
MISSION STATEMENT

*Utica Water and Power Authority is committed:
to preserve and protect the water of the Sierra foothills for the vested interest of the communities in
East Calaveras County by promoting collaborative efforts between its two member entities, Union Public
Utility District and the City of Angels;
to maintain the historical properties of the Argonauts' original ditch system while advancing efficient
management and technology;
to deliver water to the communities served, by using revenues generated from hydroelectric energy
production; and
to assure the quality and quantity of this precious resource for the best beneficial public use for
generations to come.*

UTICA WATER AND POWER AUTHORITY

REGULAR BOARD MEETING

UWPA Office Building ~ 1168 Booster Way, Angels Camp ~ (209) 736-9419

Tuesday – September 24, 2019 – 5:30 p.m.

(1) In compliance with Title II of the Americans with Disabilities Act, 28 CFR Part 36, if you need special assistance to participate in this meeting, please contact the UWPA office at (209)736-9419 or fax your request to (209)736-0114. Notification in advance of the meeting will enable UWPA to make reasonable accommodations to ensure accessibility to this meeting. (2) Any materials distributed to the Board and that relate to an agenda item are available at the UWPA office 72 hours prior to the scheduled meeting.

AGENDA

(prev. Res. #19-12)

Call to Order and Pledge of Allegiance

Item 1: Roll call

Item 2: Opportunity for Public Comments:

Limited to 5 minutes per person. Members of the public may address the board on items not agendaized. The public is encouraged to work through staff members to put items on the agenda to be considered by the Board. No action will be taken unless an item is agendaized.

Discussion and possible action

Board of Directors

Joe Oliveira, City of Angels ~ Chairman **Greg Rasmussen**, U.P.U.D. ~ Vice-Chairman

Tom Quincy, U.P.U.D. ~ Secretary **Larry Thompson**, At-Large Member

Amanda Folendorf, City of Angels

Item 4: Consent Calendar and Staff Reports - Approval of all items

- A. Minutes of the Regular Meeting dated 8/27/19
- B. Financials 8/1/19 through 8/31/19
- C. General Manager's report – S. Sarantopoulos
- D. Administrative report – L. Karnes
- E. Generation and O&M Report for August – F. Fields
- F. Water Conveyance – K. Rasmussen

Item 5: Regular Agenda - Discussion and possible action

- A. ReMAT Update and Legal Funding - S. Sarantopoulos
- B. Consulting Services Contract - S. Sarantopoulos
- C. Approval of the Third Amendment to the FY'19-'20 Budget Res. #19-13 - L. Karnes

Item 6: Staff/Directors' Reports, Comments and Future Agenda Items (if any)

Item 7: Calendar

Adjourn

Next Regular Meeting: Tuesday, October 22, 2019 at 5:30 pm

Board of Directors

Joe Oliveira, City of Angels ~ Chairman **Greg Rasmussen**, U.P.U.D. ~ Vice-Chairman
Tom Quincy, U.P.U.D. ~ Secretary **Larry Thompson**, At-Large Member
Amanda Folendorf, City of Angels

UTICA WATER AND POWER AUTHORITY

UWPA Office Building ~ 1168 Booster Way, Angels Camp ~ (209) 736-9419

Minutes of the
August 27, 2019
Regular Board Meeting

The Board of Directors of the Utica Water and Power Authority met in Regular Session at the UWPA office in Angels Camp, California.

Directors Present:

Joe Oliveira, COA

Chairman

Greg Rasmussen, UPUD

Vice Chairman

Tom Quincy, UPUD

Secretary

Larry Thompson, At-Large

Director

Amanda Folendorf, COA

Director

Also Present:

Steve Sarantopoulos

General Manager

Lori Karnes

Administrative Manager

Frank Fields

O & M Superintendent

Kyle Rasmussen

Conveyance Supervisor

Roger Masuda

Griffith and Masuda

Dave Andres

IMS

Randy Bowersox

NCPA

DRAFT

Call to Order and Pledge of Allegiance

The meeting was called to order at 3:00 pm by Chairman Joe Oliveira

Item 1:

Roll call

Oliveira, Thompson, Quincy, Rasmussen and director Folendorf arrived prior to item 4 being discussed.

Item 2:

Opportunity for Public Comments:

No public comments

Item 3:

Water Rights Presentation and Capital Improvement Program - Roger

Masuda from Griffith and Masuda and Dave Andres, IMS, Consulting - *Discussion and possible action*

Dave reported that this plan would help construct any projects that would need to be prioritized over the next five years. This program would help each project by being able to plan ahead, stay organized, and follow the budget. This program will keep an open communication to anyone in the district or public. The over all benefits that come for the Capital Improvement Program is to have the guidance to be able to manage time, money

Board of Directors

Joe Oliveira, City of Angels ~ Chairman

Greg Rasmussen, U.P.U.D. ~ Vice-Chairman

Tom Quincy, U.P.U.D. ~ Secretary

Larry Thompson, At-Large Member

Amanda Folendorf, City of Angels

and the temporary hire of employment for each of the projects. This will be the most effective and efficient way to stay organized and finish the project in the constructed time frame. Dave also said that if UWPA were to adopt this plan, that the cost of each project would need to have approximately \$611,000 reserved in the budget. If UWPA decides to fully fund, then there will be a risk for the next five years. Due to the uncertainty from the fluctuating water years; UWPA would be able to pull from the reserve accounts until other agencies commit to the actual estimated numbers. Dave figured that there would be a 2.3 million dollar expense, and continued to explain what the cost would be for the agencies in order to meet the final goal between year 2021 to year 2025. Then Dave explained the other financial options to help build the revenue through grants and by working with other agencies.

