
MISSION STATEMENT

*Utica Water and Power Authority is committed:
to preserve and protect the water of the Sierra foothills for the vested interest of the communities
in East Calaveras County by promoting collaborative efforts between its two member entities,
Union Public Utility District and the City of Angels;
to maintain the historical properties of the Argonauts' original ditch system while advancing
efficient management and technology;
to deliver water to the communities served, by using revenues generated from hydroelectric
energy production; and
to assure the quality and quantity of this precious resource for the best beneficial public use for
generations to come.*

UTICA WATER AND POWER AUTHORITY

REGULAR BOARD MEETING

UWPA Office Building ~ 1168 Booster Way, Angels Camp ~ (209) 736-9419

Tuesday – January 22, 2019 – 5:30 p.m.

(1) In compliance with Title II of the Americans with Disabilities Act, 28 CFR Part 36, if you need special assistance to participate in this meeting, please contact the UWPA office at (209)736-9419 or fax your request to (209)736-0114. Notification in advance of the meeting will enable UWPA to make reasonable accommodations to ensure accessibility to this meeting. (2) Any materials distributed to the Board and that relate to an agenda item are available at the UWPA office 72 hours prior to the scheduled meeting.

AGENDA

(prev. Res. #18-08)

Call to Order and Pledge of Allegiance

Item 1: Approval of Agenda

Item 2: Opportunity for Public Comments:

Limited to 5 minutes per person. Members of the public may address the board on items not agendaized. The public is encouraged to work through staff members to put items on the agenda to be considered by the Board. No action will be taken unless an item is agendaized.

Item 3: Order of Business - - Discussion and possible action

- A. Certificates of Appointment and Oaths of Office p. 1-2
1. Tom Quincy, U.P.U.D., fills seat vacated by Eric Bottomley
 2. Amanda Folendorf, City of Angels, fills seat vacated by Scott Behiel

Board of Directors

Greg Rasmussen, U.P.U.D. ~ Chairman Scott Behiel, City of Angels ~ Vice-Chairman
Eric Bottomley, U.P.U.D. ~ Secretary Larry Thompson, At-Large Member
Joseph Oliveira, City of Angels

- B. Election of Officers
 - C. Appointments to Committees p. 3
 - D. 2019 Meeting Calendar p. 4
- Item 4: Consent Calendar - Approval of all items**
- A. Minutes of the regular board meeting dated 12/10/18 p. 5-9
 - B. Minutes of the special board meeting dated 1/4/19 p. 10
- Item 5: Staff Reports - Discussion only**
- A. Financials 11/1/18 through 11/30/18 p. 11-15
 - B. Financials 12/1/18 through 12/31/18 p. 16-20
 - C. General Manager's report– M. Minkler
 - D. Administrative report – K. Rojas
 - E. Generation and O&M Report for December – F. Fields p. 21
 - F. Water Conveyance – K. Rasmussen
- Item 6: Old Business - Discussion and possible action**
- A. ReMAT Update – M. Minkler
 - B. "2 X 2" discussions with CCWD - M. Minkler
 - C. Natel MAB Project update – M. Minkler - (see attached) Item 6C
- Item 7: New Business - Discussion and possible action**
- A. Acceptance of 6/30/18 Auditor's Report - R. Jolley, CPA (see attached) Item 7A
 - B. Long-term strategic planning - M. Minkler
 - C. General Manager Job Description update - M. Minkler
 - D. FY'18-'19 Budget Amendment, Resolution 19-01 - M. Minkler p. 22-25
 - E. Appointment of Interim General Manager - M. Minkler
 - F. Resolution in Appreciation of Michael Minkler, Resolution 19-02 - L. Thompson
- Item 8: Reports – Discussion only**
- A. Tuolumne-Stanislaus Integrated Regional Water Management Authority
 - B. Calaveras Public Power Agency (CPPA)
 - C. Calaveras Local Agency Formation Commission (LAFCo)
 - D. Calaveras Water Work Group for the Hwy 4 Corridor
 - E. U.P.U.D.
 - F. City of Angels
- Item 9: Staff/Directors' Comments**

Recess

Board of Directors
 Greg Rasmussen, U.P.U.D. ~ Chairman Scott Behiel, City of Angels ~ Vice-Chairman
 Eric Bottomley, U.P.U.D. ~ Secretary Larry Thompson, At-Large Member
 Joseph Oliveira, City of Angels

Item 10: **Adjourn to Closed Session:** *Discussion and possible action*

A. PUBLIC EMPLOYMENT - General Manager Recruitment
Pursuant to Gov't Code Section 54957

Reconvene from Closed Session: Report of reportable action.

Adjourn

Next Regular Meeting: Tuesday, February 26, 2019 at 5:30 pm

Board of Directors

Greg Rasmussen, U.P.U.D. ~ Chairman Scott Behiel, City of Angels ~ Vice-Chairman
Eric Bottomley, U.P.U.D. ~ Secretary Larry Thompson, At-Large Member
Joseph Oliveira, City of Angels

Certificate of Appointment and Oath of Office

STATE OF CALIFORNIA,

COUNTY OF CALAVERAS

I, _____, Secretary of the Board of Directors of the Utica Water and Power Authority, County of Calaveras, State of California, hereby certify that on January 22, 2019, in Angels Camp, in said County, Tom Quincy was appointed to the UWPA Board of Directors. Term for this position shall extend until the U.P.U.D. appoints a replacement.

IN WITNESS WHEREOF, I here unto
Affix my Hand and Seal of said Board of
Directors this 22nd day of January, 2019.

Secretary to the Board

STATE OF CALIFORNIA

COUNTY OF CALAVERAS

I, Tom Quincy, do solemnly swear (or affirm) that I will support and defend the Constitution of the United States and the Constitution of the State of California against all enemies, foreign and domestic; that I will bear true faith and allegiance to the Constitution of the United States and the Constitution of the State of California; that I take this obligation freely without any mental reservation or purpose of evasion, and that I will well and faithfully discharge the duties upon which I am about to enter.

Tom Quincy

Subscribed and sworn to before me this 22nd day of January, 2019.

Secretary to the Board

/

Certificate of Appointment and Oath of Office

STATE OF CALIFORNIA,

COUNTY OF CALAVERAS

I, _____, Secretary of the Board of Directors of the Utica Water and Power Authority, County of Calaveras, State of California, hereby certify that on January 22, 2019, in Angels Camp, in said County, Amanda Folendorf was appointed to the UWPA Board of Directors. Term for this position shall extend until the City of Angels appoints a replacement.

IN WITNESS WHEREOF, I here unto
Affix my Hand and Seal of said Board of
Directors this 22nd day of January, 2019.

Secretary to the Board

STATE OF CALIFORNIA

COUNTY OF CALAVERAS

I, Amanda Folendorf, do solemnly swear (or affirm) that I will support and defend the Constitution of the United States and the Constitution of the State of California against all enemies, foreign and domestic; that I will bear true faith and allegiance to the Constitution of the United States and the Constitution of the State of California; that I take this obligation freely without any mental reservation or purpose of evasion, and that I will well and faithfully discharge the duties upon which I am about to enter.

Amanda Folendorf

Subscribed and sworn to before me this 22nd day of January, 2019.

Secretary to the Board



UWPA Board of Directors - 2018

Chairman – **Greg Rasmussen**, U.P.U.D.

Vice-Chairman – **Scott Behiel**, City of Angels

Secretary – **Eric Bottomley**, U.P.U.D.

Director – **Joseph Oliveira**, City of Angels

Director – **Larry Thompson**, Community Member-at-Large

Committees

Personnel & Legal

Larry Thompson

Joe Oliveira

Resource Management

Eric Bottomley

Larry Thompson

Budget

Greg Rasmussen

Scott Behiel

FERC

Eric Bottomley

Larry Thompson

ACWA/JPIA Representative

Greg Rasmussen

CPPA Representative

Joe Oliveira

Eric Bottomley

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2019
UWPA Board of Directors
Meeting Schedule

Regular meetings are held every fourth Tuesday at 5:30 p.m. in the UWPA Board Room.

