
MISSION STATEMENT

Utica Water and Power Authority is committed:

to preserve and protect the water of the Sierra foothills for the vested interest of the communities in East Calaveras County by promoting collaborative efforts between its two member entities, Union Public Utility District and the City of Angels; to maintain the historical properties of the Argonauts' original ditch system while advancing efficient management and technology; to deliver water to the communities served, by using revenues generated from hydroelectric energy production; and to assure the quality and quantity of this precious resource for the best beneficial public use for generations to come.

UTICA WATER AND POWER AUTHORITY

REGULAR BOARD MEETING

UWPA Office Building ~ 1168 Booster Way, Angels Camp ~ (209) 736-9419

Tuesday – December 10, 2018 – 5:30 p.m.

(1) In compliance with Title II of the Americans with Disabilities Act, 28 CFR Part 36, if you need special assistance to participate in this meeting, please contact the UWPA office at (209)736-9419 or fax your request to (209)736-0114. Notification in advance of the meeting will enable UWPA to make reasonable accommodations to ensure accessibility to this meeting. (2) Any materials distributed to the Board and that relate to an agendized item are available at the UWPA office 72 hours prior to the scheduled meeting.

AGENDA

(prev. Res. #18-08)

Call to Order and Pledge of Allegiance

Item 1: Approval of Agenda

Item 2: Opportunity for Public Comments:

Limited to 5 minutes per person. Members of the public may address the board on items not agendized. The public is encouraged to work through staff members to put items on the agenda to be considered by the Board. No action will be taken unless an item is agendized.

Item 3: Consent Calendar - Approval of all items

A. Minutes of the regular board meeting dated 10/23/18 p. 1-4

Item 4: Staff Reports - Discussion only

A. Financials 10/1/18 through 10/31/18 p. 5-9

B. General Manager – M. Minkler

C. Administrative report – K. Rojas

D. Generation and O&M Reports for Oct/Nov – F. Fields p. 10-11

E. Water Conveyance – K. Rasmussen

Board of Directors

Greg Rasmussen, U.P.U.D. ~ Chairman **Scott Behiel**, City of Angels ~ Vice-Chairman
Eric Bottomley, U.P.U.D. ~ Secretary **Larry Thompson**, At-Large Member **Joseph Oliveira**, City of Angels

Item 5: Old Business - Discussion and possible action

A. ReMAT Update – M. Minkler

Item 6: New Business - Discussion and possible action

A. 2019 Outage Report – M. Minkler, F. Fields, K. Rasmussen

Item 7: Reports – Discussion only

A. Tuolumne-Stanislaus Integrated Regional Water Management Authority

B. Calaveras Public Power Agency (CPPA)

C. Calaveras Local Agency Formation Commission (LAFCo)

D. Calaveras Water Work Group for the Hwy 4 Corridor

E. U.P.U.D.

F. City of Angels

Item 8: Staff/Directors' Comments

Item 9: Adjourn to Closed Session: Discussion and possible action

A. CONFERENCE WITH LEGAL COUNSEL - ANTICIPATED LITIGATION
Pursuant to Gov't Code Section 54956.9(d)(4): One case.

Reconvene from Closed Session: Report of reportable action.

Adjourn

Next Regular Meeting: Tuesday, January 22, 2019 at 5:30 pm

Board of Directors

Greg Rasmussen, U.P.U.D. ~ Chairman **Scott Behiel**, City of Angels ~ Vice-Chairman
Eric Bottomley, U.P.U.D. ~ Secretary **Larry Thompson**, At-Large Member **Joseph Oliveira**, City of Angels

UTICA WATER AND POWER AUTHORITY

1168 Booster Way
Angels Camp, CA 95222

October 23, 2018
Regular Board Meeting

The Board of Directors of the Utica Water and Power Authority met in Regular Session at the UWPA office in Angels Camp, California.