Roger went over a brief overview of the weather forecasts history to discussing the cause and effect of the impact in water deliveries. Ranking from the driest to wettest year seasons to seek out the change of how much AF was covered. Roger suggested that UWPA plan ahead for any water loss that may occur in the future during a multi-year drought

Randy Bowersox continued the water rights subject by discussing the agreements that were made 1989-current year between NCPA, CCWD, PG&E, and UWPA.

Item 4: Consent Calendar and Staff Reports - Approval of all items

- A. Minutes of the Regular Meeting dated 7/23/19
- B. Minutes of the Regular Meeting dated 7/27/19
- C. Financials 7/1/19 through 7/31/19
- D. General Manager's Report – S. Sarantopoulos
- E. Administrative Report – L. Karnes
- F. Generation and O&M Report for July – F. Fields
- G. Water Conveyance – K. Rasmussen

Director Rasmussen moved to approve item 4 A, D, E, F, and G of the consent calendar; seconded by Director Thompson and adopted 5-0. Ayes: Rasmussen, Quincy, Folendorf, Thompson, Oliveira; Noes none; Absent: 0

Item 4, B and C were pulled for further discussion. Director Rasmussen moved to approve item 4, section B; seconded by Director Folendorf and adopted 4-0. Ayes: Rasmussen, Quincy, Folendorf, Thompson, Oliveira; Noes none; Absent: 1

Item 4 section C discussion was on the payments received from Dogtown, and clarifying Calpine's revenue report. Director Rasmussen moved to approve the financials; seconded by Director Quincy and adopted 5-0. Ayes: Rasmussen, Quincy, Folendorf, Thompson, Oliveira; Noes none; Absent: 0

Item 5: Regular Agenda - Discussion and possible action

- A. Third Amendment to the 2005 Amended JPA - S. Sarantopoulos
Steve reported that the JPA amendment was approved by UPUD and now it will go to City of Angels next scheduled meeting for approval.

Recess @ 5:10 pm

Reconvene @ 5:30 pm

- B. 5:30 pm Public Hearing - Adopt Res. #19-12 to approve the Negative Declaration for Murphys Forebay Communications Tower Project

No comment from the public; public hearing was closed at 5:35pm. Director Rasmussen moved to approve the adoption of the resolution #19-20; seconded by Director Folendorf and adopted 5-0. Ayes: Rasmussen, Quincy, Folendorf, Thompson, Oliveira; Noes: none; Absent: 0

Board of Directors

Joe Oliveira, City of Angels ~ Chairman **Greg Rasmussen**, U.P.U.D. ~ Vice-Chairman
Tom Quincy, U.P.U.D. ~ Secretary **Larry Thompson**, At-Large Member
Amanda Folendorf, City of Angels

Item 6: Staff/Directors' Reports, Comments and Future Agenda Items (if any)

- F.Fields reported the work that is being done in Murphys, and that all six needles are now working properly.
- UPUD adopted the JPA amendment
- City of Angels passed the 318 and now water rates to go up.
- CPPA efficient funding dates were moved for further discussion, and still in process of getting approval.

Adjourn: Meeting was adjourned at 5:50pm

Next Regular Meeting: Tuesday, September 24, 2019 at 5:30 pm

Approved at the regular board meeting September 24, 2019

Board Chairman

Board Secretary

DRAFT

Board of Directors

Joe Oliveira, City of Angels ~ Chairman **Greg Rasmussen**, U.P.U.D. ~ Vice-Chairman
Tom Quincy, U.P.U.D. ~ Secretary **Larry Thompson**, At-Large Member
Amanda Folendorf, City of Angels

Utica Water and Power Authority

Date: September 24, 2019
To: Board of Directors
From: Lori Karnes, Administrative Manager
Re: Financial Report for 8/1/19 - 8/31/19

1. Power revenue was lower overall from July's report. Generation was nearly the same (1718 MWhrs in July vs 1728 in August). MPH generated slightly less in August and the Day Ahead prices for scheduled generation were \$3 less than projected in budget. The imbalance money we received in August for unscheduled generation in April was much lower than July's report due to market pricing (\$14 vs \$43) and less water. In March we had excess water to run through the plant and 770 MWhrs was unscheduled vs April's at 208 MWhrs.

2. ReMAT's pricing in August was @ \$93 even though the average annual price is \$89, it fluctuates throughout the year so our revenue was slightly up for APH.

3. No water sales this month.

REVENUE RECEIVED:

1st quarter water payment \$10,000 from COA
1st quarter contribution \$35,000 from COA
all power revenue billed in August

DESCRIPTION OF EXPENSES:

\$6,989 to GEI Consultants - Penstock Evaluation/Analysis

\$664 to GEI Consultants - Revise STID and review draft of DSSMP for Murphys Afterbay.

\$300 - Tamarack Construction - rent mini excavator for S-80 ditch cleaning

\$4,321.55 - S-80 Bypass Project surveying and locating slide gate and overflow spillway structures.

\$50 - Nick Musachia - installed project identification sign

Vaughn Tribble, CPA

Daniel Ayala, CPA

Douglas Tribble, CPA

Tribble & Ayala
Certified Public Accountants, Inc.