Tuesday, January 22nd

Tuesday, February 26th

Tuesday, March 26th

Tuesday, April 23rd

Tuesday, May 28th

Tuesday, June 25th

Tuesday, July 23rd

Tuesday, August 27th

Tuesday, September 24th

Tuesday, October 22nd

Tuesday, November 26th

(Thanksgiving falls on Thursday, Nov. 28th)

Tuesday, TBD, possibly December 10th

(Christmas falls on Wednesday, Dec. 25th)

UTICA WATER AND POWER AUTHORITY

1168 Booster Way
Angels Camp, CA 95222

December 10, 2018
Regular Board Meeting

The Board of Directors of the Utica Water and Power Authority met in Regular Session at the UWPA office in Angels Camp, California.

Directors present:

Greg Rasmussen, UPUD	Chairman
Scott Behiel, COA	Vice Chairman
Eric Bottomley, UPUD	Secretary
Joe Oliveira, COA	Director
Larry Thompson, Community	Director

Also present:

Michael Minkler	General Manager
Karen Rojas	Administrative Manager
Kyle Rasmussen	Water Conveyance Supervisor
Tom Quincy	UPUD Director

Call to Order and the Pledge of Allegiance

The meeting was called to order at 5:30 pm by Chairman Rasmussen.

- Item 1: Approval of Agenda
Motion by Director Behiel to approve the agenda; seconded by Director Thompson and adopted 5-0. Ayes: Rasmussen, Behiel, Bottomley, Oliveira, Thompson; Noes: None.
- Item 2: Opportunity for Public Comments: No comments.
- Item 3: Consent Calendar – Approval of all items
A. Minutes of the regular board meeting dated 10/23/18

Motion by Director Oliveira to approve the Consent Calendar; motion seconded by Director Thompson and adopted by 3-0 with 2 abstentions. Ayes: Rasmussen, Oliveira, Thompson; Noes: None; Abstentions: Behiel and Bottomley
- Item 4: Staff Reports – Discussion only:
A. Financials – 10/1/18 through 10/31/18 -
Michael explained the revenue and expenses for the month of October. Director Behiel questioned the FERC line items. Karen explained there is money being set aside each month for the FERC relicensing and Part 12. Expenses are also included in that line item. Expenses from the outage will be deducted in November. Director Rasmussen questioned the Allied Valve expense; it was for

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actuators. California Wind Energy Assoc. expense represents the second installment toward the ReMAT coalition and the last of those payments.

B. General Manager – M. Minkler - Michael reported the Fall Outage is still continuing. It's been 37 days since we began the work. Initially going into the outage we anticipated replacing the relays and the oil circuit breaker (OCB). The OCB has been on the verge of failure for the last few years. We worked to get all supplies, materials, approval of diagrams from PG&E for the OCB, then decided not to replace it during this outage, but to have everything ready to go if it fails. To replace just the relays would be a month long outage. The relays were completed and tested the Wednesday prior to Thanksgiving with the goal of getting PG&E here to do offsite testing. A week after Thanksgiving the OCB failed and wouldn't reset. After many attempts to restart it was clear the OCB needed to be replaced. PG&E still needs to inspect and as of today we've received no response from them. We are continuing to sell water to NCPA during the outage; up to 2400 AF during November. For December NCPA has agreed to buy up to 1500 AF, which gives us some flexibility. NCPA has agreed to pay \$70 per AF, which will more than cover our power generation loss during the outage period. Expenditures from the outage were in the current budget with very few unexpected expenses. Michael answered Director Thompson's question about when PG&E will come to inspect. Michael explained the issue is with the interconnection group. It's been difficult to get the interconnection group to process each item as we complete it. Until we get PG&E's approval nothing else can move forward. We would still have one to two days of onsite testing before we could start up. At MPH we have completed the annual outage maintenance; most of the focus has been in Angels. Later during the outage we hadn't made the agreement with NCPA to sell water and we needed to run more water. The operators walked the system and cleared debris from the ditch to send clear water to the Murphys Forebay to start the plant. The operator "spun" the plant, but couldn't get the scroll case to pressurize. It took Frank, Mark and others two full days to get the scroll case to pressurize. During this time Kyle, Sebastian and Jeff fabricated the structure we needed for the recloser down here at Angels. During the repairs at MPH, they discovered that two of the needles will need to be replaced within the next year. Outside of the outage, Natel has been to the site to do some investigating. We have the final package for the FERC license amendment. By next week we should have drafts of the site lease and the operation/maintenance contract to review. The site lease will contain the details of the terms of the 20-year contract. Payment will begin upon execution of this contract. The pricing is still in negotiations, but is anticipated to be around \$45 per MWhr. The board will need to approve the new proposed price for the commercial operation date that is \$150,000, not the original \$190,000, due to the delay in ReMAT at Murphys. contract for MPH.

A tree company is interested in leasing the vacant lot across from our office on a temporary basis. Michael is encouraged to proceed with the request to see if it fits their needs. Having someone occupy the lot could be added security for our property as well. The board is open to the idea; details to follow.



- C. Administrative Report – K. Rojas – Lori has attended some educational workshops and conferences through California Special Districts Association and Association of California Water Agencies (ACWA) regarding HR, financial management, and budgeting. The auditors were here for the annual audit. The report will be reviewed and put on the agenda in January for the board to accept. January 16th the county came for the triennial hazardous material inspection. The results were good with no violations. We are researching options for a new truck purchase since we received the CalFIRE grant. The receipts for the purchase along with "extra equipment" and any maintenance for the truck will be submitted for reimbursement through the grant. We are continuing to submit receipts for the Bobcat grant which will end January 15th. Final submissions for several FERC requirements are being submitted by year end. The two entities will also be appointing new members for the next term.
- D. Generation and O&M Reports for Oct/Nov – F. Fields – In Frank's absence Michael explained the Operations/Maintenance Report. October had good revenue with two small outages. No known cause of the first outage and the second was due to governor motor problems. November we were down most of the month for annual maintenance and sold water to NCPA. The price for water is good and we will continue to make water available to NCPA if the pricing is favorable in the future until we get a ReMAT contract for MPH. Director Behiel asked about the needle replacement, which was discussed earlier in the meeting, and how much it would cost to replace. Michael answered each needle would be about \$7,000. We're unsure about how much down time it will take to replace them and any additional costs.
- E. Water Conveyance – K. Rasmussen - Kyle and Michael will present a PowerPoint of the month's later in the agenda.

Item 5: Old Business – Discussion and possible action

A. ReMAT Update – M. Minkler - Michael reported the Ninth Circuit has scheduled the oral argument in the Winding Creek Solar appeal for February 2019. The decision could come in a week or up to three months. If the PUC wins on the ReMAT issues in the appeal and the injunction is lifted by the Ninth Circuit the program should be available soon after that. At this point, UWPA would submit the application and could be in contract by June at the earliest. There is a possibility that the PUC doesn't win and then we would continue to pursue getting a new qualifying facilities standard offer contract that addresses the flaw in the Winding Creek case. The coalition that has been working on the contract has agreed to terms with the utilities. With the utilities being in agreement, it can be a positive step toward the judge's approval. Comments were submitted and replied to and now are waiting for a proposed decision from the administrative law judge which will incorporate most of the terms the parties have jointly proposed. The judge in the past has made clear he wants this to move along quickly and hopefully we'll hear something by the end of January. There could be another round of comments after that and then it would go to the commission to adopt a final decision. The goal is if the PUC loses at the Ninth Circuit or is delayed that the PUC can take this new contract back to the district court judge and request he lifts the injunction.