Directors present:	Greg Rasmussen, UPUD	Chairman
	Veronica Metildi, COA	Alternate Director
	Joe Oliveira, COA	Director
	Larry Thompson, Community	Director

Also present:	Michael Minkler	General Manager
	Karen Rojas	Administrative Manager
	Frank Fields	O & M Superintendent

Absent:	Scott Behiel, COA	Vice Chairman
	Eric Bottomley, UPUD	Secretary

Call to Order and the Pledge of Allegiance

The meeting was called to order at 5:30 pm by Chairman Rasmussen/

Item 1: Approval of Agenda
Motion by Director Thompson to approve the agenda; seconded by Director Oliveira and adopted by 4-1-1 absent. Ayes: Rasmussen, Metildi, Oliveira and Thompson; Noes: none; Absent: Bottomley

Item 2: Opportunity for Public Comments: None

Item 3: Consent Calendar
A. Minutes of the regular board meeting dated 9/25/18

Motion by Director Oliveira to approve the Consent Calendar; motion seconded by Director Thompson and adopted 3-0 with one abstention. Ayes: Rasmussen, Thompson & Oliveira; Noes: none; Abstain: Metildi

Item 4: Staff Reports – Discussion only
A. Financials – 9/1/18 through 9/30/18 - Director Rasmussen commented our

revenues are up this month. Michael reported it's been a good power market this year so far. The market is averaging around \$40/MWhr. There were two distributions to CalPERS 457 Plan. One was a lump sum of the employee/employer contributions that had been set aside until the new plan accounts were opened; the second was for the regular bi-monthly contributions. Resolution 18-08 is to correct the omission of the expenditures for contributions held for the CalPERS 457 until the plan was available. This amendment corrects the omission from the FY '18-'19 budget by adding a total of \$17,420 to GL's 3000 and 4000.

- B. General Manager – M. Minkler - Michael reported Frank and Kyle have done a tremendous amount of work planning for the upcoming outage. Up until a week or so we weren't sure we would have PG&E approval to perform the work we had scheduled during the fall outage. PG&E finally gave approval with the condition that we change one of the components out. Frank has been here on days off to change drawings and work to satisfy PG&E requirements. The outage will continue through the end of November because of the amount of work and testing that needs to be done by our contractors and PG&E. We are hoping to have the OCB installed during the outage, but we're not sure of delivery date. We may need to plan another outage in the spring if we don't receive it before the end of November. Director Oliveira asked is all of this has been budgeted. Michael answered it that the water sales in the budget will compensate for loss of generation revenues and it should be a "wash."
- C. Administrative Report – K. Rojas - Karen reported Michael has attended many PUC and water meetings. Our EAP orientation seminar is scheduled for October 24th at the Murphys Fire Training Center. Director Thompson who is on our FERC committee will be attending along with our staff. This is an annual requirement to gather the EMAs, review EPA procedures, and update the binders. Every five years we do a tabletop and functional exercise.
- D. Generation and O&M Reports for September – F. Fields - Frank explained the Operations Report. We had a few outages. Some were due PG&E switching; one was due to a governor belt repair. The outage at the end of September was due to PG&E switching that knocked out our MCR, MSC, and field breaker. The last outage was a very good learning experience for our new operators as well as our veteran operators. There were many variables that created problems with re-starting the plant and took a lot of troubleshooting to identify and correct. Since the break-in we had last month, we've installed security cameras in the yard and other locations throughout the system. These cameras provide live feed of a particular location. In addition to the security the cameras provide, the operators now have a visual on the spill course at Hunters and it can be monitored during heavy storms. Michael commented we are working on putting together UWPA's long term financial plan and hope to have updated numbers before the end of the month.
- E. Water Conveyance – K. Rasmussen – No report

Item 5: Old Business – Discussion and possible action

- A. ReMAT Update M. Minkler - Michael reported the PUC has initiated its own rule making to try to create a new standard offer contract to address the issues the judge found were deficient in the Winding Creek Solar case. UWPA is actively involved in the proceeding to push it forward as fast as possible. Many

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stakeholders are involved as well and the effort is to get everyone on the same page and support a single proposal to present to the PUC. The biggest hurdle to get over right now is the length of the new contract; the pricing is agreeable right now. The stakeholders feel the contract needs to be a longer length of time to satisfy PURPA, but the utility companies believe a shorter term does satisfy PURPA's requirements. There is no clear rule as to the number of years required. Negotiations are continuing and stakeholders are trying to get more support. If the commission could adopt a proposed decision early next year and the PUC could present it, then the injunction could be lifted in about six months. Michael has been pushing to keep the proceeding open in the event the judge doesn't lift the injunction so changes can be made without starting the whole process again.

- B. "2 X 2" discussions with CCWD – G. Rasmussen – The staff is working on some important issues. Nothing more to report.