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Accountant's Disclaimer

Utica Water & Power Authority
Angels Camp, California

The accompanying Consolidated Report - statement of revenues, expenses and fund balance (cash basis) and the accompanying Revenue Fund Report - statement of revenues, expenses and budget variances (cash basis) for the period ending August 31, 2019 of the fiscal year July 1, 2019 to June 30, 2020, and the accompanying Bank Activity Report (All Funds) for the period August 1 to August 31, 2019 of the Utica Water & Power Authority were not audited by us and, accordingly, we do not express an opinion on them. The financial statements have been prepared on the cash basis of accounting, which is a comprehensive basis of accounting other than generally accepted accounting principles.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the cash basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Utica Water & Power Authority's revenues, expenses, and fund balance. Accordingly, the financial statements are not designed for those who are not informed about such matters.



Certified Public Accountants, Inc.

September 18, 2019

Utica Water and Power Authority
Bank Activity Report (All Funds)
For the Period August 1 to August 31, 2019

Revenue Fund

Recap	Current Month	Prior Month	Difference
Revenues	\$ 143,330.13	\$ 138,972.12	\$ 4,358.01
Expenses	\$ (132,274.23)	\$ (174,029.23)	\$ 41,755.00
Difference	\$ 11,055.90	\$ (35,057.11)	\$ 46,113.01

Fund Balance - 7/31/2019 \$ 644,220.74

Revenues:	8/1/2019 CCATT rent payment for Aug 2019	1,556.57
	8/12/2019 City of Angels payment for 1st quarter water	10,000.00
	8/12/2019 City of Angels 1st quarter contribution	35,000.00
	8/12/2019 Sierra Hope rent payment for Aug 2019	1,000.00
	8/12/2019 Reimbursement for Karen's retirement party	1,583.21
	8/15/2019 Hunter's rent payment for Aug 2019	845.00
	8/15/2019 Calpine payment for Apr 2019 renewable energy	12,870.00
	8/20/2019 Calpine payment for July 2019 power	27,898.96
	8/26/2019 City of Angels June 2019 hydrography	400.00
	8/26/2019 Workers' Comp premium refund for 7/18-7/19	266.19
	8/29/2019 City of Angels July 2019 hydrography	400.00
	8/30/2019 PG&E payment for July 2019 power	46,991.21
	8/30/2019 Calpine payment for Apr 2019 imbalance	2,982.42
	8/30/2019 CCATT rent payment for Sept 2019	1,556.57
	Total Revenues	143,330.13

Expenses:	8/6/2019 BanCorp Services	(4.99)
	8/12/2019 CalPERS 457 Plan	(4,965.41)
	8/12/2019 Payroll transfer for 8/12/19 wages & taxes	(24,110.52)
	8/12/2019 A-1 Sharpening & Small Engine Repair	(64.34)
	8/12/2019 AT&T 555 8	(1,838.72)
	8/12/2019 Calaveras County Tax Collector	(150.00)
	8/12/2019 Calaveras County Tax Collector	(108.69)
	8/12/2019 Calaveras Lumber Co, Inc.	(204.73)
	8/12/2019 Calaveras Power Agency	(654.00)
	8/12/2019 California Waste Recovery Systems	(185.96)
	8/12/2019 Choice Builder	(896.16)
	8/12/2019 City of Angels	(170.40)
	8/12/2019 City of Angels	(131.70)
	8/12/2019 Columbia Communications, Inc.	(75.00)
	8/12/2019 Driven	(1,800.91)
	8/12/2019 E.D.I.S	(214.00)
	8/12/2019 Griffith & Masuda	(5,150.00)
	8/12/2019 IMS Consulting	(6,317.50)
	8/12/2019 Mother Lode Answering Service, Inc.	(139.00)
	8/12/2019 Mountain Oasis Purified Water	(181.75)
	8/12/2019 New York Life	(41.83)
	8/12/2019 Pacific Gas & Electric Co.	(109.42)
	8/12/2019 Pacific Gas & Electric Co.	(161.86)
	8/12/2019 Sierra Hydrographics	(1,920.00)
	8/12/2019 Spence Ranch Feed-Supply	(58.98)
	8/12/2019 Staples Business Advantage	(524.23)
	8/12/2019 State Compensation Insurance Fund	(1,987.58)
	8/12/2019 Timothy and Deanna Plunkett	(75.00)
	8/12/2019 Tribble & Ayala CPA's, Inc.	(1,260.96)
	8/12/2019 Underground Service Alert	(150.00)
	8/12/2019 Union Public Utility District	(27.00)
	8/12/2019 U.S. Bank Corporate	(2,963.56)
	8/12/2019 U.S. Bank Equipment Finance	(206.55)
	8/12/2019 U.S. Bank Equipment Finance	(432.47)
	8/12/2019 Verizon Wireless	(571.16)
	8/13/2019 Griffith & Masuda	(70.18)

Utica Water and Power Authority
Bank Activity Report (All Funds)
For the Period August 1 to August 31, 2019