Item 6: New Business – Discussion and possible action

A. 2018 Outage Report – M. Minkler, F.Fields, K. Rasmussen - A PowerPoint

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presentation was shown of the work completed during the 2018 Outage. Kyle spoke about the work he and his crew completed on the flume system. Kyle reported they rebuilt 370 ft. of "3/4 Mile Flume," which is only a small part of that section of the system. He picked three of the worst sections to complete during this outage. Kyle staged some of the stringers along the sides of the flume for easier installation. The boards are 6" x 12" and 18 ft. long, each weighing about 400 lbs. and are difficult to move. Many of the stringers that were replaced after the Darby Fire in 2001 were replaced with untreated wood and are now damaged from dry rot. They replaced 70 of those beams with treated lumber. The weather was very good for construction and crew completed a lot of work quickly. CalFIRE milled lumber from the trees we removed and Kyle was able to replace many of the walk boards that were failing due to age and excessive weight bearing traffic moving materials along the system during the outage. The crew also completed 350 ft. of shotcrete and fell a very large tree along the canal. With great expertise and a lot of planning the tree fell along the canal trail without any damage to the canal. Angels Forebay was cleaned out. The crew replaced metal sheet pans for about 312 feet of flume for the first time. Sebastian completed about 30 sheets per day. These replaced old rusted metal sheets that had been installed in the 1970s. They completed this part of the project in four days.

Michael reported the relays were replaced at APH. The two new relays are capable of replacing the functionality of 18 different components and additional functionality we didn't have before. There are three more relays to be replaced soon. A lot of old cable in the control panel was removed and replaced with new cable as well as some relays in another part of the control panel. The OCB was replaced by a new recloser. The old OCB, which is in remarkably good condition for being 78 years old, could be displayed in the Angels Museum.

Michael showed pictures of the new needles and the one that need to be replaced in Murphys Powerhouse. During this outage our operators went into the needles and tailrace to do a physical inspection, which is rarely accessible. We know we need to have two needles manufactured and anticipate replacing them in the next fiscal year. Each needle is approximately \$7,000 to manufacture. A huge amount of work went into this outage. Michael credited Kyle and Frank with great planning and execution in coordinating contractors and scheduling to accomplish a great deal of work in a short amount of time.

Item 7: Reports – Discussion only

- A. Tuolumne-Stanislaus Integrated Regional Water Management Authority – No report.
- B. Calaveras Public Power Agency (CPPA) – No report.
- C. Calaveras Local Agency Formation Commission (LAFCo) - Director Oliveira reported there was an annexation passed for Padou. Another property for Metzger was not annexed at this time.
- D. Calaveras Water Work Group for the Hwy 4 Corridor – No meeting.
- E. U.P.U.D. - Director Rasmussen reported they had elections and the board members remain the same. Bill mentioned he'd like to have earlier notification of UWPA's outages. Michael commented we have a new procedure for outage notifications in the future.
- F. City of Angels – Director Behiel reported the city is working on their rate study.



At this point the city is expecting to have to increase rates approximately \$100 per month, per rate payer. Further study is continuing. UWPA gave the city a long term picture along with the rate study UPUD did a few years ago, illustrating a sliding scale rate schedule depending on water year. The city is looking at approximately a \$1.5 million dollar project to replace and/or repair some of the failing sewage lines in the city to accommodate new development. Director Oliveira also reported they received the report from the City Gate that affirmed the dire straits of the financials; as a result it will help the council to develop a plan to get back on track. Police Chief Ellis was successful in getting a grant for a school resource officer. The city contributed a portion of the cost for that position and the grant will help to supplement that and an additional officer on the street. The Christmas Parade was a success!

- Item 8: Staff/Directors' Comments - Karen thanked the board for always supporting the staff. Director Behiel expressed his appreciation for the staff and the positive direction UWPA is headed. He'll miss being a part of our board. Director Thompson asked if we would be able to put more long-term financial numbers together before the next meeting. Michael said there has been some progress, but with the outage it was put on hold. We will resume work on gathering and updating the information. The result of the research done so far is each entity's contribution would be approximately \$250,000 per year. Director Thompson also thanked the staff for the tremendous work they've done and congratulated everyone for a job well done! Director Bottomley was impressed with the amount of work that was accomplished during the outage and the preparation involved. Director Rasmussen thanked Michael for all his hard work and getting UWPA on the right track. He also thanked the staff and the board for their hard work and support.

Recessed at 8:00 pm.

- Item 9: Adjourned to Closed Session at 8:05 pm - Discussion and possible action
A. CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION
Pursuant to Gov't Code Section 54956.9(d)(4): One case.

Reconvened from Closed Session at 8:14 pm.

Report of reportable action: No reportable action.

The meeting was adjourned at 8:15 pm.

Next Meeting: Tuesday, January 22, 2019 at 5:30 pm.

Approved at the January 22, 2019 meeting:

Chairman

Vice Chairman

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UTICA WATER AND POWER AUTHORITY

1168 Booster Way
Angels Camp, CA 95222

Minutes of January 4, 2019 Special Board Meeting

The Board of Directors of the Utica Water and Power Authority met in special session at the UWPA office in Angels Camp, California.

Directors present:	Greg Rasmussen, UPUD	Chairman
	Eric Bottomley, UPUD	Secretary
	Joe Oliveira, COA	Director
	Larry Thompson, Community	Director
Also present:	Michael Minkler	General Manager
	Karen Rojas	Administrative Manager

Call to Order

The meeting was called to order at 4:05 p.m. by Chairman Rasmussen.

Item 1: Adjourned to Closed Session at 4:06 p.m.

A. PUBLIC EMPLOYEE PERFORMANCE EVALUATION
Gov't Code Section 54954.5
TITLE: General Manager

Reconvene from Closed Session at 5:40 p.m.

Report of reportable action taken:

No reportable action.

The meeting was adjourned at 5:41 p.m.

Next meeting: Tuesday, January 22, 2019 - 5:30 p.m. Regular Board Meeting

Approved at the regular board meeting on January 22, 2019.

Board Chairman

Board Secretary

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Vaughn Tribble, CPA

Daniel Ayala, CPA

Douglas Tribble, CPA

Tribble & Ayala
Certified Public Accountants, Inc.

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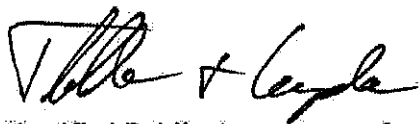
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Accountant's Disclaimer

Utica Water & Power Authority
Angels Camp, California

The accompanying Consolidated Report - statement of revenues, expenses and fund balance (cash basis) and the accompanying Revenue Fund Report - statement of revenues, expenses and budget variances (cash basis) for the period ending November 30, 2018 of the fiscal year July 1, 2018 to June 30, 2019, and the accompanying Bank Activity Report (All Funds) for the period November 1 to November 30, 2018 of the Utica Water & Power Authority were not audited by us and, accordingly, we do not express an opinion on them. The financial statements have been prepared on the cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the cash basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Utica Water & Power Authority's revenues, expenses, and fund balance. Accordingly, the financial statements are not designed for those who are not informed about such matters.



Certified Public Accountants, Inc.

January 8, 2019

Utica Water and Power Authority
Bank Activity Report (All Funds)
For the Period November 1 to November 30, 2018

Revenue Fund

Recap	Current Month	Prior Month	Difference
Revenues	\$ 189,506.56	\$ 154,932.05	\$ 34,574.51
Expenses	\$ (159,403.13)	\$ (158,940.85)	\$ (462.28)
Difference	\$ 30,103.43	\$ (4,008.80)	\$ 34,112.23

Fund Balance - 10/31/2018 \$ 541,803.08

Revenues:	11/1/2018 CCATT payment for Nov 2018 rent	1,496.70
	11/13/2018 Calpine payment for July 2018 renewable energy	12,897.00
	11/20/2018 Calpine payment for Oct 2018 power	59,740.88
	11/28/2018 UPUD Water contract 2018/19 2nd Payment	10,000.00
	11/28/2018 UPUD 2nd quarter contribution FY 2018/19	21,250.00
	11/28/2018 Calpine Energy Solutions, refund of deposit	15,000.00
	11/28/2018 Murphys Realty Nov 2018 rent	827.00
	11/28/2018 Diebold water payment for excess usage	20.77
	11/28/2018 Sierra Hope Nov 2018 Rent	835.00
	11/28/2018 City of Angels Data work up Oct 2018	400.00
	11/30/2018 PG&E payment for Oct 2018 power	60,813.12
	11/30/2018 Calpine payment for July 2018 imbalance	4,729.39
	11/30/2018 CCATT payment for Dec 2018 rent	1,496.70
	Total Revenues	189,506.56

