director Oliveira reported the chief of police interview committee will be making their recommendation to the council this week. Tractor Supply cleared the planning commission and the city will be outsourcing engineering. October 20th is Wild West Days. The rate study is in beginning stages; the consultant will be making presentations shortly.

Item 7: Staff/Directors' Comments – Director Thompson announced he's getting married in October. Director Rasmussen congratulated him and thanked him for his service and expertise.

The meeting was adjourned at 7:10 pm.

The next regular meeting is scheduled for Tuesday, October 23, 2018 at 5:30 pm.

Approved at the October 23, 2018 meeting:

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Secretary

DRAFT

Vaughn Tribble, CPA

Daniel Ayala, CPA

Douglas Tribble, CPA

**Tribble & Ayala**  
**Certified Public Accountants, Inc.**

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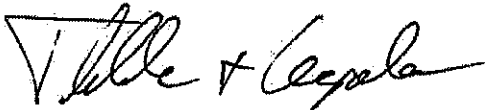
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Accountant's Disclaimer

Utica Water & Power Authority  
Angels Camp, California

The accompanying Consolidated Report - statement of revenues, expenses and fund balance (cash basis) and the accompanying Revenue Fund Report - statement of revenues, expenses and budget variances (cash basis) for the period ending September 30, 2018 of the fiscal year July 1, 2018 to June 30, 2019, and the accompanying Bank Activity Report (All Funds) for the period September 1 to September 30, 2018 of the Utica Water & Power Authority were not audited by us and, accordingly, we do not express an opinion on them. The financial statements have been prepared on the cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the cash basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Utica Water & Power Authority's revenues, expenses, and fund balance. Accordingly, the financial statements are not designed for those who are not informed about such matters.



Certified Public Accountants, Inc.

October 17, 2018

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Utica Water and Power Authority  
Bank Activity Report (All Funds)  
For the Period September 1 to September 30, 2018

**Revenue Fund**

Recap	Current Month	Prior Month	Difference
Revenues	\$ 125,543.14	\$ 217,128.98	\$ (91,585.84)
Expenses	\$ (129,262.77)	\$ (135,280.34)	\$ 6,017.57
Difference	\$ (3,719.63)	\$ 81,848.64	\$ (85,568.27)

Fund Balance - 8/31/2018 \$ 522,965.51

Revenues:	9/18/2018 Calpine payment for May 2018 renewable energy	13,635.00
	9/20/2018 Calpine payment Aug 2018 power	62,452.30
	9/28/2018 Paul/UPUD payment for 2018/19 water	599.70
	9/28/2018 Sierra Hope Sept 2018 Rent	835.00
	9/28/2018 Murphys Realty Sept 2018 Rent	827.00
	9/28/2018 Colonial Life refund of overpayment	35.92
	9/28/2018 PG&E payment for Aug 2018 power	47,158.22
<b>Total Revenues</b>		<b>125,543.14</b>