8/14/2019 Bank Charge	(15.95)	
8/20/2019 BanCorp Services	(61.16)	
8/26/2019 Angels Post Office	(55.00)	
8/26/2019 Blue Shield of California	(9,072.63)	
8/26/2019 Calaveras County Water District	(129.86)	
8/26/2019 Cal.Net	(215.74)	
8/26/2019 Comcast Business	(481.32)	
8/26/2019 Comcast Business	(148.21)	
8/26/2019 GEI Consultants, Inc.	(6,989.00)	
8/26/2019 GEI Consultants, Inc.	(664.00)	
8/26/2019 Nick Musachia	(50.00)	
8/26/2019 Pacific Power Engineers, Inc.	(1,535.25)	
8/26/2019 Pacific Gas & Electric Co.	(24.84)	
8/26/2019 Tamarack Construction	(300.00)	
8/26/2019 Union Public Utility District	(146.40)	
8/26/2019 Weber, Ghio Associates	(4,321.55)	
8/26/2019 Zoom Imaging Solutions, Inc.	(317.30)	
8/26/2019 Payroll transfer for 8/26/19 wages & taxes	(23,962.95)	
8/26/2019 E.D.I.S	(214.00)	
8/26/2019 Tuolumne Stanislaus Integrated Regional	(200.00)	
8/26/2019 CalPERS 457 Plan	(4,830.11)	
8/27/2019 BanCorp Services	(90.00)	
8/30/2019 CalPERS 457 Plan	(107.74)	
8/31/2019 Transfer out to Water Balancing Fund	(10,000.00)	
8/31/2019 Transfer out to FERC Relicensing Fund	(8,333.33)	
8/31/2019 Transfer out to FERC Part 12 Fund	(2,083.33)	
Total Expenses	(132,274.23)	
Net Revenues	11,055.90	
Transfers:	-	
Total Transfers	-	
Fund Balance - 8/31/2019		\$ 655,276.64
<u>Water Balancing Fund</u>		
Fund Balance - 7/31/2019	\$ 34,317.00	
8/31/2019 Transfer in from Revenue Fund	10,000.00	
Fund Balance - 8/31/2019		\$ 44,317.00
<u>FERC Relicensing Fund</u>		
Fund Balance - 7/31/2019	\$ 199,383.56	
8/31/2019 Transfer in from Revenue Fund	8,333.33	
Fund Balance - 8/31/2019		\$ 207,716.89
<u>FERC Part 12 Fund</u>		
Fund Balance - 7/31/2019	\$ 27,083.29	
8/31/2019 Transfer in from Revenue Fund	2,083.33	
Fund Balance - 8/31/2019		\$ 29,166.62
<u>Operating & Capital Reserve Fund</u>		
Fund Balance - 7/31/2019	\$ 85,031.89	
8/30/2019 Interest earned Aug 2019	0.36	
Fund Balance - 8/31/2019		\$ 85,032.25
Total All Funds - 8/31/2019		\$ 1,021,509.40
Total All Funds - 7/31/2019		\$ 990,036.48
Difference		\$ 31,472.92

Unaudited - See Accountant's Disclaimer

Utica Water & Power Authority
Consolidated Report
Statement of Revenues, Expenses and Fund Balance (Cash Basis)
For the Period Ending August 31, 2019 (16.94%)
Of the Fiscal Year July 1, 2019 to June 30, 2020

<u>Revenues:</u>	<u>Revenue Fund</u>	<u>Water Balancing</u> <u>Fund</u>	<u>FERC Relicensing</u> <u>Fund</u>	<u>FERC Part 12 Fund</u>	<u>Operating & Capital</u> <u>Reserve Fund</u>
1100 Interest Income	\$ 4,392	\$ -	\$ -	\$ -	\$ 2
1200 Power Sales	\$ 216,705				
1301 Water Sales to NCPA	\$ -				
1302 Water Agreements	\$ 10,000				
1303 Water Sales UWPA	\$ 9,276				
1400 Property Leases	\$ 8,300				
1500 Other Revenues	\$ 800				
1630 Truck Grant	\$ -				
1700 Member Contributions	\$ 35,000	\$ -	\$ -	\$ -	\$ -
Total Revenues	<u>\$ 284,473</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 2</u>
<u>Expenses:</u>					
2400 FERC Compliance	\$ 11,620				
2420 FERC Reserve Funds	\$ 20,833				
2440 FERC Fees/Oversight	\$ -				
2450 Dam Safety	\$ -				
3000 Operations & Maint	\$ 121,708				
4000 Administration	\$ 63,297				
4050 Travel/Training	\$ -				
4100 Materials/Misc	\$ 4,333				
4200 Insurance	\$ -				
4300 Legal	\$ 10,370				
4400 Accounting	\$ 2,325				
4500 Fees	\$ 4,754				
4600 Communications	\$ 7,334				
4610 Devices	\$ -				
4700 Utilities	\$ 4,553				
5010 Water Balancing Fund	\$ 10,000				
6010 PH - Maint/Repair	\$ 3,240				
6050 PH - Capital Projects	\$ 1,535				
6060 PH - ReMAT Expenses	\$ -				
6070 PH - MAB Hydro Project	\$ -				
6110 WC - Maint/Repair	\$ 11,093				
6150 WC - Capital Projects	\$ 1,809				
6230 Truck Grant	\$ -				
7000 Hydrography	\$ 3,968				
7100 SCADA/IT	\$ 75				
7110 SCADA Capital Projects	\$ 2,502				
8000 Consultants	\$ 16,482				
9000 Vehicles	\$ 5,323				
9500 Contingencies	\$ 1,320	\$ -	\$ -	\$ -	\$ -
Total Expenses	<u>\$ 308,474</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Excess Revenues (Expenses)	<u>\$ (24,001)</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 2</u>
Fund Balance 7/1/18	\$ 599,278	\$ 114,317	\$ 191,050	\$ 25,000	\$ 85,030
Excess Revenues (Expenses)	\$ (24,001)	\$ -	\$ -	\$ -	\$ 2
xxxx Transfer In	\$ 80,000	\$ 10,000	\$ 16,667	\$ 4,167	\$ -
xxxx Transfer Out	\$ -	\$ (80,000)	\$ -	\$ -	\$ -
Ending Fund Balance	<u>\$ 655,277</u>	<u>\$ 44,317</u>	<u>\$ 207,717</u>	<u>\$ 29,167</u>	<u>\$ 85,032</u>