Expenses:	11/6/2018 Payroll transfer for 11/7/18 wages & taxes	(25,603.51)
	11/6/2018 Calaveras County Water District	(127.46)
	11/6/2018 Calaveras Lumber Co, Inc.	(689.14)
	11/6/2018 CDK Supply	(402.08)
	11/6/2018 City of Angels	(170.40)
	11/6/2018 City of Angels	(131.70)
	11/6/2018 Columbia Communications, Inc.	(75.00)
	11/6/2018 Department of Forestry & Fire Protection	(1,134.70)
	11/6/2018 Driven	(1,302.75)
	11/6/2018 Calaveras County Farm Bureau	(95.00)
	11/6/2018 Foothill Sanitary Septic, Inc.	(582.00)
	11/6/2018 GEI Consultants, Inc.	(931.72)
	11/6/2018 Humana Insurance Co.	(20.40)
	11/6/2018 IETS	(6,569.02)
	11/6/2018 Modesto Steel	(124.06)
	11/6/2018 Mountain Oasis Purified Water	(40.50)
	11/6/2018 Mother Lode Answering Service, Inc.	(127.00)
	11/6/2018 O'Reilly Automotive, Inc.	(18.17)
	11/6/2018 Cash	(630.56)
	11/6/2018 Pacific Gas & Electric Co.	(512.46)
	11/6/2018 Timothy and Deanna Plunkett	(75.00)
	11/6/2018 U.S. Bank Corporate	(4,008.49)
	11/6/2018 Verizon Wireless	(485.47)
	11/6/2018 CalPERS 457 Plan	(2,042.79)
	11/15/2018 Bank Charge	(14.95)
	11/19/2018 Payroll transfer for 11/19/18 wages & taxes	(35,410.36)
	11/19/2018 A.C Hawks Construction	(5,800.00)
	11/19/2018 Allied Electronics, Inc.	(1,227.82)
	11/19/2018 A T.E.E.M. Electrical Engineering Inc.	(583.46)
	11/19/2018 A T.E.E.M. Electrical Engineering Inc.	(1,750.00)
	11/19/2018 AT&T 555 8	(1,857.26)
	11/19/2018 Blue Shield of California - Med Supp	(174.00)
	11/19/2018 Blue Shield of California	(7,673.17)
	11/19/2018 CA Dept of Tax & Fee Administration	(275.00)
	11/19/2018 CA Dept of Tax & Fee Administration	(682.50)
	11/19/2018 California Wind Energy Association	(2,000.00)
	11/19/2018 Calaveras Power Agency	(405.80)
	11/19/2018 California Waste Recovery Systems	(81.04)
	11/19/2018 CDK Supply	(431.65)
	11/19/2018 CDK Supply	(225.68)
	11/19/2018 CDK Supply	(374.58)
	11/19/2018 CDK Supply	(11.80)
	11/19/2018 CDK Supply	(13.73)
	11/19/2018 Choice Builder	(753.09)
	11/19/2018 Comcast Business	(457.41)

Unaudited - See Accountant's Disclaimer

Utica Water and Power Authority
Bank Activity Report (All Funds)
For the Period November 1 to November 30, 2018

11/19/2018 Comcast Business	(148.02)	
11/19/2018 Copy Center	(168.45)	
11/19/2018 Dan Routh Construction	(5,500.00)	
11/19/2018 Foothill Portable Toilets, Inc.	(138.39)	
11/19/2018 Gateway Press, Inc.	(840.84)	
11/19/2018 Gateway Press, Inc.	(407.55)	
11/19/2018 GEI Consultants, Inc.	(123.75)	
11/19/2018 Grainger	(773.85)	
11/19/2018 Grainger	(3,349.86)	
11/19/2018 Griffith & Masuda	(2,400.00)	
11/19/2018 Jeff M Houle	(1,750.00)	
11/19/2018 Jeff M Houle	(1,400.00)	
11/19/2018 Lee-Way Construction	(200.00)	
11/19/2018 Nash Chevron	(160.00)	
11/19/2018 Ormond Construction	(4,560.00)	
11/19/2018 New York Life	(27.90)	
11/19/2018 Pacific Gas & Electric Co.	(115.53)	
11/19/2018 Pacific Gas & Electric Co.	(23.08)	
11/19/2018 Pacific Gas & Electric Co.	(163.97)	
11/19/2018 Sierra Hydrographics	(1,633.50)	
11/19/2018 State Compensation Insurance Fund	(2,182.00)	
11/19/2018 Tribble & Ayala CPA's, Inc.	(779.06)	
11/19/2018 Union Public Utility District	(53.00)	
11/19/2018 Union Public Utility District	(127.54)	
11/19/2018 U.S. Bank Equipment Finance	(199.76)	
11/19/2018 U.S. Bank Equipment Finance	(432.47)	
11/19/2018 W.H. Breshears, Inc.	(209.22)	
11/19/2018 CalPERS 457 Plan	(2,369.79)	
11/20/2018 TASC	(2,666.66)	
11/30/2018 Transfer UPUD Quarterly out to Water Balancing Fund	(10,000.00)	
11/30/2018 Transfer out to FERC Relicensing Fund	(8,333.33)	
11/30/2018 Transfer out to FERC Part 12 Fund	(2,083.33)	
Total Expenses	(159,403.13)	
Net Revenues	30,103.43	
Transfers:		
Total Transfers	-	
Fund Balance - 11/30/2018		\$ 571,906.51
<u>Water Balancing Fund</u>		
Fund Balance - 10/31/2018	\$ 83,434.00	
11/30/2018 Transfer UPUD Quarterly from Revenue Fund	10,000.00	
Fund Balance - 11/30/2018		\$ 93,434.00
<u>FERC Relicensing Fund</u>		
Fund Balance - 10/31/2018	\$ 124,383.59	
11/30/2018 Transfer in from Revenue Fund	8,333.33	
Fund Balance - 11/30/2018		\$ 132,716.92
<u>FERC Part 12 Fund</u>		
Fund Balance - 10/31/2018	\$ 8,333.32	
11/30/2018 Transfer in from Revenue Fund	2,083.33	
Fund Balance - 11/30/2018		\$ 10,416.65
<u>Operating & Capital Reserve Fund</u>		
Fund Balance - 10/31/2018	\$ 85,015.78	
11/30/2018 Interest earned Nov 2018	1.77	
Fund Balance - 11/30/2018		\$ 85,017.55
Total All Funds - 11/30/2018		\$ 893,491.63
Total All Funds - 10/31/2018		\$ 842,969.77
Difference		\$ 50,521.86

Unaudited - See Accountant's Disclaimer

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Utica Water & Power Authority
Revenue Fund Report
Statement of Revenues, Expenses and Budget Variances (Cash Basis)
For the Period Ending November 30, 2018 (41.92%)
Of the Fiscal Year July 1, 2018 to June 30, 2019