Expenses:	9/10/2018 Alhambra	(71.07)
	9/10/2018 Calaveras Lumber Co, Inc.	(427.14)
	9/10/2018 Calaveras County Water District	(123.58)
	9/10/2018 Calaveras Power Agency	(650.60)
	9/10/2018 California Waste Recovery Systems	(81.04)
	9/10/2018 CDK Supply	(323.15)
	9/10/2018 CDK Supply	(317.73)
	9/10/2018 CDK Supply	(24.27)
	9/10/2018 CDK Supply	(30.46)
	9/10/2018 Choice Builder	(753.09)
	9/10/2018 City of Angels	(170.40)
	9/10/2018 City of Angels	(131.70)
	9/10/2018 Colonial Life	(71.84)
	9/10/2018 Columbia Communications, Inc.	(75.00)
	9/10/2018 Driven	(930.32)
	9/10/2018 Kimball Midwest	(109.27)
	9/10/2018 Mother Lode Answering Service, Inc.	(127.00)
	9/10/2018 New Frontier Auto Supply Inc	(248.61)
	9/10/2018 Nash Chevron	(20.00)
	9/10/2018 Pacific Gas & Electric Co.	(107.34)
	9/10/2018 Pacific Gas & Electric Co.	(157.53)
	9/10/2018 Reliance Metalcenter	(7,889.31)
	9/10/2018 Sierra Hydrographics	(1,633.50)
	9/10/2018 Staples Business Advantage	(64.83)
	9/10/2018 State Compensation Insurance Fund	(40.45)
	9/10/2018 State Compensation Insurance Fund	(2,182.00)
	9/10/2018 The Red Store	(77.63)
	9/10/2018 Payroll transfer for 9/10/18 wages & taxes	(26,421.98)
	9/10/2018 Timothy and Deanna Plunkett	(75.00)
	9/10/2018 Tribble & Ayala CPA's, Inc.	(1,377.24)
	9/10/2018 Union Public Utility District	(53.00)
	9/10/2018 U.S. Bank Equipment Finance	(199.76)
	9/10/2018 Verizon Wireless	(485.15)
	9/10/2018 U.S. Bank Equipment Finance	(432.47)
	9/14/2018 Pacific Gas & Electric Co.	(5,000.00)
	9/17/2018 Bank Charge	(14.95)
	9/20/2018 TASC	(2,666.66)

Utica Water and Power Authority  
Bank Activity Report (All Funds)  
For the Period September 1 to September 30, 2018

9/24/2018 AT&T 555 8	(1,631.28)	
9/24/2018 Blue Shield of California	(174.00)	
9/24/2018 Blue Shield of California	(7,673.17)	
9/24/2018 CalPERS 457 Plan	(26,732.27)	
9/24/2018 CDK Supply	(398.28)	
9/24/2018 CDK Supply	(192.00)	
9/24/2018 Comcast Business	(145.75)	
9/24/2018 Copy Center	(195.66)	
9/24/2018 Comcast Business	(450.76)	
9/24/2018 Gateway Press, Inc.	(46.65)	
9/24/2018 Griffith & Masuda	(494.17)	
9/24/2018 Humana Insurance Co.	(20.40)	
9/24/2018 New York Life	(27.90)	
9/24/2018 Pacific Gas & Electric Co.	(22.99)	
9/24/2018 U.S. Postmaster	(50.00)	
9/24/2018 Van Ness Feldman, LLP	(368.18)	
9/24/2018 Payroll transfer for 9/24/18 wages & taxes	(24,697.78)	
9/24/2018 CalPERS 457 Plan	(1,959.80)	
9/30/2018 Transfer out to FERC Relicensing Fund	(8,333.33)	
9/30/2018 Transfer out to FERC Part 12 Fund	(2,083.33)	
<b>Total Expenses</b>	<b>(129,262.77)</b>	
<b>Net Revenues</b>	<b>(3,719.63)</b>	
<b>Transfers:</b>		
<b>Total Transfers</b>	<b>-</b>	
<b>Fund Balance - 9/30/2018</b>		<b>\$ 519,245.88</b>
<b><u>Water Balancing Fund</u></b>		
Fund Balance - 8/31/2018	\$ 100,000.00	
Fund Balance - 9/30/2018		<b>\$ 100,000.00</b>
<b><u>FERC Relicensing Fund</u></b>		
Fund Balance - 8/31/2018	\$ 107,716.93	
9/30/2018 Transfer in from Revenue Fund	8,333.33	
Fund Balance - 9/30/2018		<b>\$ 116,050.26</b>
<b><u>FERC Part 12 Fund</u></b>		
Fund Balance - 8/31/2018	\$ 4,166.66	
9/30/2018 Transfer in from Revenue Fund	2,083.33	
Fund Balance - 9/30/2018		<b>\$ 6,249.99</b>
<b><u>Operating &amp; Capital Reserve Fund</u></b>		
Fund Balance - 8/31/2018	\$ 85,012.18	
9/28/2018 Interest earned Sept 2018	1.77	
Fund Balance - 9/30/2018		<b>\$ 85,013.95</b>
<b>Total All Funds - 9/30/2018</b>		<b>\$ 826,560.08</b>
Total All Funds - 8/31/2018		<b>\$ 819,861.28</b>
Difference		<b>\$ 6,698.80</b>