Utica Water & Power Authority
Revenue Fund Report
Statement of Revenues, Expenses and Budget Variances (Cash Basis)
For the Period Ending August 31, 2019 (16.94%)
Of the Fiscal Year July 1, 2019 to June 30, 2020

<u>Revenues:</u>	<u>Budget - 7/23/19</u>	<u>Revenues to Date</u>	<u>Balance</u>	<u>% of Budget</u>
1100 Interest Income	\$ 5,000	\$ 4,392	\$ 608	87.84%
1200 Power Sales	\$ 1,208,412	\$ 216,705	\$ 991,707	17.93%
1301 Water Sales to NCPA	\$ 60,750	\$ -	\$ 60,750	0.00%
1302 Water Agreements	\$ 80,000	\$ 10,000	\$ 70,000	12.50%
1303 Water Sales UWPA	\$ 35,000	\$ 9,276	\$ 25,724	26.50%
1400 Property Leases	\$ 40,104	\$ 8,300	\$ 31,804	20.70%
1500 Other Revenues	\$ 4,800	\$ 800	\$ 4,000	16.67%
1630 Truck Grant	\$ 75,000	\$ -	\$ 75,000	0.00%
1700 Member Contributions	\$ 280,000	\$ 35,000	\$ 245,000	12.50%
Total Revenues	\$ 1,789,066	\$ 284,473	\$ 1,504,593	15.90%

<u>Expenses:</u>	<u>Budget - 7/23/19</u>	<u>Expenses to Date</u>	<u>Balance</u>	<u>% of Budget</u>
2400 FERC Compliance	\$ 84,000	\$ 11,620	\$ 72,380	13.83%
2420 FERC Reserve Funds	\$ 125,000	\$ 20,833	\$ 104,167	16.67%
2440 FERC Fees/Oversight	\$ 10,000	\$ -	\$ 10,000	0.00%
2450 Dam Safety	\$ 71,000	\$ -	\$ 71,000	0.00%
3000 Operations & Maint	\$ 666,560	\$ 121,708	\$ 544,852	18.26%
4000 Administration	\$ 323,512	\$ 63,297	\$ 260,215	19.57%
4050 Travel/Training	\$ 10,000	\$ -	\$ 10,000	0.00%
4100 Materials/Misc	\$ 31,500	\$ 4,333	\$ 27,167	13.76%
4200 Insurance	\$ 39,000	\$ -	\$ 39,000	0.00%
4300 Legal	\$ 30,000	\$ 10,370	\$ 19,630	34.57%
4400 Accounting	\$ 23,500	\$ 2,325	\$ 21,175	9.89%
4500 Fees	\$ 38,950	\$ 4,754	\$ 34,196	12.21%
4600 Communications	\$ 32,200	\$ 7,334	\$ 24,866	22.78%
4610 Devices	\$ 2,200	\$ -	\$ 2,200	0.00%
4700 Utilities	\$ 32,820	\$ 4,553	\$ 28,267	13.87%
5010 Water Balancing Fund	\$ 80,000	\$ 10,000	\$ 70,000	12.50%
6010 PH - Maint/Repair	\$ 38,000	\$ 3,240	\$ 34,760	8.53%
6050 PH - Capital Projects	\$ 139,000	\$ 1,535	\$ 137,465	1.10%
6060 PH - ReMAT Expenses	\$ 82,000	\$ -	\$ 82,000	0.00%
6070 PH - MAB Hydro Project	\$ -	\$ -	\$ -	0.00%
6110 WC - Maint/Repair	\$ 55,580	\$ 11,093	\$ 44,487	19.96%
6150 WC - Capital Projects	\$ 178,800	\$ 1,809	\$ 176,991	1.01%
6230 Truck Grant	\$ 75,000	\$ -	\$ 75,000	0.00%
7000 Hydrography	\$ 34,050	\$ 3,968	\$ 30,082	11.65%
7100 SCADA/IT	\$ 9,200	\$ 75	\$ 9,125	0.82%
7110 SCADA Capital Projects	\$ 25,000	\$ 2,502	\$ 22,498	10.01%
8000 Consultants	\$ 104,000	\$ 16,482	\$ 87,518	15.85%
9000 Vehicles	\$ 40,194	\$ 5,323	\$ 34,871	13.24%
9500 Contingencies	\$ 87,278	\$ 1,320	\$ 85,958	1.51%
Total Expenses	\$ 2,468,344	\$ 308,474	\$ 2,159,870	12.50%
Excess Revenues (Expenses)	\$ (679,278)	\$ (24,001)	\$ (655,277)	
xxxx Transfer In	\$ 80,000	\$ 80,000	\$ -	100.00%
xxxx Transfer Out	\$ -	\$ -	\$ -	0.00%

Unaudited - See Accountant's Disclaimer

Utica Water and Power Authority

Date: September 24, 2019
To: Board of Directors
From: Steve Sarantopoulos, GM
Re: General Manager's Report to the Board

Dear Board members,

We have had a productive month since our last board meeting. Staff was well prepared for our annual FERC inspection. The City of Angels and UWPA staff are now meeting regularly to better align budgeting and operation needs. I'm encouraged by the progress and believe we are on the right path to better collaboration. We are working to include UPUD staff and will be coordinating a joint session of City Council with UPUD and UWPA boards to expand our collaboration to address the Capital Improvement Program (CIP) along with FERC relicensing. Staff is also working on a graphical format to present the financials to you each month. Our goal is to have that in place by next board meeting.