Revenues:	Budget - 10/23/18	Revenues to Date	Balance	% of Budget	Year-To-Date Annualized %
1100 Interest Income	\$ 5,000	\$ 4,753	\$ 247	95.05%	226.76%
1200 Power Sales	\$ 1,187,268	\$ 621,122	\$ 566,146	52.32%	124.80%
1301 Water Sales to NCPA	\$ 40,000	\$ -	\$ 40,000	0.00%	0.00%
1302 Water Agreements	\$ 80,000	\$ 40,000	\$ 40,000	50.00%	119.28%
1303 Water Sales UWPA	\$ 31,351	\$ 841	\$ 30,510	2.68%	6.40%
1400 Property Leases	\$ 37,200	\$ 15,784	\$ 21,416	42.43%	101.22%
1500 Other Revenues	\$ 9,800	\$ 30,544	\$ (20,744)	311.68%	743.54%
1610 Critical Equip Grant	\$ 5,091	\$ 486	\$ 4,605	9.55%	22.79%
1620 Grant	\$ 75,000	\$ -	\$ 75,000	0.00%	0.00%
1700 Member Contributions	\$ 170,000	\$ 85,000	\$ 85,000	50.00%	119.28%
Total Revenues	\$ 1,640,710	\$ 798,529	\$ 842,180	48.67%	116.11%
Expenses:	Budget - 10/23/18	Expenses to Date	Balance	% of Budget	Year-To-Date Annualized %
2400 FERC Compliance	\$ 167,000	\$ 52,083	\$ 114,917	31.19%	74.40%
2450 Dam Safety	\$ 2,000	\$ 1,055	\$ 945	52.77%	125.90%
3000 Operations & Maint	\$ 639,973	\$ 275,705	\$ 364,268	43.08%	102.77%
4000 Administration	\$ 265,049	\$ 115,494	\$ 149,555	43.57%	103.95%
4050 Travel/Training	\$ 10,000	\$ 2,096	\$ 7,904	20.96%	49.99%
4100 Materials/Misc	\$ 20,000	\$ 8,764	\$ 11,236	43.82%	104.54%
4200 Insurance	\$ 38,400	\$ 20,678	\$ 17,722	53.85%	128.46%
4300 Legal	\$ 18,000	\$ 5,472	\$ 12,528	30.40%	72.53%
4400 Accounting	\$ 20,600	\$ 4,997	\$ 15,603	24.26%	57.87%
4500 Fees	\$ 120,180	\$ 32,385	\$ 87,795	26.95%	64.29%
4600 Communications	\$ 33,510	\$ 16,017	\$ 17,493	47.80%	114.03%
4700 Utilities	\$ 30,800	\$ 9,567	\$ 21,233	31.06%	74.10%
5010 Water Balancing Fund	\$ 80,000	\$ 40,000	\$ 40,000	50.00%	119.28%
6010 PH - Maint/Repair	\$ 18,000	\$ 5,204	\$ 12,796	28.91%	68.97%
6050 PH - Capital Projects	\$ 123,000	\$ 18,888	\$ 104,112	15.36%	36.63%
6060 PH - ReMAT Expenses	\$ 82,000	\$ 7,570	\$ 74,430	9.23%	22.02%
6070 PH - MAB Hydro Project	\$ 5,000	\$ 368	\$ 4,632	7.36%	17.57%
6110 WC - Maint/Repair	\$ 70,000	\$ 5,602	\$ 64,398	8.00%	19.09%
6150 WC - Capital Projects	\$ 173,049	\$ 104,723	\$ 68,326	60.52%	144.37%
6210 Critical Equip Grant	\$ 5,091	\$ 1,239	\$ 3,852	24.34%	58.07%
6220 Grant	\$ 75,000	\$ 3,112	\$ 71,888	4.15%	9.90%
7000 Hydrography	\$ 23,500	\$ 10,896	\$ 12,604	46.37%	110.61%
7100 SCADA/IT	\$ 14,700	\$ 2,456	\$ 12,244	16.71%	39.85%
7110 SCADA Capital Projects	\$ 21,000	\$ 4,332	\$ 16,668	20.63%	49.22%
8000 Consultants	\$ 7,500	\$ -	\$ 7,500	0.00%	0.00%
9000 Vehicles	\$ 39,500	\$ 9,475	\$ 30,025	23.99%	57.22%
9500 Contingencies	\$ 70,000	\$ 582	\$ 69,418	0.83%	1.98%
Total Expenses	\$ 2,172,852	\$ 758,764	\$ 1,414,092	34.92%	83.31%
Excess Revenues (Expenses)	\$ (532,142)	\$ 39,765	\$ (571,912)		
xxxx Transfer In	\$ -	\$ 26,566	\$ (26,566)	0.00%	0.00%
xxxx Transfer Out	\$ -	\$ -	\$ -	0.00%	0.00%

Utica Water & Power Authority
Consolidated Report
Statement of Revenues, Expenses and Fund Balance (Cash Basis)
For the Period Ending November 30, 2018 (41.92%)
Of the Fiscal Year July 1, 2018 to June 30, 2019

<u>Revenues:</u>	<u>Revenue Fund</u>	<u>Water Balancing Fund</u>	<u>FERC Relicensing Fund</u>	<u>FERC Part 12 Fund</u>	<u>Operating & Capital Reserve Fund</u>
1100 Interest Income	\$ 4,753	\$ -	\$ -	\$ -	\$ 9
1200 Power Sales	\$ 621,122				
1301 Water Sales to NCPA	\$ -				
1302 Water Agreements	\$ 40,000				
1303 Water Sales UWPA	\$ 841				
1400 Property Leases	\$ 15,784				
1500 Other Revenues	\$ 30,544				
1610 Critical Equip Grant	\$ 486				
1620 Grant	\$ -				
1700 Member Contributions	\$ 85,000	\$ -	\$ -	\$ -	\$ -
Total Revenues	<u>\$ 798,529</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 9</u>
<u>Expenses:</u>					
2400 FERC Compliance	\$ 52,083				
2450 Dam Safety	\$ 1,055				
3000 Operations & Maint	\$ 275,705				
4000 Administration	\$ 115,494				
4050 Travel/Training	\$ 2,096				
4100 Materials/Misc	\$ 8,764				
4200 Insurance	\$ 20,678				
4300 Legal	\$ 5,472				
4400 Accounting	\$ 4,997				
4500 Fees	\$ 32,385				
4600 Communications	\$ 16,017				
4700 Utilities	\$ 9,567				
5010 Water Balancing Fund	\$ 40,000				
6010 PH - Maint/Repair	\$ 5,204				
6050 PH - Capital Projects	\$ 18,888				
6060 PH - ReMAT Expenses	\$ 7,570				
6070 PH - MAB Hydro Project	\$ 368				
6110 WC - Maint/Repair	\$ 5,602				
6150 WC - Capital Projects	\$ 104,723				
6210 Critical Equip Grant	\$ 1,239				
6220 Grant	\$ 3,112				
7000 Hydrography	\$ 10,896				
7100 SCADA/IT	\$ 2,456				
7110 SCADA Capital Projects	\$ 4,332				
8000 Consultants	\$ -				
9000 Vehicles	\$ 9,475				
9500 Contingencies	\$ 582	\$ -	\$ -	\$ -	\$ -
Total Expenses	<u>\$ 758,764</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Excess Revenues (Expenses)	<u>\$ 39,765</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 9</u>
Fund Balance 7/1/18	\$ 505,576	\$ 80,000	\$ 91,050	\$ -	\$ 85,009
Excess Revenues (Expenses)	\$ 39,765	\$ -	\$ -	\$ -	\$ 9
xxxx Transfer In	\$ 26,566	\$ 40,000	\$ 41,667	\$ 10,417	\$ -
xxxx Transfer Out	\$ -	\$ (26,566)	\$ -	\$ -	\$ -
Ending Fund Balance	<u>\$ 571,906</u>	<u>\$ 93,434</u>	<u>\$ 132,717</u>	<u>\$ 10,417</u>	<u>\$ 85,018</u>

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Accountant's Disclaimer

Utica Water & Power Authority
Angels Camp, California

The accompanying Consolidated Report - statement of revenues, expenses and fund balance (cash basis) and the accompanying Revenue Fund Report - statement of revenues, expenses and budget variances (cash basis) for the period ending December 31, 2018 of the fiscal year July 1, 2018 to June 30, 2019, and the accompanying Bank Activity Report (All Funds) for the period December 1 to December 31, 2018 of the Utica Water & Power Authority were not audited by us and, accordingly, we do not express an opinion on them. The financial statements have been prepared on the cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the cash basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Utica Water & Power Authority's revenues, expenses, and fund balance. Accordingly, the financial statements are not designed for those who are not informed about such matters.



Certified Public Accountants, Inc.