Unaudited - See Accountant's Disclaimer



Utica Water & Power Authority  
**Revenue Fund Report**  
Statement of Revenues, Expenses and Budget Variances (Cash Basis)  
For the Period Ending September 30, 2018 (25.21%)  
Of the Fiscal Year July 1, 2018 to June 30, 2019

<u>Revenues:</u>	<u>Budget - 7/24/18</u>	<u>Revenues to Date</u>	<u>Balance</u>	<u>% of Budget</u>	<u>Year-To-Date Annualized %</u>
1100 Interest Income	\$ 5,000	\$ 1,922	\$ 3,078	38.44%	152.49%
1200 Power Sales	\$ 1,187,268	\$ 370,776	\$ 816,492	31.23%	123.90%
1301 Water Sales to NCPA	\$ 40,000	\$ -	\$ 40,000	0.00%	0.00%
1302 Water Agreements	\$ 80,000	\$ 20,000	\$ 60,000	25.00%	99.18%
1303 Water Sales UWPA	\$ 31,351	\$ 600	\$ 30,751	1.91%	7.59%
1400 Property Leases	\$ 37,200	\$ 7,979	\$ 29,221	21.45%	85.10%
1500 Other Revenues	\$ 9,800	\$ 5,800	\$ 4,000	59.18%	234.80%
1610 Critical Equip Grant	\$ 5,091	\$ 486	\$ 4,605	9.55%	37.90%
1620 Grant	\$ 75,000	\$ -	\$ 75,000	0.00%	0.00%
1700 Member Contributions	\$ 170,000	\$ 42,500	\$ 127,500	25.00%	99.18%
<b>Total Revenues</b>	<b>\$ 1,640,710</b>	<b>\$ 450,063</b>	<b>\$ 1,190,647</b>	<b>27.43%</b>	<b>108.83%</b>

<u>Expenses:</u>	<u>Budget - 7/24/18</u>	<u>Expenses to Date</u>	<u>Balance</u>	<u>% of Budget</u>	<u>Year-To-Date Annualized %</u>
2400 FERC Compliance	\$ 167,000	\$ 31,250	\$ 135,750	18.71%	74.24%
2450 Dam Safety	\$ 2,000	\$ -	\$ 2,000	0.00%	0.00%
3000 Operations & Maint	\$ 628,424	\$ 171,050	\$ 457,374	27.22%	107.99%
4000 Administration	\$ 259,170	\$ 74,950	\$ 184,220	28.92%	114.73%
4050 Travel/Training	\$ 10,000	\$ 1,702	\$ 8,298	17.02%	67.52%
4100 Materials/Misc	\$ 20,000	\$ 4,517	\$ 15,483	22.59%	89.61%
4200 Insurance	\$ 38,400	\$ -	\$ 38,400	0.00%	0.00%
4300 Legal	\$ 12,000	\$ 2,647	\$ 9,353	22.06%	87.52%
4400 Accounting	\$ 20,600	\$ 3,241	\$ 17,359	15.73%	62.41%
4500 Fees	\$ 120,180	\$ 18,393	\$ 101,787	15.30%	60.72%
4600 Communications	\$ 33,510	\$ 9,058	\$ 24,452	27.03%	107.24%
4700 Utilities	\$ 30,800	\$ 5,774	\$ 25,026	18.75%	74.38%
5010 Water Balancing Fund	\$ 80,000	\$ 20,000	\$ 60,000	25.00%	99.18%
6010 PH - Maint/Repair	\$ 18,000	\$ 3,924	\$ 14,076	21.80%	86.49%
6050 PH - Capital Projects	\$ 123,000	\$ 9,858	\$ 113,142	8.01%	31.80%
6060 PH - ReMAT Expenses	\$ 82,000	\$ 3,570	\$ 78,430	4.35%	17.27%
6070 PH - MAB Hydro Project	\$ 5,000	\$ 368	\$ 4,632	7.36%	29.21%
6110 WC - Maint/Repair	\$ 70,000	\$ 3,686	\$ 66,314	5.27%	20.89%
6150 WC - Capital Projects	\$ 173,049	\$ 56,435	\$ 116,614	32.61%	129.38%
6210 Critical Equip Grant	\$ 5,091	\$ 1,239	\$ 3,852	24.34%	96.57%
6220 Grant	\$ 75,000	\$ -	\$ 75,000	0.00%	0.00%
7000 Hydrography	\$ 23,500	\$ 6,237	\$ 17,263	26.54%	105.30%
7100 SCADA/IT	\$ 14,700	\$ 531	\$ 14,169	3.61%	14.32%
7110 SCADA Capital Projects	\$ 21,000	\$ 2,281	\$ 18,719	10.86%	43.09%
8000 Consultants	\$ -	\$ -	\$ -	0.00%	0.00%
9000 Vehicles	\$ 39,500	\$ 5,681	\$ 33,819	14.38%	57.06%
9500 Contingencies	\$ 70,000	\$ -	\$ 70,000	0.00%	0.00%
<b>Total Expenses</b>	<b>\$ 2,141,924</b>	<b>\$ 436,393</b>	<b>\$ 1,705,532</b>	<b>20.37%</b>	<b>80.83%</b>
<b>Excess Revenues (Expenses)</b>	<b>\$ (501,214)</b>	<b>\$ 13,670</b>	<b>\$ (514,885)</b>		