Item 6: New Business – Discussion and possible action

- A. Agreement in the amount of \$75,000. for "Critical Equipment Resource Mobilization," Resolution 18-07 – M. Minkler (see Item 6A attached) - The resolution proposed is to approve the agreement with the California Department of Forestry and Fire Protection for Critical Equipment Mobilization Resources grant. The grant will help purchase a multi-purpose flatbed truck for the operators.

Motion by Director Oliveira to approve Resolution 18-07 approving the Department of Forestry and Fire Protection Agreement #5GG17215; seconded by Director Thompson.

The board was polled: Ayes: Rasmussen, Thompson, Metildi, and Oliveira; Noes: None; Absent: Bottomley. The Resolution was adopted by unanimous vote of Directors present.

- B. FY'19-'19 Budget Amendment, Resolution 18-08 – M. Minkler - The resolution proposed is to approve a third budget amendment to the FY 18-19 budget. The first amendment is to correct 2 GL entries for disbursement of employee funds held in reserve for the CalPERS 457. The second amendment is to increase legal/consultant expenditures associated with the water transfer agreement with CCWD.

Motion by Director Thompson moved to approve Resolution 18-08 FY 18-19 Budget Amendment; seconded by Director Oliveira.

The board was polled: Ayes: Oliveira, Metildi, Thompson, and Rasmussen; Noes: none; Absent: Bottomley. The resolution passed by unanimous vote of directors present.

- C. Determination of November and December meeting dates – M. Minkler - Director Rasmussen explained, because of the Thanksgiving holiday in the past we've combined the November and December meetings into one meeting. It was proposed and agreed to merge the two meetings to Monday, December 10th.

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Item 7: Reports – Discussion only

- A. Tuolumne-Stanislaus Integrated Regional Water Management Authority – Karen reported they had a long discussion about soil measuring and combining groups into “funding areas.”
- B. Calaveras Public Power Agency (CPPA) – No report.
- C. Calaveras Local Agency Formation Commission (LAFCo) – No report.
- D. Calaveras Water Work Group for the Hwy 4 Corridor – No report.
- E. U.P.U.D. - No report.
- F. City of Angels - Director Oliveira reported at the city's meeting they had a presentation from the water consultants that painted a grim picture. The final report should come out in November. The business association gave a presentation and showed an example of the historical plaques they will be placing on the buildings downtown. The city passed the ordinance changes allowing the new Tractor Supply store to be built.

Item 8: Staff/Directors' Comments: Director Thompson is happy the city is working with UWPA to gather financial information to better understand what is required to deliver water to the communities they serve.

Item 9: Adjourn to Closed Session at 7:00 pm: Discussion and possible action

- A. CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION
Pursuant to Gov't Code Section 54956.9(d)(4): One case
Our legal counsel, Roger Masuda, joined by phone call.

Reconvened from Closed Session at 7:55 pm.

Report of reportable action: Direction given to staff.

Adjournment: 8:00 pm

Next regular meeting: Tuesday, December 10, 2018 at 5:30 pm.

Approved at the December 10, 2018 meeting.

Chairman

Secretary

Vaughn Tribble, CPA

Daniel Ayala, CPA

Douglas Tribble, CPA

Tribble & Ayala
Certified Public Accountants, Inc.

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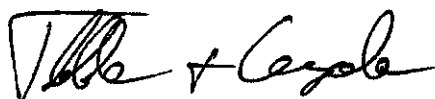
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Accountant's Disclaimer

Utica Water & Power Authority
Angels Camp, California

The accompanying Consolidated Report - statement of revenues, expenses and fund balance (cash basis) and the accompanying Revenue Fund Report - statement of revenues, expenses and budget variances (cash basis) for the period ending October 31, 2018 of the fiscal year July 1, 2018 to June 30, 2019, and the accompanying Bank Activity Report (All Funds) for the period October 1 to October 31, 2018 of the Utica Water & Power Authority were not audited by us and, accordingly, we do not express an opinion on them. The financial statements have been prepared on the cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the cash basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Utica Water & Power Authority's revenues, expenses, and fund balance. Accordingly, the financial statements are not designed for those who are not informed about such matters.



Certified Public Accountants, Inc.