Key Accomplishments/Outcomes this month:

Administration:

- **Financial:** Lori, Frank, Kyle and Steve have developed a budget amendment to address the need for consulting services to address further development of the CIP, FERC relicensing funding and strategic planning to pursue, apply for and administrate grants. The budget change will not affect operations and maintenance of the system, our ability to complete the projects slated for work this year or increase expenses. We are moving funding between categories so the change is a net zero sum to the budget. The fiscal year performance has been within budget to this point. We will be watching this carefully and reporting 1st quarter performance (July through September) next meeting.
- **Safety:** staff is striving to remain safe operating the system while preparing for the upcoming winter season and regular fall outage in November.
- **Staff:** we welcomed a new operator Derek on board. Derek started September 2nd and has been working to learn both water conveyance and hydro operations. Please welcome Derek when you get the opportunity.
- **FERC:** Lori did a wonderful job preparing the documentation for the inspector's review. Many thanks to Kyle and Frank responding to the EERC engineers Request for Information (RFI).

General Manager's Report to the Board

- **Agencies:** City and UWPA staff are meeting to address the funding for the CIP and FERC relicensing. This process will continue monthly to ensure that this process is in sync with all the JPA members. To that end I have informed UPUD staff and BOD members at their BOD meeting this month that they will be invited to future meetings to ensure inclusion of all JPA members in this process. We are looking to schedule a joint BOD/Council meeting between all three agencies this coming January. The City also has placed the CalPERS resolution on their October 1st Council meeting agenda.
- **ReMAT:** action is still being taken by the coalition. A request from the coalition to contribute to the legal expenses incurred to file with the commission has been made in the amount of \$1000 for those participating in the coalition. I have found money in the budget that allows for this. This item will be on the agenda so the Board may ask questions. Currently the CPUC has not taken action on our written request. The coalition's next steps involve scheduling a meeting with the commissioners and our local assemble/senate elected officials to put pressure on the commission to take action. I plan to attend when the meeting is set up, Michael Minkler is coordinating this.
- **Consulting Services:** Dave Andres' consulting contract with UWPA expires the end of this month. He has indicated he intends to retire the summer of 2020. Dave has provided very valuable work products for the draft CIP, CalPERS pension plan and grant funding to name a few. I would like to retain him on a time and material basis (T&M) to further develop the CIP (hopefully to a final form prior to the end of this budget year), split out the FERC relicensing costs, complete the execution of the CalPERS plan and provide guidance to staff setting up a more effective grant application process. Staff and I have modified the budget to allow up to \$30,000 for this work. An amendment to the budget is on the regular agenda that addresses this contract extension.

Operations:

- **Hydro:** Good progress continues to be made on upgrades to the plants. This will continue during the outage. The weather is cooling rather quickly which affects grid demand. We are assessing whether to sell water to offset potentially lower generation revenue. That decision will be made by end of month September.
- **Conveyance:** staff are staging materials in preparation for the upcoming outage maintenance and repairs.
- **FERC:** Frank, Kyle, Sabastian and Steve along with John accompanied the inspector through the dams, power houses and water conveyance system inspection. This was done over a period of two days, September 9th and 10th. Verbal comments by the inspector affirmed our O&M plus repairs are on track with their expectations given the age of the water and power system. We will receive a written follow up sometime in the next sixty days outlining any items that need follow up.

General Manager's Report to the Board

Challenges/Issues/Concerns:

- **Weather:** the seasons are changing which brings greater challenges to operating the system. Winter weather is always an uncertain long range forecast. Current long range NOAA forecasts are calling for normal precipitation over the next three months. Of course this is early in the season so stay tuned as forecasting is refined entering the fall season.
- **Outage:** fall outage is scheduled starting Monday November 4th ending Friday November 15th. There are a lot of repair and improvement items to be addressed during this short two week timeframe. Staff will make adjustments to the work schedule as needed as unknowns frequently appear during project work.

Plans/Goals for next month:

- **Administration:** we will be looking to improve our fiscal tracking and reporting system. This along with starting my involvement with IT oversight to address issues that are restricting our efficiency and effectiveness.
- **Hydro:** focus on communications improvements that allow remote control of the plants. This improves safety during the upcoming winter season.
- **Conveyance:** improving communications systems to allow better gauge control along with preparing to rebuild key flume sections will be the focus of this next month.

Requests or comments for Board members:

- Please feel free to drop by the office to say hi. Or call ahead if you would like to meet.

Utica Water and Power Authority

Date: September 24, 2019

To: Board of Directors

From: Lori Karnes, Administrative Manager

Re: Administrative Report to the Board

Our FERC inspector, Jordan Reimer, was here 9/9 thru 9/10 along with our Chief Dam Safety Engineer, John Kessler. The inspector reviewed our safety plans, reports, and inspected both the Lower Utica System P-2019 and the Upper and Lower, Angels System P-2699. John and staff answered questions. Overall, the inspection went well and we're waiting for his final report.

Steve and Dave Andres have been meeting with the City to discuss plans to adopt the CalPERS Pension for UWPA employees. UPUD has already adopted a resolution in support of UWPA pursuing an application with CalPERS. The City is planning to adopt a resolution at their next board meeting in October.

Our newest employee, Derek who was hired as a Hydroelectric Relief/Conveyance Operator started on September 3. He's been working with Frank and Kyle to learn the complexity of the system and preparing him for winter conditions.

Frank and I went to Sacramento on September 5 to meet with a panel from DWR concerning the Stephens Tower Project. Frank did a great job presenting the project and answering questions. The panel gave good verbal feedback and will be sending a written evaluation by the end of September. We will include their recommendations in the final project submittal that goes to DWR in November.

Director Larry Thompson's term as At Large Community Member is ending December 31st this year. I'm thinking we should advertise for the position the first two weeks of October. That would give us a week before the October board meeting to schedule interviews, discuss and possibly select a candidate at the October 22nd meeting.