xxxx Transfer In	\$ -	\$ -	\$ -	0.00%	0.00%
xxxx Transfer Out	\$ -	\$ -	\$ -	0.00%	0.00%

Utica Water & Power Authority  
**Consolidated Report**  
Statement of Revenues, Expenses and Fund Balance (Cash Basis)  
For the Period Ending September 30, 2018 (25.21%)  
Of the Fiscal Year July 1, 2018 to June 30, 2019

<u>Revenues:</u>	<u>Revenue Fund</u>	<u>Water Balancing Fund</u>	<u>FERC Relicensing Fund</u>	<u>FERC Part 12 Fund</u>	<u>Operating &amp; Capital Reserve Fund</u>
1100 Interest Income	\$ 1,922	\$ -	\$ -	\$ -	\$ 5
1200 Power Sales	\$ 370,776				
1301 Water Sales to NCPA	\$ -				
1302 Water Agreements	\$ 20,000				
1303 Water Sales UWPA	\$ 600				
1400 Property Leases	\$ 7,979				
1500 Other Revenues	\$ 5,800				
1610 Critical Equip Grant	\$ 486				
1620 Grant	\$ -				
1700 Member Contributions	\$ 42,500	\$ -	\$ -	\$ -	\$ -
Total Revenues	<u>\$ 450,063</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 5</u>
<u>Expenses:</u>					
2400 FERC Compliance	\$ 31,250				
2450 Dam Safety	\$ -				
3000 Operations & Maint	\$ 171,050				
4000 Administration	\$ 74,950				
4050 Travel/Training	\$ 1,702				
4100 Materials/Misc	\$ 4,517				
4200 Insurance	\$ -				
4300 Legal	\$ 2,647				
4400 Accounting	\$ 3,241				
4500 Fees	\$ 18,393				
4600 Communications	\$ 9,058				
4700 Utilities	\$ 5,774				
5010 Water Balancing Fund	\$ 20,000				
6010 PH - Maint/Repair	\$ 3,924				
6050 PH - Capital Projects	\$ 9,858				
6060 PH - ReMAT Expenses	\$ 3,570				
6070 PH - MAB Hydro Project	\$ 368				
6110 WC - Maint/Repair	\$ 3,686				
6150 WC - Capital Projects	\$ 56,435				
6210 Critical Equip Grant	\$ 1,239				
6220 Grant	\$ -				
7000 Hydrography	\$ 6,237				
7100 SCADA/IT	\$ 531				
7110 SCADA Capital Projects	\$ 2,281				
8000 Consultants	\$ -				
9000 Vehicles	\$ 5,681				
9500 Contingencies	\$ -				
Total Expenses	<u>\$ 436,393</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Excess Revenues (Expenses)	<u>\$ 13,670</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 5</u>
Fund Balance 7/1/18	\$ 505,576	\$ 80,000	\$ 91,050	\$ -	\$ 85,009
Excess Revenues (Expenses)	\$ 13,670	\$ -	\$ -	\$ -	\$ 5
xxxx Transfer In	\$ -	\$ 20,000	\$ 25,000	\$ 6,250	\$ -
xxxx Transfer Out	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Fund Balance	<u>\$ 519,246</u>	<u>\$ 100,000</u>	<u>\$ 116,050</u>	<u>\$ 6,250</u>	<u>\$ 85,014</u>