November 27, 2018

Utica Water and Power Authority
Bank Activity Report (All Funds)
For the Period October 1 to October 31, 2018

Revenue Fund

Recap	Current Month	Prior Month	Difference
Revenues	\$ 154,932.05	\$ 125,543.14	\$ 29,388.91
Expenses	\$ (158,940.85)	\$ (129,262.77)	\$ (29,678.08)
Difference	\$ (4,008.80)	\$ (3,719.63)	\$ (289.17)

Fund Balance - 9/30/2018 \$ 519,245.88

Revenues:	10/1/2018 CCATT payment for Oct 2018 rent	1,496.70
	10/15/2018 Interest earned Jul-Sept 2018 - LAIF	2,830.90
	10/18/2018 Calpine payment for May 2018 imbalance	2,657.04
	10/19/2018 Calpine payment for June 2018 imbalance	2,544.49
	10/19/2018 Calpine payment for June 2018 renewable energy	12,213.00
	10/22/2018 Calpine payment for Sept 2018 power	42,599.64
	10/24/2018 City of Angels CMM/DL Data work-up Aug 2018	400.00
	10/24/2018 Flex System refund	7,958.68
	10/24/2018 Sierra Hope October Rent	825.00
	10/24/2018 Blue Shield of CA rebate	558.69
	10/24/2018 Murphys Realty October Rent	827.00
	10/30/2018 City of Angels FY 18-19 2nd Qtr Water Payment	10,000.00
	10/30/2018 City of Angels CMM/DL Data Work-up Sept 2018	400.00
	10/30/2018 Wallace 2nd half water payment	220.18
	10/30/2018 City of Angels 2nd Qtr Member Contribution	21,250.00
	10/31/2018 PG&E payment for Sept 2018 power	48,150.73
	Total Revenues	154,932.05

Expenses:	10/9/2018 Payroll transfer for 10/9/18 wages & taxes	(24,904.58)
	10/9/2018 Calaveras Lumber Co, Inc.	(3,190.19)
	10/9/2018 California Waste Recovery Systems	(81.04)
	10/9/2018 Carson Hill Rock	(71.24)
	10/9/2018 CDK Supply	(70.95)
	10/9/2018 CDK Supply	(184.67)
	10/9/2018 CDK Supply	(332.13)
	10/9/2018 City of Angels	(170.40)
	10/9/2018 City of Angels	(131.70)
	10/9/2018 Choice Builder	(753.09)
	10/9/2018 Colonial Life	(71.84)
	10/9/2018 Columbia Communications, Inc.	(75.00)
	10/9/2018 Columbia Communications, Inc.	(253.78)
	10/9/2018 Driven	(1,208.51)
	10/9/2018 GHD Inc.	(1,700.00)
	10/9/2018 Karen S Rojas	(27.25)
	10/9/2018 Kyle A Rasmussen	(262.76)
	10/9/2018 Modesto Steel	(576.15)
	10/9/2018 Mother Lode Answering Service, Inc.	(127.00)
	10/9/2018 Mountain Oasis Purified Water	(86.50)
	10/9/2018 Nick Mann	(177.98)
	10/9/2018 O'Reilly Automotive, Inc.	(27.65)
	10/9/2018 Pacific Gas & Electric Co.	(446.72)
	10/9/2018 Pacific Gas & Electric Co.	(163.27)
	10/9/2018 Pacific Gas & Electric Co.	(116.81)
	10/9/2018 Sierra Hydrographics	(2,840.77)
	10/9/2018 Staples Business Advantage	(391.99)
	10/9/2018 State Compensation Insurance Fund	(2,182.00)
	10/9/2018 Timothy and Deanna Plunkett	(75.00)
	10/9/2018 Tribble & Ayala CPA's, Inc.	(977.72)
	10/9/2018 Tuolumne Stanislaus Integrated Regional	(200.00)
	10/9/2018 Union Public Utility District	(53.00)
	10/9/2018 U.S. Bank Corporate	(4,276.21)
	10/9/2018 U.S. Bank Equipment Finance	(199.76)
	10/9/2018 Verizon Wireless	(484.95)
	10/9/2018 CalPERS 457 Plan	(1,968.97)
	10/9/2018 ACWA/Joint Powers Insurance Agency	(20,678.00)

Utica Water and Power Authority
Bank Activity Report (All Funds)
For the Period October 1 to October 31, 2018