January 14, 2019

Utica Water and Power Authority
Bank Activity Report (All Funds)
For the Period December 1 to December 31, 2018

Revenue Fund

Recap	Current Month	Prior Month	Difference
Revenues	\$ 178,123.41	\$ 189,506.56	\$ (11,383.15)
Expenses	\$ (129,878.05)	\$ (159,403.13)	\$ 29,525.08
Difference	\$ 48,245.36	\$ 30,103.43	\$ 18,141.93

Fund Balance - 11/30/2018 \$ 571,906.51

Revenues:	12/18/2018 Calpine payment for Aug 2018 renewable energy	12,825.00
	12/20/2018 Calpine payment for Nov 2018 power	3,032.00
	12/26/2018 NCPA payment for Nov 2018 water	153,160.00
	12/27/2018 Sierra Hope December 2018 Rent	835.00
	12/27/2018 US Bank rebate	179.66
	12/27/2018 City of Angels CMM/DL Nov 2018 Data Workup	400.00
	12/27/2018 Murphys Realty December 2018 Rent	827.00
	12/27/2018 Special Dist Leadership Foundation Scholarship	575.00
	12/31/2018 PG&E payment for Nov 2018 power	3,599.90
	12/31/2018 Calpine payment for Aug 2018 imbalance	2,689.85
	Total Revenues	178,123.41

Expenses:	12/3/2018 Payroll transfer for 12/3/18 wages & taxes	(27,091.71)
	12/4/2018 Calaveras Lumber Co, Inc.	(3,078.45)
	12/4/2018 City of Angels	(170.40)
	12/4/2018 City of Angels	(131.70)
	12/4/2018 Colonial Life	(71.84)
	12/4/2018 Driven	(1,699.28)
	12/4/2018 Ebbetts Pass Lumber Co. Inc.	(474.46)
	12/4/2018 General Plumbing Supply	(280.20)
	12/4/2018 Grainger	(844.36)
	12/4/2018 IETS	(20,000.00)
	12/4/2018 Kessler and Associates, LLC	(5,300.00)
	12/4/2018 Mountain Oasis Purified Water	(55.00)
	12/4/2018 Nick Mann	(108.22)
	12/4/2018 Pacific Gas & Electric Co.	(511.39)
	12/4/2018 Sierra Hydrographics	(1,728.00)
	12/4/2018 Timothy and Deanna Plunkett	(75.00)
	12/4/2018 U.S. Bank Corporate	(3,336.13)
	12/4/2018 Zoom Imaging Soutions, Inc.	(4.80)
	12/4/2018 CalPERS 457 Plan	(2,051.74)
	12/14/2018 Bank Charge	(14.95)
	12/17/2018 AT&T 555 8	(1,857.73)
	12/17/2018 Blue Shield of California - Med Supp	(174.00)
	12/17/2018 Blue Shield of California	(7,673.17)
	12/17/2018 Calaveras Power Agency	(422.80)
	12/17/2018 CDK Supply	(153.21)
	12/17/2018 California Waste Recovery Systems	(130.17)
	12/17/2018 Choice Builder	(758.94)
	12/17/2018 Columbia Communications, Inc.	(75.00)
	12/17/2018 Columbia Concrete Pumping & Supply, Inc.	(2,200.00)
	12/17/2018 Comcast Business	(148.02)
	12/17/2018 Comcast Business	(457.41)
	12/17/2018 Department of Forestry & Fire Protection	(1,588.58)
	12/17/2018 Mosbaugh Properties, Inc.	(345.74)
	12/17/2018 Foothill Portable Toilets, Inc.	(125.00)
	12/17/2018 Griffith & Masuda	(375.00)

Unaudited - See Accountant's Disclaimer

Utica Water and Power Authority
Bank Activity Report (All Funds)
For the Period December 1 to December 31, 2018

12/17/2018 Humana Insurance Co.	(29.90)	
12/17/2018 Mother Lode Answering Service, Inc.	(127.00)	
12/17/2018 New Frontier Auto Supply Inc	(223.74)	
12/17/2018 New York Life	(27.90)	
12/17/2018 O'Reilly Automotive, Inc.	(244.37)	
12/17/2018 Pacific Gas & Electric Co.	(23.08)	
12/17/2018 Pacific Gas & Electric Co.	(390.53)	
12/17/2018 Pacific Gas & Electric Co.	(285.07)	
12/17/2018 Staples Business Advantage	(183.29)	
12/17/2018 State Compensation Insurance Fund	(2,182.00)	
12/17/2018 Tribble & Ayala CPA's, Inc.	(1,511.14)	
12/17/2018 Union Public Utility District	(55.00)	
12/17/2018 U.S. Bank Equipment Finance	(199.76)	
12/17/2018 U.S. Bank Equipment Finance	(432.47)	
12/17/2018 Verizon Wireless	(485.47)	
12/17/2018 Zoom Imaging Soutions, Inc.	(4.80)	
12/17/2018 CalPERS 457 Plan	(1,915.33)	
12/17/2018 Payroll transfer for 12/17/18 wages & taxes	(24,961.48)	
12/20/2018 TASC	(2,666.66)	
12/31/2018 Transfer out to FERC Relicensing Fund	(8,333.33)	
12/31/2018 Transfer out to FERC Part 12 Fund	(2,083.33)	
Total Expenses	(129,878.05)	
 Net Revenues	 48,245.36	
 Transfers:		
Total Transfers	-	
 Fund Balance - 12/31/2018		 \$ 620,151.87
 <u>Water Balancing Fund</u>		
Fund Balance - 11/30/2018	\$ 93,434.00	
Fund Balance - 12/31/2018	\$ 93,434.00	
 <u>FERC Relicensing Fund</u>		
Fund Balance - 11/30/2018	\$ 132,716.92	
12/31/2018 Transfer in from Revenue Fund	8,333.33	
Fund Balance - 12/31/2018	\$ 141,050.25	
 <u>FERC Part 12 Fund</u>		
Fund Balance - 11/30/2018	\$ 10,416.65	
12/31/2018 Transfer in from Revenue Fund	2,083.33	
Fund Balance - 12/31/2018	\$ 12,499.98	
 <u>Operating & Capital Reserve Fund</u>		
Fund Balance - 11/30/2018	\$ 85,017.55	
12/31/2018 Interest earned Dec 2018	1.83	
Fund Balance - 12/31/2018	\$ 85,019.38	
 Total All Funds - 12/31/2018		 \$ 952,155.48
 Total All Funds - 11/30/2018		 \$ 893,491.63
Difference		\$ 58,663.85

Unaudited - See Accountant's Disclaimer

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Utica Water & Power Authority
Revenue Fund Report
Statement of Revenues, Expenses and Budget Variances (Cash Basis)
For the Period Ending December 31, 2018 (50.41%)
Of the Fiscal Year July 1, 2018 to June 30, 2019