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UWPA OPERATIONS/MAINTENANCE REPORT

**SEPTEMBER 2018**

RAINFALL		APH	MPH
SEPTEMBER rainfall		0.0	0.0
PYTD rainfall	7/1/18- 6/30/19	0.0	0.0

**GENERATION**

<b>MURPHYS (sold to Calpine)</b>	
FROGTN 1 UTICAM	
Wtg for ISO/QRE concurrence	1,408
~ 1.95 MW 24/7 for 30 days	
<b>ANGELS (sold to PG&amp;E)</b>	
FROGTN 1 UTICAA	
Waiting for PG&E's concurrence	516
(~ 717 kw24/7 for 30 days)	
<b>TOTAL GENERATION:</b>	1,924 MWhr

**REVENUES**

September's projected scheduled generation:

\$51,264	Sept APH (0.8 MW) @ \$89 (1)
\$36,000	Sept MPH (2.0 MW) @ \$25
\$11,124	May MPH RECs @ \$9
\$1,800	May MPH Imb @ \$20
<b>\$100,188</b>	

September's actual scheduled generation:

\$48,151	Sept APH (0.717 MW) @ \$93
\$44,600	Sept MPH (1.95 MW) @ \$33 (2)
\$13,635	May MPH RECs @ \$9 (3)
\$2,657	May MPH Imb @ \$16 (4)

- (1) Revenue from September's generation will be received in October.
- (2) MPH was scheduled 720 hrs, but power prices started to slide. Excess generation of about 40 MWhrs will be imbalance in January.
- (3) Continuing to sell supplemental Vintage 2018 RECs at \$9 on a month-to-month agreement with Calpine.
- (4) MPH was scheduled 24/7, 166 MWhrs excess imbalance sold at \$16.00 RT which nearly matched May's scheduled DA price of \$16.

Power Revenue:	\$109,042
Water Revenue:	
Water and Power:	\$109,042

**OUTAGES**

**P = UWPA planned outage      IF = internal forced outage      EF = external forced outage**

Date	Time Off	Duration	Cause	Plant
9/2/2018	0159	1 hr 2 min	EF: No flags; suspect PG&E switching.	Angels
9/11/2018	0814	1 hr 15 min	IF: Shut down to repair governor belt because it had slipped off the shaft due to being overstretched.	Angels
9/29/2018	0709	30 min	EF: PG&E was switch testing and knocked out our MCR, MSC, and field breaker.	Angels
9/29/2018	0820	2 hrs 28 min	EF: PG&E was switch testing and knocked out our MCR, MSC, and field breaker.	Angels

**WATER**

Sept'18 Att A 2,953 + (-1) from Aug'18  
S-66 + S-127  
S-47 into conduit + S-128 fish  
LESS water sale to NCPA @ \$XX/AF  
UWPA will carry over to October

2,952	AF	Mill Creek inflow S-66	34	AF
(2,950)	AF	Tunnel Tap draft S-127	2,916	AF
		S-47 into conduit + S-128 fish		
	AF	Total:	2,950	AF
2	(5)			

(5) NCPA agreed on 10/9/18.