10/15/2018 Bank Charge	(55.95)	
10/17/2018 TASC	(2,639.66)	
10/22/2018 A-1 Sharpening & Small Engine Repair	(40.60)	
10/22/2018 ACWA	(8,045.00)	
10/22/2018 Alhambra	(15.98)	
10/22/2018 Allied Valve Inc.	(14,232.80)	
10/22/2018 AT&T 555 8	(1,876.39)	
10/22/2018 Blue Shield of California	(174.00)	
10/22/2018 Blue Shield of California	(7,673.17)	
10/22/2018 Calaveras Power Agency	(552.00)	
10/22/2018 California Special Districts Association	(573.75)	
10/22/2018 California Wind Energy Association	(2,000.00)	
10/22/2018 CDK Supply	(553.32)	
10/22/2018 CMS Medicare Insurance	(402.00)	
10/22/2018 Comcast Business	(148.02)	
10/22/2018 Comcast Business	(457.41)	
10/22/2018 Gateway Press, Inc.	(83.66)	
10/22/2018 Griffith & Masuda	(425.00)	
10/22/2018 Humana Insurance Co.	(20.40)	
10/22/2018 New York Life	(27.90)	
10/22/2018 Pacific Gas & Electric Co.	(23.09)	
10/22/2018 U.S. Bank Equipment Finance	(432.47)	
10/22/2018 CalPERS 457 Plan	(2,113.62)	
10/23/2018 Payroll transfer for 10/24/18 wages & taxes	(26,418.42)	
10/31/2018 Transfer CoA Quarterly out to Water Balancing Fund	(10,000.00)	
10/31/2018 Transfer out to FERC Relicensing Fund	(8,333.33)	
10/31/2018 Transfer out to FERC Part 12 Fund	(2,083.33)	
Total Expenses	<u>(158,940.85)</u>	
Net Revenues	(4,008.80)	
Transfers:		
10/23/2018 Budgetary transfer from Water Balancing Fund	26,566.00	
Total Transfers	<u>26,566.00</u>	
Fund Balance - 10/31/2018		\$ 541,803.08
<u>Water Balancing Fund</u>		
Fund Balance - 9/30/2018	\$ 100,000.00	
10/23/2018 Budgetary transfer to Revenue Fund	(26,566.00)	
10/31/2018 Transfer CoA Quarterly from Revenue Fund	10,000.00	
Fund Balance - 10/31/2018		\$ 83,434.00
<u>FERC Relicensing Fund</u>		
Fund Balance - 9/30/2018	\$ 116,050.26	
10/31/2018 Transfer in from Revenue Fund	8,333.33	
Fund Balance - 10/31/2018		\$ 124,383.59
<u>FERC Part 12 Fund</u>		
Fund Balance - 9/30/2018	\$ 6,249.99	
10/31/2018 Transfer in from Revenue Fund	2,083.33	
Fund Balance - 10/31/2018		\$ 8,333.32
<u>Operating & Capital Reserve Fund</u>		
Fund Balance - 9/30/2018	\$ 85,013.95	
10/31/2018 Interest earned Oct 2018	1.83	
Fund Balance - 10/31/2018		\$ 85,015.78
Total All Funds - 10/31/2018		\$ 842,969.77
Total All Funds - 9/30/2018		<u>\$ 826,560.08</u>
Difference		<u>\$ 16,409.69</u>

Unaudited - See Accountant's Disclaimer

Utica Water & Power Authority
Revenue Fund Report

Statement of Revenues, Expenses and Budget Variances (Cash Basis)
For the Period Ending October 31, 2018 (33.70%)
Of the Fiscal Year July 1, 2018 to June 30, 2019