<u>Revenues:</u>	<u>Budget - 10/23/18</u>	<u>Revenues to Date</u>	<u>Balance</u>	<u>% of Budget</u>	<u>Year-To-Date Annualized %</u>
1100 Interest Income	\$ 5,000	\$ 4,753	\$ 247	95.05%	188.56%
1200 Power Sales	\$ 1,187,268	\$ 643,268	\$ 544,000	54.18%	107.48%
1301 Water Sales to NCPA	\$ 40,000	\$ 153,160	\$ (113,160)	382.90%	759.56%
1302 Water Agreements	\$ 80,000	\$ 40,000	\$ 40,000	50.00%	99.18%
1303 Water Sales UWPA	\$ 31,351	\$ 841	\$ 30,510	2.68%	5.32%
1400 Property Leases	\$ 37,200	\$ 17,446	\$ 19,754	46.90%	93.03%
1500 Other Revenues	\$ 9,800	\$ 31,483	\$ (21,683)	321.25%	637.27%
1610 Critical Equip Grant	\$ 5,091	\$ 486	\$ 4,605	9.55%	18.95%
1620 Grant	\$ 75,000	\$ -	\$ 75,000	0.00%	0.00%
1700 Member Contributions	\$ 170,000	\$ 85,000	\$ 85,000	50.00%	99.18%
Total Revenues	\$ 1,640,710	\$ 976,436	\$ 664,273	59.51%	118.06%
<u>Expenses:</u>	<u>Budget - 10/23/18</u>	<u>Expenses to Date</u>	<u>Balance</u>	<u>% of Budget</u>	<u>Year-To-Date Annualized %</u>
2400 FERC Compliance	\$ 167,000	\$ 66,850	\$ 100,150	40.03%	79.41%
2450 Dam Safety	\$ 2,000	\$ 2,005	\$ (5)	100.27%	198.91%
3000 Operations & Maint	\$ 639,973	\$ 325,633	\$ 314,340	50.88%	100.94%
4000 Administration	\$ 265,049	\$ 135,044	\$ 130,005	50.95%	101.07%
4050 Travel/Training	\$ 10,000	\$ 2,742	\$ 7,258	27.42%	54.40%
4100 Materials/Misc	\$ 20,000	\$ 10,357	\$ 9,643	51.79%	102.73%
4200 Insurance	\$ 38,400	\$ 20,678	\$ 17,722	53.85%	106.82%
4300 Legal	\$ 18,000	\$ 5,847	\$ 12,153	32.48%	64.44%
4400 Accounting	\$ 20,600	\$ 6,509	\$ 14,091	31.60%	62.68%
4500 Fees	\$ 120,180	\$ 32,435	\$ 87,745	26.99%	53.54%
4600 Communications	\$ 33,510	\$ 19,495	\$ 14,015	58.18%	115.40%
4700 Utilities	\$ 30,800	\$ 12,088	\$ 18,712	39.25%	77.85%
5010 Water Balancing Fund	\$ 80,000	\$ 40,000	\$ 40,000	50.00%	99.18%
6010 PH - Maint/Repair	\$ 18,000	\$ 6,747	\$ 11,253	37.48%	74.35%
6050 PH - Capital Projects	\$ 123,000	\$ 39,000	\$ 84,000	31.71%	62.90%
6060 PH - ReMAT Expenses	\$ 82,000	\$ 7,570	\$ 74,430	9.23%	18.31%
6070 PH - MAB Hydro Project	\$ 5,000	\$ 368	\$ 4,632	7.36%	14.61%
6110 WC - Maint/Repair	\$ 70,000	\$ 8,592	\$ 61,408	12.27%	24.35%
6150 WC - Capital Projects	\$ 173,049	\$ 110,101	\$ 62,948	63.62%	126.21%
6210 Critical Equip Grant	\$ 5,091	\$ 1,239	\$ 3,852	24.34%	48.28%
6220 Grant	\$ 75,000	\$ 3,112	\$ 71,888	4.15%	8.23%
7000 Hydrography	\$ 23,500	\$ 12,624	\$ 10,876	53.72%	106.56%
7100 SCADA/IT	\$ 14,700	\$ 2,531	\$ 12,169	17.22%	34.15%
7110 SCADA Capital Projects	\$ 21,000	\$ 4,332	\$ 16,668	20.63%	40.92%
8000 Consultants	\$ 7,500	\$ -	\$ 7,500	0.00%	0.00%
9000 Vehicles	\$ 39,500	\$ 11,943	\$ 27,557	30.24%	59.98%
9500 Contingencies	\$ 70,000	\$ 582	\$ 69,418	0.83%	1.65%
Total Expenses	\$ 2,172,852	\$ 888,426	\$ 1,284,426	40.89%	81.11%
Excess Revenues (Expenses)	\$ (532,142)	\$ 88,010	\$ (620,155)		
xxxx Transfer In	\$ -	\$ 26,566	\$ (26,566)	0.00%	0.00%
xxxx Transfer Out	\$ -	\$ -	\$ -	0.00%	0.00%

Utica Water & Power Authority
Consolidated Report
Statement of Revenues, Expenses and Fund Balance (Cash Basis)
For the Period Ending December 31, 2018 (50.41%)
Of the Fiscal Year July 1, 2018 to June 30, 2019

<u>Revenues:</u>	<u>Revenue Fund</u>	<u>Water Balancing Fund</u>	<u>FERC Relicensing Fund</u>	<u>FERC Part 12 Fund</u>	<u>Operating & Capital Reserve Fund</u>
1100 Interest Income	\$ 4,753	\$ -	\$ -	\$ -	\$ 11
1200 Power Sales	\$ 643,268				
1301 Water Sales to NCPA	\$ 153,160				
1302 Water Agreements	\$ 40,000				
1303 Water Sales UWPA	\$ 841				
1400 Property Leases	\$ 17,446				
1500 Other Revenues	\$ 31,483				
1610 Critical Equip Grant	\$ 486				
1620 Grant	\$ -				
1700 Member Contributions	\$ 85,000	\$ -	\$ -	\$ -	\$ -
Total Revenues	<u>\$ 976,436</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 11</u>
<u>Expenses:</u>					
2400 FERC Compliance	\$ 66,850				
2450 Dam Safety	\$ 2,005				
3000 Operations & Maint	\$ 325,633				
4000 Administration	\$ 135,044				
4050 Travel/Training	\$ 2,742				
4100 Materials/Misc	\$ 10,357				
4200 Insurance	\$ 20,678				
4300 Legal	\$ 5,847				
4400 Accounting	\$ 6,509				
4500 Fees	\$ 32,435				
4600 Communications	\$ 19,495				
4700 Utilities	\$ 12,088				
5010 Water Balancing Fund	\$ 40,000				
6010 PH - Maint/Repair	\$ 6,747				
6050 PH - Capital Projects	\$ 39,000				
6060 PH - ReMAT Expenses	\$ 7,570				
6070 PH - MAB Hydro Project	\$ 368				
6110 WC - Maint/Repair	\$ 8,592				
6150 WC - Capital Projects	\$ 110,101				
6210 Critical Equip Grant	\$ 1,239				
6220 Grant	\$ 3,112				
7000 Hydrography	\$ 12,624				
7100 SCADA/IT	\$ 2,531				
7110 SCADA Capital Projects	\$ 4,332				
8000 Consultants	\$ -				
9000 Vehicles	\$ 11,943				
9500 Contingencies	\$ 582	\$ -	\$ -	\$ -	\$ -
Total Expenses	<u>\$ 888,426</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Excess Revenues (Expenses)	<u>\$ 88,010</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 11</u>
Fund Balance 7/1/18	\$ 505,576	\$ 80,000	\$ 91,050	\$ -	\$ 85,009
Excess Revenues (Expenses)	\$ 88,010	\$ -	\$ -	\$ -	\$ 11
xxxx Transfer In	\$ 26,566	\$ 40,000	\$ 50,000	\$ 12,500	\$ -
xxxx Transfer Out	\$ -	\$ (26,566)	\$ -	\$ -	\$ -
Ending Fund Balance	<u>\$ 620,152</u>	<u>\$ 93,434</u>	<u>\$ 141,050</u>	<u>\$ 12,500</u>	<u>\$ 85,019</u>

UWPA OPERATIONS/MAINTENANCE REPORT

DECEMBER 2018

RAINFALL	APH	MPH
DECEMBER rainfall	2.4	3.0
PYTD rainfall 7/1/18- 6/30/19	8.9	11.4
Last year this time	4.9	6.6

GENERATION

REVENUES

December's projected scheduled generation:

\$59,594	Dec APH (0.9 MW) @ \$89 (1)
\$35,340	Dec MPH (1.0 MW) @ \$25
\$11,124	August MPH RECs @ \$9
\$1,800	August MPH Imb @ \$20
\$107,858	

December's actual scheduled generation:

\$0	Dec APH (outage) @ \$96
\$0	Dec MPH (outage) @ \$42 (2)
\$12,825	August MPH RECs @ \$9 (3)
\$2,690	August MPH Imb @ \$43 (4)

MURPHYS (sold to Calpine)	
FROGTN 1 UTICAM	
	0
ANGELS (sold to PG&E)	
FROGTN 1 UTICAA	
	0
TOTAL GENERATION:	0 MWhr

- (1) December's budget revenue was based on both APH and MPH being back online after the outage in November.
- (2) Both APH and MPH continued offline for the entire month of December; loss of power revenue was compensated by another water sale.
- (3) Continuing to sell supplemental Vintage 2018 RECs at \$9 on a month-to-month agreement with Calpine.
- (4) MPH was scheduled 1.8 for 31 days of August, no fluctuations, so only 86 MWhrs were imbalance in December at \$31 vs DA at \$48.

Power Revenue:	\$15,515	
Water Revenue:	\$172,270	(Budgeted \$40,000 during FY18-19,
Water and Power:	\$187,785	1,333 AF @ \$30.)