If a candidate is selected the board can vote to adopt the resolution and the oath could be given during the November/December meeting. In the past we've combined these two meetings due to the Thanksgiving holiday falling within the same week.

Hydro operations Sept 2019

NEW

1. FERC inspection completed,
2. Training of new operator Derek Martinez is ongoing (started Sept 2nd)
3. S124 fish release alarm functional again. (changed to internal cellular flat file)
4. Angels Forebay Data moved over to Micro PLC and new LOS communication path
5. S78 Valve replacement quote processed (build times required) working to schedule replacement during the November outage
6. Ross radio communication path tested and aligned at 2.4 GHZ
7. Began working to identify methods that APH can trip other than PLC and interconnection protection relays.

Follow UP items

1. MPH running 6 needles, still testing after #6 repair with last set of packing
2. Ross Micro Hydro parts now expected to arrive in October.

UWPA OPERATIONS/MAINTENANCE REPORT

AUGUST 2019

RAINFALL		APH	MPH
August		0.0	0.0
PYTD rainfall 7/1/19- 6/30/20		0.0	0.0
PYTD this time last year		0.0	0.0

* PYTD is "precipitation year-to-date"

GENERATION

ANGELS (sold to PG&E)		
FROGTN_1_UTICAA		
PG&E concurred		498
MURPHYS (sold to Calpine)		
FROGTN_1_UTICAM		
Wtg for ISO/QRE concurrence		1,396
TOTAL GENERATION:		1,894 MWhr

REVENUES

August's projected scheduled generation:		
\$48,523	Aug APH (0.6 MW) @ \$93. (1)	521
\$38,688	Aug MPH (2.0 MW) @ \$26	1488
\$11,893	Apr MPH RECs @ \$9	
\$2,640	Apr MPH Imb @ \$22	
\$101,744		2009
August's actual scheduled generation:		
\$46,551	Aug APH (0.89) @ \$93.	498
\$33,020	Aug MPH (1.7) @ \$27 (2)	1224
\$12,870	Apr MPH RECs @ \$35/\$9 (3)	
\$2,982	Apr MPH Imb @ \$14. (4)	1722

- (1) August's budget was based on WY I. Note that even though the APH ReMAT PPA is at \$89/MWhr the TOD for APH varies during the year
- (2) MPH generated at 1.7 instead of budget 2.0. The Day Ahead pricing was \$1 over budget projections, \$27 vs \$26.
- (3) All RECs are sold at \$9 for the remainder of the CY 2019 to meet our Sept 2012 contract with Calpine that expires in September 2022.
- (4) We were only unscheduled a little more than 3 days in April and generated 562 MWhrs less than in March. Prices compared to March were \$29 less.

Power Revenue:	\$95,424
Water Revenue:	\$0 (Budgeted \$60,750 during FY19-20)
Water and Power:	\$95,424 1,350 AF @ \$45.

P = UWPA planned outage IF = internal forced outage EF = external forced outage

Date	Time Off	Duration	Cause	Plant
8/6/2019	2138	36 mins	EF: 86 trip and overcurrent trip; grid seemed to be moving around when trying to synch	Angels
8/14/2019	0605	10 hrs 3 mins	IF: PG&E planned outage for CPUC mandated wildfire resistance work	Murphys
8/16/2019	1056	5 hrs 15 mins	EF: Truck accident took down powerlines in Angels Camp	Angels
8/19/2019	1425	3 hrs 46 mins	EF: PG&E unscheduled outage due to an open recloser on the 1701	Murphys
8/23/2019	2129	63 mins	EF: 86 trip; no flags or relays	Angels
8/24/2019	0740	20 mins	EF: PG&E forced outage; problem with switching	Angels
8/26/2019	1212	35 mins	EF: 86 trip; no flags on relays	Angels

August'19 Att A 3,046 + 4 from July'19 S-66 + S-127
 LESS water sale to NCPA @ \$XX/AF
 Pay back or carry over in September
 (5) We have NCPA's concurrence.

3,050	AF	Mill Creek inflow S-66	254	AF (use S-47 during Hunters spills)
(3,049)	AF	Tunnel Tap draft S-127	2,795	AF
0	AF		0	adjustments made if McKays spilling)
1	(5)	Total:	3,049	AF

Utica Water and Power Authority

Date: September 24, 2019
To: Directors
From: Kyle
Re: Conveyance Report for 8/1/19 - 8/31/19

- FERC Dam Safety Inspection went very well. Jordan appreciated how well the vegetation was maintained.
- Outage prep is in full swing. Lots of planning is involved to coordinate the flume repairs on 3/4 Mile Flume.
- We had a training day with Sierra Hydrographics to continue learning about the proper ways to measure water. Also, Kevin Bazar with USGS made his second trip of the year to all of our USGS sites. USGS measures twice a year and so do we.
- Ross project is getting closer to being complete.
- We are getting some bid numbers and options on resurfacing the parking lot. Also, we are getting some prices to make all the doors handicap accessible.

UTICA WATER & POWER AUTHORITY

209-736-9419

Fax: 209-736-0114

MEMO

Date: September 24, 2019

To: Directors

From: Staff

Re: FY'19-'20 3rd Budget Amendment

The purpose of the third proposed amendment to the FY '19-'20 budget is to re-allocate funds from projects that came in under budget to areas that the staff deems more critical. A detailed description of the amended budget recap is attached.

In summary these are the items addressed:

Water Conveyance - Capital Projects:

The S-80 bypass canal project will be less than originally budgeted; \$44,000 from this line item was allocated to other items in the budget.