<u>Revenues:</u>	<u>Budget - 10/23/18</u>	<u>Revenues to Date</u>	<u>Balance</u>	<u>% of Budget</u>	<u>Year-To-Date Annualized %</u>
1100 Interest Income	\$ 5,000	\$ 4,753	\$ 247	95.05%	282.07%
1200 Power Sales	\$ 1,187,268	\$ 480,941	\$ 706,327	40.51%	120.21%
1301 Water Sales to NCPA	\$ 40,000	\$ -	\$ 40,000	0.00%	0.00%
1302 Water Agreements	\$ 80,000	\$ 30,000	\$ 50,000	37.50%	111.28%
1303 Water Sales UWPA	\$ 31,351	\$ 820	\$ 30,531	2.62%	7.76%
1400 Property Leases	\$ 37,200	\$ 11,128	\$ 26,072	29.91%	88.77%
1500 Other Revenues	\$ 9,800	\$ 15,144	\$ (5,344)	154.53%	458.58%
1610 Critical Equip Grant	\$ 5,091	\$ 486	\$ 4,605	9.55%	28.35%
1620 Grant	\$ 75,000	\$ -	\$ 75,000	0.00%	0.00%
1700 Member Contributions	\$ 170,000	\$ 63,750	\$ 106,250	37.50%	111.28%
Total Revenues	\$ 1,640,710	\$ 607,023	\$ 1,033,688	37.00%	109.79%
<u>Expenses:</u>	<u>Budget - 10/23/18</u>	<u>Expenses to Date</u>	<u>Balance</u>	<u>% of Budget</u>	<u>Year-To-Date Annualized %</u>
2400 FERC Compliance	\$ 167,000	\$ 41,667	\$ 125,333	24.95%	74.04%
2450 Dam Safety	\$ 2,000	\$ -	\$ 2,000	0.00%	0.00%
3000 Operations & Maint	\$ 639,973	\$ 219,933	\$ 420,040	34.37%	101.98%
4000 Administration	\$ 265,049	\$ 95,316	\$ 169,733	35.96%	106.72%
4050 Travel/Training	\$ 10,000	\$ 1,844	\$ 8,156	18.44%	54.73%
4100 Materials/Misc	\$ 20,000	\$ 6,016	\$ 13,984	30.08%	89.26%
4200 Insurance	\$ 38,400	\$ 20,678	\$ 17,722	53.85%	159.80%
4300 Legal	\$ 18,000	\$ 3,072	\$ 14,928	17.07%	50.65%
4400 Accounting	\$ 20,600	\$ 4,218	\$ 16,382	20.48%	60.77%
4500 Fees	\$ 120,180	\$ 29,303	\$ 90,877	24.38%	72.35%
4600 Communications	\$ 33,510	\$ 12,607	\$ 20,903	37.62%	111.64%
4700 Utilities	\$ 30,800	\$ 7,615	\$ 23,185	24.72%	73.37%
5010 Water Balancing Fund	\$ 80,000	\$ 30,000	\$ 50,000	37.50%	111.28%
6010 PH - Maint/Repair	\$ 18,000	\$ 4,109	\$ 13,891	22.83%	67.75%
6050 PH - Capital Projects	\$ 123,000	\$ 10,434	\$ 112,566	8.48%	25.17%
6060 PH - ReMAT Expenses	\$ 82,000	\$ 5,570	\$ 76,430	6.79%	20.16%
6070 PH - MAB Hydro Project	\$ 5,000	\$ 368	\$ 4,632	7.36%	21.85%
6110 WC - Maint/Repair	\$ 70,000	\$ 4,151	\$ 65,849	5.93%	17.60%
6150 WC - Capital Projects	\$ 173,049	\$ 75,313	\$ 97,736	43.52%	129.15%
6210 Critical Equip Grant	\$ 5,091	\$ 1,239	\$ 3,852	24.34%	72.23%
6220 Grant	\$ 75,000	\$ 3,112	\$ 71,888	4.15%	12.31%
7000 Hydrography	\$ 23,500	\$ 9,078	\$ 14,422	38.63%	114.64%
7100 SCADA/IT	\$ 14,700	\$ 606	\$ 14,094	4.12%	12.23%
7110 SCADA Capital Projects	\$ 21,000	\$ 3,641	\$ 17,359	17.34%	51.45%
8000 Consultants	\$ 7,500	\$ -	\$ 7,500	0.00%	0.00%
9000 Vehicles	\$ 39,500	\$ 7,469	\$ 32,031	18.91%	56.11%
9500 Contingencies	\$ 70,000	\$ -	\$ 70,000	0.00%	0.00%
Total Expenses	\$ 2,172,852	\$ 597,361	\$ 1,575,493	27.49%	81.58%
Excess Revenues (Expenses)	\$ (532,142)	\$ 9,661	\$ (541,805)		
xxxx Transfer In	\$ -	\$ 26,566	\$ (26,566)	0.00%	0.00%
xxxx Transfer Out	\$ -	\$ -	\$ -	0.00%	0.00%

Utica Water & Power Authority
Consolidated Report
Statement of Revenues, Expenses and Fund Balance (Cash Basis)
For the Period Ending October 31, 2018 (33.70%)
Of the Fiscal Year July 1, 2018 to June 30, 2019