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**RESOLUTION NO 18-07**

**RESOLUTION OF THE BOARD OF DIRECTORS OF THE  
UTICA WATER AND POWER AUTHORITY  
APPROVING THE  
DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
AGREEMENT #5GG17215:  
“CRITICAL EQUIPMENT MOBILIZATION RESOURCES”**

BE IT RESOLVED by the Board of Directors of the UTICA WATER AND POWER AUTHORITY, that said Board does hereby approve the agreement with the California Department of Forestry and Fire Protection dated October 2, 2018. This agreement provides CRITICAL EQUIPMENT MOBILIZATION RESOURCES during the State fiscal year 2017/2018 up to and no more than the amount of \$75,000.

BE IT FURTHER RESOLVED that Michael Minkler, General Manager of Utica Water and Power Authority, be and hereby is authorized to sign and execute said agreement on behalf of the UTICA WATER AND POWER AUTHORITY.

BE IT FURTHER RESOLVED that Michael Minkler, General Manager, or Karen Sue Rojas, Administrative Manager, of Utica Water and Power Authority, be and hereby are authorized to sign and execute invoices on behalf of the UTICA WATER AND POWER AUTHORITY.

Moved by Director \_\_\_\_\_ and seconded by Director \_\_\_\_\_, the foregoing resolution was duly passed and adopted by the Board of Directors of the UTICA WATER AND POWER AUTHORITY at a regular meeting thereof held on October 23, 2018, by the following vote:

Ayes:            Directors  
Noes:  
Abstain:  
Absent:

The Chairman declared the resolution adopted.

Witness our hand or the seal of the Utica Water and Power Authority on October 23, 2018.

\_\_\_\_\_  
Greg Rasmussen, Board Chairman

\_\_\_\_\_  
Eric Bottomley, Board Secretary

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# Utica Water and Power Authority

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Date: October 19, 2018  
To: Directors  
From: General Manager  
Re: FY'18-'19 Budget Amendment

## O&M and Admin CalPERS 457 Plan

Starting in January 2018, UWPA held Employee and Employer retirement contributions in a LAIF account until the new 457 plan was available. Our CalPERS account was opened in September. At that time, we took the retirement contributions from our reserve account and deposited it in our employees' 457 accounts. While this was anticipated, we inadvertently omitted this expenditure from the FY 18/19 budget. This budget amendment corrects that omission by adding \$11,549 to GL 3000 and \$5,879 to GL 4000 for a total of \$17,428. It is important to reiterate that these were UWPA employee funds that were held in UWPA reserves.

## Legal and Consultant Expenses

UWPA staff projects increased legal and consultant expenditures associated with the effort to negotiate a water transfer agreement with CCWD. Staff recommends increasing the legal budget by \$6,000 and increasing our budget for consulting services by \$7,500.