Consultants:

This line item was increased to retain consultant services to address the Capital Improvement Plan, FERC re-licensing and grant funding guidance, applications, and administration.

FERC Compliance:

FERC requires an external audit for the their Owner's Dam Safety Program (ODSP). We had budgeted \$6,000; the bid came in at \$25,000.

		Estimate at 7/23/19	Adjustment	Proposed at 9/24/19
'18-'19 rollover 7/1/19		\$599,278	0	\$599,278
2400-12	ODSP external audit	\$6,000	\$14,000	\$20,000
6150-03	S-80 bypass canal work	\$61,000	(\$44,000)	\$17,000
8000-04	CIP, FERC, grants	\$24,000	\$30,000	\$54,000
Totals		\$91,000	\$0	\$91,000

UTICA WATER AND POWER AUTHORITY

Resolution No. 19-13

**RESOLUTION OF THE BOARD OF DIRECTORS OF
UTICA WATER AND POWER AUTHORITY
TO APPROVE A
THIRD AMENDMENT TO THE FY'19-'20 BUDGET**

WHEREAS, the Utica Water and Power Authority adopted FY'19-'20 Budget on May 28, 2019, and

WHEREAS, a first amendment to the budget was approved on June 25, 2019, and

WHEREAS, a second amendment to the budget was approved on July 23, 2019, and

WHEREAS, staff is recommending a third amendment as described by the attached draft September 24, 2019 FY'19-'20 Proposed 3rd Budget Amendment,

NOW, THEREFORE, BE IT RESOLVED the amended budget with total expenditures of \$2,468,344 is hereby adopted as the budget for UWPA for the balance of the FY'19-'20 fiscal year;

ON A MOTION by Director _____, and seconded by Director _____, the foregoing resolution was duly passed and adopted by the Board of Directors of the Utica Water and Power Authority, on the 24th of September 2019, by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

Chairman

Secretary

**UWPA
FY '19-'20 DRAFT 3RD BUDGET AMENDMENT**

DRAFT 9/24/19

	Approved FY '19-'20 7/23/2019	Proposed Amendments	Proposed Amended 9/24/2019
REVENUES:			
1100 Interest Income	\$5,000		\$5,000
1200 Power Sales	\$1,208,412		\$1,208,412
1301 Water Sales to NCPA	\$60,750		\$60,750
1302 Water Agreements	\$80,000		\$80,000
1303 Water Sales UWPA	\$35,000		\$35,000
1400 Property Leases	\$40,104		\$40,104
1500 Other Revenue	\$4,800		\$4,800
1610 Bobcat Grant	\$0		\$0
1630 Truck Grant	\$75,000		\$75,000
1700 Member Contributions	\$280,000		\$280,000
TOTAL REVENUES:	\$1,789,066		\$1,789,066
EXPENDITURES:			
2400 FERC Compliance	\$84,000	<i>incr for ODSP \$14K</i>	\$98,000
2420 FERC Reserve Funds	\$125,000		\$125,000
2440 FERC fees/oversight	\$10,000		\$10,000
2450 Dam Safety	\$71,000		\$71,000
3000 Operations & Maint	\$666,560		\$666,560
4000 Administration	\$323,512		\$323,512
4050 Travel/Training	\$10,000		\$10,000
4100 Material/misc	\$31,500		\$31,500
4200 Insurance	\$39,000		\$39,000
4300 Legal	\$30,000		\$30,000
4400 Accounting	\$23,500		\$23,500
4500 Fees	\$38,950		\$38,950
4600 Communications	\$32,200		\$32,200
4610 Devices	\$2,200		\$2,200
4700 Utilities	\$32,820		\$32,820
5010 Water Balancing Fund	\$80,000		\$80,000
6010 PH - maint/repair	\$38,000		\$38,000
6050 PH - capital projects	\$139,000		\$139,000
6060 PH - ReMAT expenses	\$82,000		\$82,000
6070 PH - MAB Hydro Project	\$0		\$0
6110 WC - maint/repair	\$55,580		\$55,580
6150 WC - capital projects	\$178,800	<i>reduce S-80 bypass to 17K from 61K (44K reduction)</i>	\$134,800
6230 Truck Grant	\$75,000		\$75,000
7000 Hydrography	\$34,050		\$34,050
7100 SCADA/IT	\$9,200		\$9,200
7110 SCADA Capital Projects	\$25,000		\$25,000
8000 Consultants	\$104,000	<i>incr 30K to retain consult (CIP,FERC,grants)</i>	\$134,000
9000 Vehicles	\$40,194		\$40,194
9500 Contingencies	\$87,278		\$87,278
TOTAL EXPENDITURES:	\$2,468,344		\$2,468,344
SURPLUS/DEFICIT:	(\$679,278)		(\$679,278)
UWPA TRANSFERS:	\$679,278		\$679,278
YEAR-END REVENUE FUND:	\$0		\$0
7/1 BEG BAL ALL FUNDS:	\$1,014,675		\$1,014,675
'18-'19 Revenue Fund rollover	(\$599,278)	<i>Actual '18-'19 rollover as of July 1, 2019</i>	(\$599,278)
Water Balancing Fund deposits	\$80,000		\$80,000
Water Balancing Fund transfers	(\$80,000)		(\$80,000)
FERC Relicensing Fund deposits	\$100,000		\$100,000
Part 12 (2022) Fund deposits	\$25,000		\$25,000
Op & Capital Reserve Fund	\$0		\$0
6/30 YEAR END TOTAL ALL FUNDS:	\$540,397		\$540,397