<u>Revenues:</u>	<u>Revenue Fund</u>	<u>Water Balancing Fund</u>	<u>FERC Relicensing Fund</u>	<u>FERC Part 12 Fund</u>	<u>Operating & Capital Reserve Fund</u>
1100 Interest Income	\$ 4,753	\$ -	\$ -	\$ -	\$ 7
1200 Power Sales	\$ 480,941				
1301 Water Sales to NCPA	\$ -				
1302 Water Agreements	\$ 30,000				
1303 Water Sales UWPA	\$ 820				
1400 Property Leases	\$ 11,128				
1500 Other Revenues	\$ 15,144				
1610 Critical Equip Grant	\$ 486				
1620 Grant	\$ -				
1700 Member Contributions	\$ 63,750	\$ -	\$ -	\$ -	\$ -
Total Revenues	<u>\$ 607,023</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 7</u>
<u>Expenses:</u>					
2400 FERC Compliance	\$ 41,667				
2450 Dam Safety	\$ -				
3000 Operations & Maint	\$ 219,933				
4000 Administration	\$ 95,316				
4050 Travel/Training	\$ 1,844				
4100 Materials/Misc	\$ 6,016				
4200 Insurance	\$ 20,678				
4300 Legal	\$ 3,072				
4400 Accounting	\$ 4,218				
4500 Fees	\$ 29,303				
4600 Communications	\$ 12,607				
4700 Utilities	\$ 7,615				
5010 Water Balancing Fund	\$ 30,000				
6010 PH - Maint/Repair	\$ 4,109				
6050 PH - Capital Projects	\$ 10,434				
6060 PH - ReMAT Expenses	\$ 5,570				
6070 PH - MAB Hydro Project	\$ 368				
6110 WC - Maint/Repair	\$ 4,151				
6150 WC - Capital Projects	\$ 75,313				
6210 Critical Equip Grant	\$ 1,239				
6220 Grant	\$ 3,112				
7000 Hydrography	\$ 9,078				
7100 SCADA/IT	\$ 606				
7110 SCADA Capital Projects	\$ 3,641				
8000 Consultants	\$ -				
9000 Vehicles	\$ 7,469				
9500 Contingencies	\$ -	\$ -	\$ -	\$ -	\$ -
Total Expenses	<u>\$ 597,361</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Excess Revenues (Expenses)	<u>\$ 9,661</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 7</u>
Fund Balance 7/1/18	\$ 505,576	\$ 80,000	\$ 91,050	\$ -	\$ 85,009
Excess Revenues (Expenses)	\$ 9,661	\$ -	\$ -	\$ -	\$ 7
xxxx Transfer In	\$ 26,566	\$ 30,000	\$ 33,333	\$ 8,333	\$ -
xxxx Transfer Out	\$ -	\$ (26,566)	\$ -	\$ -	\$ -
Ending Fund Balance	<u>\$ 541,803</u>	<u>\$ 83,434</u>	<u>\$ 124,384</u>	<u>\$ 8,333</u>	<u>\$ 85,016</u>

UWPA OPERATIONS/MAINTENANCE REPORT

OCTOBER 2018

RAINFALL		APH	MPH
OCTOBER rainfall		0.5	0.6
PYTD rainfall	7/1/18- 6/30/19	0.5	0.6

GENERATION

MURPHYS (sold to Calpine)	
FROG TN 1 UTICAM	
Wtg for ISO/QRE concurrence	1,486
~ 2.0 MW 24/7 for 31 days	
ANGELS (sold to PG&E)	
FROG TN 1 UTICAA	
PG&E concurred 11/24	634
(~ 850 kw24/7 for 31 days)	
TOTAL GENERATION:	2,120 MWhr

REVENUES

October's projected scheduled generation:

\$57,672	Oct APH (0.9 MW) @ \$89 ⁽¹⁾
\$36,000	Oct MPH (2.0 MW) @ \$25
\$11,124	June MPH RECs @ \$9
\$1,800	June MPH Imb @ \$20
\$106,596	

October's actual scheduled generation:

\$60,813	Oct APH (0.852 MW) @ \$96
\$61,741	Oct MPH (1.9 MW) @ \$44 ⁽²⁾
\$12,213	June MPH RECs @ \$9 ⁽³⁾
\$2,544	June MPH Imb @ \$16 ⁽⁴⁾

- (1) Revenues from October's generation will be received in November.
- (2) MPH was scheduled at 2.0 for first 26 days, but we decreased to 1.5 last 5 days to conserve water prior to outage.
- (3) Continuing to sell supplemental Vintage 2018 RECs at \$9 on a month-to-month agreement with Calpine.
- (4) MPH went "unscheduled" for one day at end of June for PG&E work, so 130 MWhrs were imbalance in October at \$19 vs DA at \$27.