**UTICA WATER and POWER AUTHORITY  
FY '18-'19 BUDGET AMENDMENT**

**DRAFT 10/19/18**

	<b>FY '18-'19 APPROVED 8/28/2018</b>		<b>PROPOSED AMENDMENTS</b>	<b>BUDGET AMENDMENT</b>
<b>REVENUES:</b>				
1100 Interest Income	\$5,000			\$5,000
1200 Power Sales	\$1,187,268			\$1,187,268
1301 Water Sales to NCPA	\$40,000			\$40,000
1302 Water Agreements	\$80,000			\$80,000
1303 Water Sales UWPA	\$31,351			\$31,351
1400 Property Leases	\$37,200			\$37,200
1500 Other Revenue	\$9,800			\$9,800
1610 Critical Equip Grant	\$5,091			\$5,091
1620 Mobilization Grant	\$75,000			\$75,000
1700 Member Contributions	\$170,000			\$170,000
	<u>\$1,640,710</u>		<u>\$0</u>	<u>\$1,640,710</u>
<b>EXPENDITURES:</b>				
2400 FERC Compliance	\$167,000			\$167,000
2450 Dam Safety	\$2,000			\$2,000
3000 Operations & Maint	\$628,424	1/1/18 - 6/30/18 retirement funds	\$11,549	\$639,973
4000 Administration	\$259,170	1/1/18 - 6/30/18 retirement funds	\$5,879	\$265,049
4050 Travel/Training	\$10,000			\$10,000
4100 Material/misc	\$20,000			\$20,000
4200 Insurance	\$38,400			\$38,400
4300 Legal	\$12,000	increased legal expenses	\$6,000	\$18,000
4400 Accounting	\$20,600			\$20,600
4500 Fees	\$120,180			\$120,180
4600 Communications	\$33,510			\$33,510
4700 Utilities	\$30,800			\$30,800
5010 Water Balancing Fund	\$80,000			\$80,000
6010 PH - maint/repair	\$18,000			\$18,000
6050 PH - capital projects	\$123,000			\$123,000
6060 PH - ReMAT expenses	\$82,000			\$82,000
6070 PH - MAB Hydro Project	\$5,000			\$5,000
6110 WC - maint/repair	\$70,000			\$70,000
6150 WC - capital projects	\$173,049			\$173,049
6210 Critical Equip Grant	\$5,091			\$5,091
6220 Mobilization Grant	\$75,000			\$75,000
7000 Hydrography	\$23,500			\$23,500
7100 SCADA/IT	\$14,700			\$14,700
7110 SCADA Capital Projects	\$21,000			\$21,000
8000 Consultants	\$0	potential consultant expenses	\$7,500	\$7,500
9000 Vehicles	\$39,500			\$39,500
9500 Contingencies	\$70,000			\$70,000
	<u>\$2,141,924</u>		<u>\$30,928</u>	<u>\$2,172,852</u>
<b>SURPLUS/DEFICIT:</b>	(\$501,214)		(\$30,928)	(\$532,142)
<b>UWPA TRANSFERS:</b>	\$505,576			\$532,142
<b>YEAR-END REVENUE FUND:</b>	\$4,362			\$0
<b>7/1/18 BEG BAL ALL FUNDS:</b>	\$761,634			\$761,634
'17-'18 Revenue Fund rollover	(\$505,576)			(\$505,576)
Water Balancing Fund deposits	\$80,000			\$80,000
Water Balancing Fund transfers	\$0			(\$26,566)
FERC Relicensing Fund deposits	\$100,000			\$100,000
Part 12 (2022) Fund deposits	\$25,000			\$25,000
Op & Capital Reserve Fund	\$0			\$0
<b>6/30/19 YEAR END RESERVES:</b>	\$461,058			\$434,492
<b>TOTAL ALL FUNDS:</b>	<u>\$465,420</u>			<u>\$434,492</u>

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**UTICA WATER AND POWER AUTHORITY**

**Resolution No. 18-08**

**RESOLUTION OF THE BOARD OF DIRECTORS OF  
UTICA WATER AND POWER AUTHORITY ADOPTING A  
THIRD AMENDMENT TO THE FY18-19 BUDGET**

WHEREAS, Utica Water and Power Authority adopted FY18-19 Budget on May 22, 2018, and subsequently approved a first amendment on July 24, 2018, and a second amendment on August 28, 2018;

WHEREAS, staff is recommending a third amendment as described by the attached October 19, 2018 draft FY18-19 Budget Amendment,

NOW, THEREFORE, BE IT RESOLVED the amended budget with total expenditures of \$2,172,852 is hereby adopted as the budget for UWPA for the balance of the 2018-2019 fiscal year;

ON A MOTION by Director \_\_\_\_\_, and seconded by Director \_\_\_\_\_, the foregoing resolution was duly passed and adopted by the Board of Directors of the Utica Water and Power Authority, on the 23<sup>rd</sup> of October 2018, by the following vote:

AYES:  
NOES:  
ABSTAIN:  
ABSENT:

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Secretary