OUTAGES

P = UWPA planned outage IF = internal forced outage EF = external forced outage

Date	Time Off	Duration	Cause	Plant
12/1 - 12/31	0000	31 days*	P: Planned outage was continued due to issues at Angels Powerhouse.	Murphys
12/1 - 12/31	0000	31 days*	P: Planned outage was extended due to the OCB replacement and manufacturing of new structure. The plant was still offline as we are waiting for PG&E onsite inspections.	Angels
			* This is the longest outage in 15 years, now over 57 days as of December 31st.	

WATER

Dec'18 Att A 2,730 + (0) from Nov'18
S-66 + S-127
S-47 into conduit + S-128 fish
LESS water sale to NCPA @ \$70/AF
Pay back or carry over in January

2,730	AF
(269)	AF
(2,461)	AF
(0)	(5)

Mill Creek inflow S-66 269 AF, using S-47 during 7 days spill
Tunnel Tap draft S-127 0 AF
S-47 into conduit + S-128 fish
Total: 269 AF

(5) Received NCPA's concurrence on 1/20/19.

Utica Water and Power Authority

(209)736-9419

(fax)736-0114

Date: January 18, 2019
To: Directors
From: Staff
Re: CalPERS 457 Plan Contribution Increase

UWPA's current retirement benefit for employees is a three percent contribution to each employees' CalPERS 457 account. This is a Supplemental Income Plan that is similar to a 401(k) in the private sector. The employees have individual tax-deferred investment accounts that UWPA and the employee each contribute to. The 457 plan is administered by CalPERS, but it is not a pension plan. The retirement benefit UWPA offers is significantly less than similar agencies, including UWPA's member agencies. This is a source of frustration for current employees and hinders UWPA's ability to recruit new employees.

In order to reach parity with other agencies, UWPA staff are urging the Board to offer a CalPERS pension plan similar to those that Angels Camp and UPUD offer. Based on previous discussions with the Board, Staff have taken the first steps in the CalPERS pension application process, however, that process will likely take about a year to complete. No binding commitments will be made without Board approval.

Once in a CalPERS pension plan, UWPA employees would not be "classic" members, but would likely fall under the Public Employees' Pension Reform Act ("PEPRA"). Currently both U.P.UD.'s and the City's PEPRA rates are about 13 percent of each employee's regular wages (6.842 percent from the Employer and 6.25 from the Employee).

During the application process, staff is proposing raising the current three percent Employer contribution to 6.842 percent, which would at least bring the employer contribution on par with our member agencies. This increase in retirement contribution would bring the agencies closer to parity – it is not, however, a replacement for a pension plan. It is only an interim step to ensure UWPA employees are fairly compensated while the pension application process is pending.

Once a pension plan is secured, the employees can keep their 457 account as a supplement to their pension, which is what most agencies use the 457 plan for. UWPA would not be obligated to continue making contributions to the 457 accounts.

The attached budget amendment shows the expenditures in G/L 3000-17 increasing by \$13,157 to \$26,314 and in G/L 4000-11 increasing by \$5,960 to \$11,920. In order to balance the budget that increase of \$19,117 may be drawn from UWPA's reserves, increasing the earlier transfer

from the Water Balancing Fund of \$26,566 up to \$45,683. It may not need to come from reserves if UWPA stays on track to exceed revenue expectations this year, but the reserves are available.

This increase in the employer's contribution would have a small impact on UWPA's overall budget, but would make a big difference for UWPA's employees. It's not yet the pension plan the employees seek, but it is a partial step in the right direction. Staff recommends approval of this budget amendment.

**UTICA WATER and POWER AUTHORITY
FY '18-'19 4TH BUDGET AMENDMENT**

DRAFT 1/14/19

	FY '18-'19 APPROVED 10/23/2018		PROPOSED AMENDMENTS	BUDGET AMENDMENT
REVENUES:				
1100 Interest Income	\$5,000			\$5,000
1200 Power Sales	\$1,187,268			\$1,187,268
1301 Water Sales to NCPA	\$40,000			\$40,000
1302 Water Agreements	\$80,000			\$80,000
1303 Water Sales UWPA	\$31,351			\$31,351
1400 Property Leases	\$37,200			\$37,200
1500 Other Revenue	\$9,800			\$9,800
1610 Critical Equip Grant	\$5,091			\$5,091
1620 Mobilization Grant	\$75,000			\$75,000
1700 Member Contributions	\$170,000			\$170,000
	<u>\$1,640,710</u>		<u>\$0</u>	<u>\$1,640,710</u>
EXPENDITURES:				
2400 FERC Compliance	\$167,000			\$167,000
2450 Dam Safety	\$2,000			\$2,000
3000 Operations & Maint	\$639,973	<i>Increase current 3% CalPERS</i>	\$13,157	\$653,130
4000 Administration	\$265,049	<i>Employer contribution to 6%.</i>	\$5,960	\$271,009
4050 Travel/Training	\$10,000			\$10,000
4100 Material/misc	\$20,000			\$20,000
4200 Insurance	\$38,400			\$38,400
4300 Legal	\$18,000			\$18,000
4400 Accounting	\$20,600			\$20,600
4500 Fees	\$120,180			\$120,180
4600 Communications	\$33,510			\$33,510
4700 Utilities	\$30,800			\$30,800
5010 Water Balancing Fund	\$80,000			\$80,000
6010 PH - maint/repair	\$18,000			\$18,000
6050 PH - capital projects	\$123,000			\$123,000
6060 PH - ReMAT expenses	\$82,000			\$82,000
6070 PH - MAB Hydro Project	\$5,000			\$5,000
6110 WC - maint/repair	\$70,000			\$70,000
6150 WC - capital projects	\$173,049			\$173,049
6210 Critical Equip Grant	\$5,091			\$5,091
6220 Mobilization Grant	\$75,000			\$75,000
7000 Hydrography	\$23,500			\$23,500
7100 SCADA/IT	\$14,700			\$14,700
7110 SCADA Capital Projects	\$21,000			\$21,000
8000 Consultants	\$7,500			\$7,500
9000 Vehicles	\$39,500			\$39,500
9500 Contingencies	\$70,000			\$70,000
	<u>\$2,172,852</u>		<u>\$19,117</u>	<u>\$2,191,969</u>
SURPLUS/DEFICIT:	(\$532,142)		(\$19,117)	(\$551,259)
UWPA TRANSFERS:	\$505,576			\$551,259
YEAR-END REVENUE FUND:	(\$26,566)			\$0
7/1/18 BEG BAL ALL FUNDS:	\$761,634			\$761,634
'17-'18 Revenue Fund rollover	(\$505,576)			(\$505,576)
Water Balancing Fund deposits	\$80,000			\$80,000
Water Balancing Fund transfers	\$0			(\$45,683)
FERC Relicensing Fund deposits	\$100,000			\$100,000
Part 12 (2022) Fund deposits	\$25,000			\$25,000
Op & Capital Reserve Fund	\$0			\$0
6/30/19 YEAR END RESERVES:	\$461,058			\$415,375
TOTAL ALL FUNDS:	<u>\$434,492</u>			<u>\$415,375</u>

UTICA WATER AND POWER AUTHORITY

Resolution No. 19-01

**RESOLUTION OF THE BOARD OF DIRECTORS OF
UTICA WATER AND POWER AUTHORITY ADOPTING A
FOURTH AMENDMENT TO THE FY18-19 BUDGET**

WHEREAS, Utica Water and Power Authority adopted FY18-19 Budget on May 22, 2018, and subsequently approved a first amendment on July 24, 2018, and a second amendment on August 28, 2018, and a third amendment on October 23, 2018;

WHEREAS, staff is recommending a fourth amendment as described by the attached January 14, 2019 draft FY18-19 Budget Amendment.

NOW, THEREFORE, BE IT RESOLVED the amended budget with total expenditures of \$2,191,969 is hereby adopted as the budget for UWPA for the balance of the 2018-2019 fiscal year;

ON A MOTION by Director _____, and seconded by Director _____, the foregoing resolution was duly passed and adopted by the Board of Directors of the Utica Water and Power Authority, on the 22nd of January 2019, by the following vote:

AYES:
NOES:
ABSTAIN:
ABSENT:

Chairman

Secretary