Power Revenue:	\$137,311
Water Revenue:	\$0
Water and Power:	\$137,311

OUTAGES

P = UWPA planned outage IF = internal forced outage EF = external forced outage

Date	Time Off	Duration	Cause	Plant
10/8/2018	0546	43 min	EF: 86 trip, no flags.	Murphys
10/24/2018	1434	58 min	IF: Had problems with governor motor.	Angels

WATER

Oct'18 Att A 3,019 + 2 from Sept'18
S-66 + S-127
S-47 into conduit + S-128 fish
LESS water sale to NCPA @ \$XX/AF
UWPA will pay back in November

3,021	AF	Mill Creek inflow S-66	62	AF
(3,038)	AF	Tunnel Tap draft S-127	2,976	AF
		S-47 into conduit + S-128 fish		
	AF	Total:	3,038	AF
(17)	⁽⁵⁾			

(5) NCPA agreed on 12/6/18.

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UWPA OPERATIONS/MAINTENANCE REPORT

NOVEMBER 2018

RAINFALL	APH	MPH
NOVEMBER rainfall	6.0	7.8
PYTD rainfall 7/1/18- 6/30/19	6.5	8.4
Last year this time	4.6	6.1

GENERATION

MURPHYS (sold to Calpine)	
FROGNTN_1_UTICAM	
Wtg for ISO/QRE concurrence	102
~ 1.3 MW for first three days only	
ANGELS (sold to PG&E)	
FROGNTN_1_UTICAA	
Wtg for PG&E concurrence	38
(~ 400 kw for first four days only)	
TOTAL GENERATION:	139 MWhr

REVENUES

November's projected scheduled generation:	
\$34,176	Nov APH (0.8 MW) @ \$89 ⁽¹⁾
\$24,000	Nov MPH (2.0 MW) @ \$25
\$11,124	July MPH RECs @ \$9
\$1,800	July MPH Imb @ \$20
\$71,100	
November's actual scheduled generation:	
\$3,600	Nov APH (0.4 MW) @ \$96
\$3,032	Nov MPH (1.3 MW) @ \$42 ⁽²⁾
\$12,897	July MPH RECs @ \$9 ⁽³⁾
\$4,729	July MPH Imb @ \$43 ⁽⁴⁾

- (1) November's revenue for both APH and MPH was based on a 10-day outage, only 20 days online, payments to be received in December.
- (2) Both APH and MPH were off from November 4th through the end of the month. Loss of generation revenue compensated by water sale.
- (3) Continuing to sell supplemental Vintage 2018 RECs at \$9 on a month-to-month agreement with Calpine.
- (4) MPH "unscheduled" one day in the middle of July for PG&E crossbar work, so 110 MWhrs were imbalance in November at \$43 vs DA at \$53.

Power Revenue:	\$24,258	
Water Revenue:	\$153,160	Budgeted \$40,000 during FY18-19,
Water and Power:	\$177,418	1,333 AF @ \$30.

OUTAGES

P = UWPA planned outage IF = internal forced outage EF = external forced outage

Date	Time Off	Duration	Cause	Plant
11/4/2018	0858	26 days 15 hrs 2 min	P: Informed PG&E about shut down for planned annual outage.	Murphys
11/4/2018	1122	26 days 12 hrs 38 mins	P: Informed PG&E about shut down for planned annual outage.	Angels

WATER

Nov'18 Att A 2,694 + (-17) from Oct'18	2,677	AF	Mill Creek inflow S-66	172	AF, using S-47 during 8 days spill
S-66 + S-127	(489)	AF	Tunnel Tap draft S-127	317	AF
S-47 into conduit + S-128 fish			S-47 into conduit + S-128 fish		
LESS water sale to NCPA @ \$70/AF	(2,188)	AF	Total:	489	AF
Pay back or carry over in December	(0)	(5)			

(5) Waiting for NCPA's concurrence..

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